

# ACTA

## Otorhinolaryngologica Italica

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## REVIEW ARTICLE

# Zenker's diverticulum: exploring treatment options

## *Il diverticolo di Zenker: un excursus sulle differenti opzioni terapeutiche*

A. BIZZOTTO<sup>1</sup>, F. IACOPINI<sup>2</sup>, R. LANDI<sup>1</sup>, G. COSTAMAGNA<sup>1</sup><sup>1</sup> Digestive Endoscopy Unit, Catholic University, Rome, Italy; <sup>2</sup> Gastroenterology and Digestive Endoscopy Unit, S. Giuseppe Hospital, Albano Laziale, Rome, Italy

## SUMMARY

Zenker's diverticulum is an acquired sac-like outpouching of the mucosa and submucosa layers located dorsally at the pharyngoesophageal junction through Killian's dehiscence. It is the most common type of oesophageal diverticula with a reported prevalence ranging between 0.01 to 0.11% and typically occurs in middle-aged and elderly patients. Predominant symptoms are dysphagia and regurgitation. Treatment is recommended for symptomatic patients and considering the aetiopathogenesis of the disease demands myotomy of the cricopharyngeal muscle. Myotomy may be pursued through either open surgical or endoscopic techniques. Management of Zenker's diverticulum has dramatically progressed during past decades. Open surgery with cricopharyngeal myotomy has long been the conventional treatment with satisfactory results, but is associated with high complication rates. Since Zenker's diverticulum mainly affects frail elderly patients, less invasive treatments are indicated. In recent years, endoscopic repair of Zenker's diverticulum has been found to be a viable safe and effective alternative to surgery and gained widespread acceptance. Endoscopic stapled diverticulotomy is generally the preferred approach, but flexible endoscopy is a valuable option, particularly for high-risk patients. The literature is mainly based on retrospective case series or comparative case series, and the optimal treatment modality has not yet been established. The choice between the different approaches depends on local expertise and preferences. Based on retrospective literature results, appropriate technique selection dictated by the size of the diverticulum and the patient's conditions is however desirable.

**KEY WORDS:** Zenker's diverticulum • Cricopharyngeal muscle • Myotomy • Diverticulectomy • Endoscopic stapling diverticulotomy • Flexible endoscopy

## RIASSUNTO

*Il diverticolo di Zenker è una estroflexione sacciforme della mucosa e sottomucosa che si sviluppa a livello della parete posteriore della giunzione faringo-esofagea attraverso il triangolo di Killian. Il diverticolo di Zenker è il più frequente tra i diverticoli del tratto gastrointestinale superiore con prevalenza compresa tra 0,1 e 0,11%. Colpisce prevalentemente pazienti di età medio-avanzata. Sintomi prevalenti di presentazione sono la disfagia ed il rigurgito. Il trattamento è indicato per i pazienti sintomatici e, considerando le recenti acquisizioni sulla eziopatogenesi, sottende la miotomia chirurgica o endoscopica del muscolo cricofaringeo. Nel corso delle ultime decadi la gestione del diverticolo di Zenker ha subito una notevole evoluzione. Accanto alla tradizionale exeresi chirurgica, efficace ma gravata da alto tasso di complicanze, si sono affermate altre forme di trattamento meno invasive e maggiormente indicate in pazienti compromessi per età o comorbidità. La sezione del setto sotto guida endoscopica (diverticolotomia) si è dimostrata una sicura ed efficace opzione terapeutica. La diverticolotomia endoscopica con suturatrice meccanica (endostapler) è attualmente la tecnica che prevale, ma una valida alternativa è rappresentata dalla endoscopia flessibile in particolare nei pazienti ad alto rischio. Resta ancora da definire tuttavia quale sia il trattamento ottimale per il diverticolo di Zenker ed attualmente la scelta tra l'una o l'altra tecnica dipende di fatto dalle preferenze e abilità locali. Alla luce dei dati presenti in letteratura, basati esclusivamente su studi retrospettivi, la dimensione del diverticolo e le condizioni cliniche del paziente dovrebbero guidare nella scelta della procedura terapeutica più appropriata.*

**PAROLE CHIAVE:** Diverticolo di Zenker • Muscolo cricofaringeo • Miotomia • Diverticolectomia • Diverticolotomia endoscopica con suturatrice meccanica • Endoscopia flessibile

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### Introduction

Zenker's diverticulum (ZD), also known as hypopharyngeal diverticulum, is an acquired sac-like outpouching of the mucosa and submucosa layers originating from the pharyngoesophageal junction. It consists in a typical pulsion diverticulum (false diverticulum) occurring dorsally at the pharyngoesophageal wall through a *locus minoris resistentiae* (the Killian's dehiscence) bounded by the

propulsive oblique inferior pharyngeal constrictor muscle and the transversal fibres of the cricopharyngeal muscle (contributing to the upper oesophageal sphincter) <sup>1</sup>. The first description of Zenker's diverticulum dates back to 1769 by Ludlow <sup>2</sup>. A century after that report, a German pathologist, Friedrich Albert von Zenker, recognized and further characterized the physiopathology of this peculiar entity, since then deserving the eponym <sup>3</sup>.

Although a complete understanding of the pathogenesis of ZD has not yet been reached, it is generally accepted that ZD is the landing place of a disorder of the upper oesophageal sphincter opening. ZD occurs due to increased intraluminal pressure in the oropharynx during swallowing, against an inadequate relaxation of the cricopharyngeal muscle, and subsequent incomplete opening of the UES, causing the protrusion of the mucosa through an area of relative weakness at the dorsal pharyngoesophageal wall <sup>4</sup>.

Treatment options encompass open surgery or transoral rigid or flexible endoscopy and are aimed at eliminating functional outflow obstruction and restore continuity at the pharyngoesophageal junction through myotomy with or without resection of the diverticulum (diverticulectomy) or diverticulopexy <sup>5</sup>. Changes in treatment modalities during the last decades reflect better understanding of the underlying pathophysiologic mechanism over the years <sup>6</sup>. The present paper provides a review of the management of ZD. Mostly based on retrospective series, the current literature shows heterogeneous results. In clinical practice, the management and therapeutic approach to ZD is far from being standardized and the optimal treatment option remains unsettled. None of the available studies demonstrates substantial superiority of one technique over another, and the choice between different approaches is made according to local expertise <sup>7</sup>. Though less-invasive procedures may sometimes be the sole option, for instance in older multi-morbid patients unfit for surgery, the best procedure should be defined according to precise factors <sup>7</sup> other than local practice, and a tailored approach based on the size of the diverticulum, patient conditions and ability to withstand surgical complications is advisable <sup>7-9</sup>.

## Epidemiology, clinical presentation and pathophysiology

Zenker's diverticula typically present in middle-aged adults and elderly individuals, especially during the seventh and eighth decades of life, with a 1.5-fold male predominance. There is a geographical variation in its occurrence, and ZD is more frequent in northern Europe <sup>10</sup>. The estimated annual incidence is 2 per 100,000 with prevalence between 0.01 and 0.11% <sup>11</sup>. However, although Zenker's diverticula are the most common type that cause symptoms <sup>4</sup>, its incidence and prevalence may be underestimated as many diverticula may remain clinically silent, and many elderly patients with small pouches and minimal symptoms may not seek medical advice <sup>1</sup>. As ZD is directly related to aging, the prevalence of ZD is expected to increase due to the increased aging population.

Classical symptoms of Zenker's diverticulum are progressive oropharyngeal dysphagia (usually to solids and liquids), regurgitation (often hours after ingestion) of

undigested food debris due to food entrapment in the diverticulum, pharyngeal stasis of secretion, chronic cough, chronic aspiration, halitosis, sensation of a lump in the throat, hoarseness, whistling and cervical borborygmi <sup>1</sup>. The patient may note food on the pillow upon awakening in the morning. Although small diverticula may not cause symptoms, larger diverticula usually are symptomatic. Both the inability of the sphincter to fully open and the extrinsic compression from the pouch itself are likely to explain the dysphagia experienced by patients <sup>4</sup>. With very large diverticula, a gurgling swelling in the neck can occasionally be detected on palpation. Secondary consequences and potential complications of ZD include *ab ingestis* pneumonia secondary to aspiration, medication ineffectiveness, malnourishment and unintentional weight loss. Other reported complications of untreated ZD are diverticulitis, peptic ulceration, bleeding, iatrogenic perforations during passage of endoscopes or nasogastric tubes, fistulas and vocal cord paralysis <sup>11</sup>.

Cancer, probably a result of chronic irritation and inflammation due to food and liquid stasis, has rarely been reported in association with Zenker diverticula, with an incidence of 0.5% <sup>12</sup>. Malignancy should be suspected if there is a sudden change in the severity of symptoms, such as severely worsening dysphagia or aphagia or development of alarm symptoms (haemoptysis, haematemesis or local pain) <sup>13</sup>.

A barium swallow study is the mainstay in diagnosis of Zenker's diverticulum, which allows determination of its size and location, but careful endoscopic evaluation is mandatory to rule out malignancy <sup>6,12</sup>.

Though it is widely accepted that the primary cause of a Zenker's diverticulum appears to be impaired relaxation of the upper oesophageal sphincter, generating an abnormally increased pharyngeal intrabolus pressure, as corroborated by manometric investigations <sup>14</sup>, ZD is likely to be a multifactorial disorder. The noncompliant cricopharyngeal muscle shows structural changes in terms of histological reduction in muscle component combined with qualitative fibre alterations, increase in fibrotic tissue and significant increase of the collagen to elastin ratio <sup>14,15</sup>. The aging process might play a role because of the loss of tissue elasticity and the decrease in muscle tone. Some authors postulate an anatomical predisposition <sup>12</sup>. This belief is reinforced by the evidence of rare familial cases in addition to geographical and racial differences <sup>11,12</sup>, and further supported by the results of morphometric and anthropometric studies of the Killian's triangle showing that the dimension of the triangle correlates with anthropometric features <sup>16</sup>. This might account for the geographical variations in incidence of ZD and for its male predominance. Because gastroesophageal reflux contributes to cricopharyngeal dysfunction, a relation between gastro-oesophageal reflux disease and ZD has finally been assumed <sup>11</sup>, but never been consistently investigated.

*What is the aim of treatment?*

The primary therapeutic aim is to create a communicating door between the diverticulum and the oesophageal lumen by transecting the septum to eliminate the diverticulum reservoir, restore outflow continuity at the pharyngo-oesophageal segment allowing clearance of ingested bolus and subsequently relief symptoms and prevent recurrence<sup>5</sup>. Treatment should be reserved for symptomatic patients with or without associated complications<sup>11 17</sup>, while small asymptomatic diverticula do not need treatment as the risk of severe adverse complications, cancer and aspiration is low<sup>6</sup>.

According to the current focus on the contribution of cricopharyngeal muscle in the genesis of ZD, treatment imposes myotomy of the cricopharyngeal muscle independently of the additional procedure (creation of a plain oesophagodiverticulostomy, diverticulectomy or suspension diverticulopexy)<sup>6</sup>. Division of cricopharyngeal muscle fibres (even without diverticulectomy) reduces the UES resting pressure and normalizes both UES opening (relaxation) and intrabolus pressure as demonstrated by pharyngo-oesophageal manometry<sup>4 8 9 15</sup>.

Since both the cricopharyngeal muscle and the upper muscular cuff of the oesophagus appear to be involved in the pathogenesis of ZD, some authors advocate the extension of the myotomy for 2-3 cm into the muscularis propria of the oesophagus below the cricopharyngeal muscle<sup>15</sup>. In their opinion, extended myotomy to the oesophageal muscle potentially reduces the risk of recurrence. This raises however doubt as to whether it is associated with an increased risk of mediastinum exposure and perforation or vascular injury, especially in case of huge floating or plunging diverticula.

## Treatment options

In the general trend versus less invasive approaches, new techniques and new devices have been implemented, and transoral endoscopic treatment<sup>18</sup> and flexible endoscopy<sup>19 20</sup> have gained in popularity over open surgery with a concurrent decrease in mortality and morbidity. Treatment procedures for ZD encompass open cricopharyngeal myotomy with diverticulectomy or diverticulopexy or diverticular inversion, myotomy alone<sup>21</sup>, endoscopic staple-assisted oesophagodiverticulostomy<sup>18 22</sup>, endoscopic CO<sub>2</sub>-laser myotomy<sup>23</sup>, endoscopic harmonic scalpel diverticulotomy<sup>24</sup> and flexible endoscopic diverticulotomy<sup>25</sup>. As already mentioned, the evolution in surgical and endoscopic treatment reflects the better understanding of underlying mechanisms, and it is a widespread belief that myotomy should always be part of treatment<sup>6</sup>. Diverticulectomy, diverticulopexy or inversion alone without myotomy are no longer acceptable given the high rate of long-term recurrence in the absence of cricopharyngeal myotomy<sup>26</sup>.

## Surgical techniques

The management of patients with pharyngeal pouch may be either conservative (for smaller than 1 cm, asymptomatic diverticula) or surgical through an incision in the neck (open) or mouth (endoscopic). Surgery – either open or minimally invasive – is the main therapeutic approach.

*A) Open surgery:* Surgical repair of ZD, based on a transcervical access, consists in stapled or hand-sewn diverticulectomy or diverticulopexy or inversion with concurrent cricopharyngeal myotomy or even myotomy alone for small diverticula. The operation is usually performed under general anaesthesia, but can also be performed under local anaesthesia or C5-C6 superselective spinal anaesthesia<sup>27</sup>. The patient is positioned in a supine position with a small pillow under his shoulders and the head hyperextended and slightly turned to the right side. The left later neck incision is made ventrally to the sternocleidomastoid muscle. Following division of the subcutaneous tissue and platysma, the pharynx and cervical oesophagus are exposed by retracting the sternocleidomastoid and carotid sheath laterally and the larynx and thyroid gland medially. Once the pouch is identified and completely dissected from the surrounding loose connective tissue and the neck of the pouch displayed, transection (myotomy) of the cricopharyngeal muscle and proximal fibres of the oesophageal muscle is performed for a length of about 5 cm on the cervical oesophagus<sup>27 28</sup>. Following myotomy the ZD is: 1) surgically excised (diverticulectomy) or 2) uplifted and retracted as far as possible towards the prevertebral fascia and suspended as high as possible by suture to the prevertebral fascia or posterior pharyngeal wall (diverticulopexy) with the collar of the sac in a non-dependent position or finally, 3) inverted into the oesophageal lumen and oversewn (diverticulum inversion or invagination)<sup>27-29</sup>. In case of minute diverticula, once the myotomy is performed, the marsupialized diverticulum disappears becoming a part of the freed mucosa<sup>28</sup>. During the surgical procedure, care must be taken to not injure the following anatomical structures: the recurrent laryngeal nerve running in the tracheoesophageal groove, the external laryngeal nerve that runs deep to the superior thyroid artery, the descending hypoglossal nerve and the cervical cutaneous nerve<sup>28</sup>. A drain is placed, the subcutaneous space and platysma borders are sutured and the skin incision is closed. The drain is removed after 24 to 48 hours<sup>28</sup>. Intravenous broad-spectrum antibiotics are usually administered perioperatively and continued for 1 week after surgery<sup>29</sup>.

All studies of the different open surgical approaches are retrospective, and few are comparative where selection criteria for the choice of treatment are either not stated or unclear. The following surgical algorithm may however be drawn from the available literature: small (1 cm) symptomatic pouches are very likely well suited to myo-

tomy alone, moderate-sized diverticula (1 to 4 cm) are best treated by myotomy with suspension or inversion, and larger pouches probably warrant diverticulectomy with myotomy<sup>28,30</sup>.

*B) Rigid endoscopy:* Though ZD can affect young adults as well, it is primarily a disease of the elderly, often affected by significant comorbidities and a minimally-invasive endoscopic approach avoiding the need for a neck incision, thus offering potential advantages. The rationale is that a septum containing the cricopharyngeal muscle divides the diverticulum sac from the oesophagus. By endoscopically dividing this party wall, the cricopharyngeal muscle is divided, and the diverticulum is marsupialized and becomes a unique cavity with the oesophagus, eliminating food entrapment and relieving the outflow obstruction. A number of endoscopic options to section the septum using operating laryngoscopes and laparoscopic instruments are available that are characterised by shorter operative time, reduced hospital stay, quicker resumption of oral intake and lower complication rates; moreover, they are as effective as open surgery.

*B1) Endoscopic stapling diverticulotomy:* In 1993, Collard<sup>18</sup> in Belgium, and simultaneously Martin Hirsch<sup>31</sup> in England, proposed a transoral single-stage cut and suture technique using a laparoscopic stapler introduced through a rigid endoscope, namely the bivalved Karl Storz Weerda diverticuloscope. The patient is positioned supine with the neck fully extended. The procedure requires general anaesthesia with orotracheal intubation. The bivalved laryngoscope in the closed position is carefully introduced into the oesophageal inlet under direct vision or better under video endoscopic monitoring. The diverticuloscope is then slowly withdrawn and with the opened self-retracting valves accommodated to expose the party wall between the diverticulum and the oesophageal lumen so that the anterior blade of the diverticuloscope is placed inside the oesophagus while the posterior blade intubates the diverticulum. The diverticuloscope is advanced until the bottom of the diverticulum is exposed. The common wall and the cricopharyngeus are set between the two lips of the diverticuloscope. An endoscopic linear stapler is introduced through the diverticuloscope down to the septum so that the cartridge blade is in the oesophagus and the anvil blade in the diverticulum. The diverticulostomy is created by simultaneously cutting and sealing together the anterior wall of the ZD and the posterior wall of the oesophagus with a double (or triple) row of staples along the cutting edges with minor leakage, perforation, mediastinitis or bleeding rates<sup>32,33</sup>. Care must be taken to avoid diverticular perforation while placing the stapler. Attention must be paid in proper selection of patients to avoid leaving a significant residual septum in smaller diverticula (which may lead to persistent symptoms) given the non-functional protruding end of the stapler<sup>32</sup>. The fact that the stapler anvil extends beyond the end of the

staples and the staples extend beyond the razor cut entails that the stapler leaves some residual pouch, usually about 1.5 cm<sup>33</sup>. The technique is consequently not indicated for diverticula smaller than 3 cm. However, the end of the stapling device can be trimmed to reduce the length of its non-functional distal tip and to subsequently allow advancement of the blade to the bottom of the diverticulum<sup>34</sup>. The use of retraction sutures (with an Endostitch suturing device) through the lateral edges of the common wall to provide proximal tension on the cricopharyngeal bar and easier delivery of the septum fully into the jaws of the endoscopic stapling device has been successfully described<sup>35-37</sup>. Endoscopic staple-assisted oesophagodiverticulostomy has gained widespread acceptance and is often considered the first-line choice for treatment of ZD. The technique has become the most frequent surgical intervention for pharyngeal pouch performed in ENT practice in UK<sup>38</sup>.

*B2) Endoscopic carbon dioxide laser diverticulostomy:* Endoscopic CO<sub>2</sub> laser-assisted diverticulostomy, first introduced in 1981 by van Overbeek<sup>12</sup>, is a sutureless technique where the septum is divided by CO<sub>2</sub> laser. The principle of the laser endoscopic technique is to perform a full-length mucosal incision and complete myotomy of the common wall that separates the diverticulum from the oesophagus. The procedure is performed under general anaesthesia with endotracheal intubation. Once the diverticuloscope is accommodated and the tissue bridge is properly exposed, an operating microscope with a 400 mm lens and attached CO<sub>2</sub> laser micromanipulator is focused on the common wall visualized through the diverticuloscope. Using the laser at 5 to 10 Watts in continuous mode, the spur is transected at the midline down to the bottom of the diverticulum, with care taken not to leave residual common wall. The cricopharyngeal muscle fibres appear as they retract laterally during division<sup>39,40</sup>. Visualization of targeted tissue through the microscope and the precise laser beam control enabled by the micromanipulator device allow excellent exposure and the precision required to section the common wall down to the bottom of the diverticulum sac without the view being impaired by instruments<sup>39,41</sup>. Carbon dioxide laser endoscopic diverticulotomy can also be achieved with thinner diverticulosopes than those required for the stapler-assisted technique, keeping a good view of the diverticular threshold<sup>40</sup>. Microendoscopic laser techniques seem suitable to treat small-moderate sized diverticula or as a complementary technique in addition to endoscopic stapling when the pouch is considered too small to be (further) cut by the stapler<sup>17,39,42</sup>. With regard to concerns over less secure mucosal closure achieved with this sutureless technique, the CO<sub>2</sub> laser has a high-energy, high-focus beam providing high cutting power while minimizing lateral thermal tissue damage, arguably ensuring rapid healing and mucosal coverage of cut surfaces<sup>39,41,42</sup>. Peretti et al.<sup>43</sup> have interestingly reported on endoscopic CO<sub>2</sub> laser cri-



copharyngeal myectomy for medium-sized ZD. The partial myectomy of the posterior part of the cricopharyngeal muscle is achieved by entirely sectioning the posterior part of the muscle itself, following two vertical paramedian lines, and then removing the in-between portion of the muscle fibres up to the external fascial layer.

*B3) Harmonic scalpel:* More recently, using the Weerda diverticuloscope with the patient under general endotracheal anaesthesia, the section of the party wall between the diverticulum and the oesophagus has been achieved using a harmonic scalpel (Harmonic Ace). The harmonic scalpel, or Ultracision (Ethicon Endo-Surgery, Cincinnati, Ohio), is used in laparoscopic surgery to simultaneously cut and coagulate tissues with minimal thermal spread to adjacent tissues. The harmonic scalpel blade operates ultrasonically, causing protein denaturation such that vessels are sealed and tamponaded while providing adequate and effective timely haemostasis. This sutureless technique has been shifted to ZD repair as an additional tool for performing a cricopharyngeal myotomy with success and minimal complications. In particular, diverticulostomy with the ultrasonic scalpel has proved effective for small ZD ( $\leq 2$  cm). The smaller diameter of the harmonic scalpel allows it to be manoeuvred and positioned within small diverticula. In addition, the harmonic scalpel's cutting surface extends to its distal tip, allowing it to perform endoscopic oesophagodiverticulostomy in patients with shallow pouches that could not be adequately treated with the stapling device<sup>24,44-46</sup>. The use of the harmonic scalpel technique with a soft diverticuloscope has recently been described<sup>46</sup>.

### Freehand, cap-assisted or diverticuloscope-assisted flexible endoscopy

In addition to surgical techniques, evolution in flexible endoscopy paved the way for its use in the treatment of ZD. In 1995, two landmark papers<sup>19,20</sup> indicated that flexible endoscopy was a possible option for ZD. Flexible endoscopy shares the same principles as rigid endoscopy: the septum between the diverticulum and the oesophagus contains the cricopharyngeal muscle, while by dividing the septum and creating a common cavity a myotomy is automatically added<sup>6</sup>. High-risk elderly patients are expected to benefit the most from flexible endoscopic diverticulotomy<sup>11</sup>. The procedure can be safely performed in the endoscopy suite, in the inpatient or outpatient setting, does not require general anaesthesia and is rapid and effective<sup>25,47</sup>. Some centres offer this option to all ZD patients<sup>47</sup>, although most authors recommend reserving it for a subset of selected patients, especially highly morbid patients and older individuals who are poor surgical candidates with head and neck anatomy that make rigid endoscopic access difficult<sup>11,17</sup>. The technique can be either "freehand" or combined with a variety of different accessories (hood, cap, overtube) to obtain a better exposure of the

septum, stabilize the position and protect the oesophageal and diverticular wall against thermal injury<sup>48-57</sup>. Patients are placed in a left lateral decubitus position, either in conscious sedation or under general anaesthesia with propofol or endotracheal intubation according to local practice<sup>47,53</sup>. Antibiotic prophylaxis is not routinely administered. The procedure is usually done with a standard flexible endoscope and starts with initial endoscopic examination with suction of possible retained material from the diverticulum. A standard large bore (16-18 Fr) nasogastric tube is generally inserted (over a guidewire) in the oesophagus for the aforementioned purpose. Transparent caps or oblique-end hoods attached to the tip of the flexible endoscope can further stabilize the position<sup>54-56</sup>. A novel device for exposing, stretching and fixing the septum, and optimizing the operative field is the soft diverticuloscope (ZD overtube; Cook Endoscopy, Winston-Salem, North Carolina, USA)<sup>52</sup>. This double duck-billed transparent soft-rubber overtube has two distal flaps of 40 mm and 30 mm that respectively protect the anterior oesophageal and posterior diverticular wall. The overtube is advanced over the endoscope up to a black marker indicating the average distance (16 cm) between the septum and teeth line. Under endoscopic vision the septum is displayed and the position of the overtube can be further adjusted<sup>53</sup>. Once the septum is properly exposed, different cutting methods can be applied. Incision can be done using needle-knives, monopolar forceps, argon plasma coagulation or a hook-knife<sup>55-58</sup>. With the needle-knife, the predominant cutting technique, the septum is divided through blended current or pure coagulation current. The incision is caudally directed by moving the tip of the endoscope, hence the tip of the needle, from the middle at the top of the septum towards the basis of the ZD recess, indifferently from the inside of the diverticulum towards the posterior oesophageal wall or in the opposite direction<sup>50-54,56</sup>. The wound edges of the ZD spur separate immediately after incision. The incision has to be cautiously balanced to prevent mediastinal perforations due to excessive incision (beyond the inferior border of the diverticulum) and to be complete (not too short)<sup>11</sup>. An incomplete cricopharyngeal myotomy may account for the higher recurrence rates associated with flexible endoscopy. Ideally, ZD should be reduced to  $< 1$  cm left<sup>48</sup>. Bleeding at the site of incision can be locally controlled. Some endoscopists routinely place one or more metal endoclips at the incision basis to secure the oesophageal and diverticula margins, thereby preventing microperforations<sup>25,53</sup>. Concerns over perforation risks associated with a sutureless section have led some authors to adopt a clip-assisted (clip and cut) technique where, prior to dissection with a needle-knife in the middle, two endoclips are placed on either side of the ZD bridge<sup>59</sup>. Several authors describe limited incisions in a single session in short-term repeat procedures, and reserving one-session diverticulotomy for small diverticula<sup>11</sup>.

## Technical and clinical success of treatment options

Treatment of ZD has dramatically evolved over the past years. An external surgical approach has for long been the conventional treatment modality with satisfactory clinical success rates ranging between 80-100%<sup>17</sup>. The Mayo Clinic reported excellent or good outcome in 93% of 888 patients treated with open surgery<sup>21</sup>, but complication and mortality rates are not negligible and have been reported to be as high as 30% and 3%, respectively<sup>60 61</sup>. Major complications (requiring intensive medical treatment, blood transfusion, surgery or intensive care unit admission) include pharyngocutaneous fistulas, parapharyngeal abscess, mediastinitis, perforation, pneumomediastinum, oesophageal stricture, wound infection, significant bleeding requiring operative revision, vocal cord paralysis, aspiration pneumonia, and death. Minor complications consist of transient recurrent laryngeal nerve paralysis, postoperative fever and temporary subcutaneous emphysema suggestive of microperforation. In a literature review by Zbaren et al.<sup>62</sup>, mediastinitis and stenosis were reported in up to 9.5% and 7.1%, respectively, of external approach cases. Cutaneous fistulas and recurrent laryngeal nerve paralysis were described in 19% and 12.9%, respectively. Among the available transcervical modalities, only diverticulectomy removes the pouch allowing histopathological examination of the diverticulum sac<sup>1</sup>. However, this technique is associated with a higher risk of pharyngocutaneous fistula (up to 30%), transient or permanent recurrent nerve paralysis, and oesophageal strictures. Some authors suggest therefore diverticulum inversion as an effective, less traumatic and less complicated surgical treatment modality<sup>29</sup>. However, after either inversion or suspension of the sac, no further inspection of the diverticulum mucosa is possible for early detection of malignancy, and this has to be kept in mind in case of larger long-standing diverticula in which the risk of malignant degeneration is reported to be higher<sup>62 63</sup>. As already mentioned about the aetiology of the disease, myotomy is a crucial part of the ZD treatment whatever the attitude towards the pouch is. Although very effective at mid-term, ZD resection without myotomy predisposes to the development of postoperative salivary fistula and to long-term recurrence of the pouch, probably due to persistence of high intrapharyngeal pressure against the posterior pharyngeal wall<sup>26</sup>. Data reported in the relevant literature indicate recurrence in 3-19% of diverticular resections, 6-15% of cases with diverticulum inversion, and up to 7% for diverticular suspension<sup>29</sup>. According to the available literature, lacking in high quality comparative studies, the choice between transcervical surgical options may be best dictated by the size of the ZD in the context of the patient's conditions. Diverticulectomy is advisable for ZD larger than 5-6 cm and in younger patients given

the risk, though low, of malignant degeneration, while diverticulum inversion or suspension are suitable to small-moderate sized (up to 4 cm) diverticula, and patients with small, but symptomatic, pouches can be adequately managed with myotomy alone<sup>17 28-30 65</sup>.

As already pointed out, since ZD affects frail elderly patients, who are more often than not poor surgical candidates, less invasive treatments are desirable. The first attempt in 1917 to introduce an endoscopic approach was promptly abandoned due to high complications and mortality rates. An endoscopic approach for the treatment of ZD was again attempted in 1960 with satisfactory results, but due to concerns over possible leak with mediastinitis surgeons were reluctant and the endoscopic technique did not gain acceptance<sup>66</sup>. It was not until 1993 that a rigid endoscopic approach with endostapler was definitively introduced and became increasingly popular<sup>34 35</sup>. Endoscopic stapling of pharyngeal pouch is less invasive, very safe and effective, and has become, as supported by the abundant literature, the first-line surgical treatment with clinical success rates that favourably compare with open surgery<sup>17</sup>. Large studies demonstrated endostapling to be effective in 90-100% of cases<sup>5</sup>, with acceptable persistent symptomatic relief during long-term follow-up<sup>27</sup>. Myotomy, the crucial aspect of ZD treatment, is unavoidably a part of the procedure. Endoscopic stapler-assisted diverticuloesophagostomy has a lower rate of major complications (fistula, iatrogenic perforation and mediastinitis, persistent recurrent laryngeal nerve injury) up to 4% on average, with < 1% mortality. Minor adverse events include sore throat, gingival or mucosal tear, dental injury, transient vocal cord palsy, subcutaneous emphysema and foreign body sensation or stenosis due to staples<sup>67</sup>. Antibiotics are not routinely given nor is a NGT routinely inserted. The distinct advantages of endostapling over standard open-neck technique encompass, as reported in several series<sup>27 60 68 69</sup> and in a recent meta-analysis involving 585 patients<sup>70</sup>, the absence of skin incision, shorter operative time, minimal or absent post-operative pain, quicker resumption of oral intake (within 24 hours), reduced hospital stay calculated from the day of operation until discharge (24-48 hours), resulting in lower total hospital charges, as well as a lower rate of overall complications. An additional advantage lies in case of repeat procedures, for persistent or recurrent symptoms, that can successfully be carried out through a transoral approach (rigid or flexible), while an open approach may pose a major technical challenge<sup>27</sup>. Review of the literature highlights mean recurrence rates of about 6% (range 0-22%) consistent with the mean recurrence rate of 5% reported for external approaches<sup>68</sup>. The above-mentioned meta-analysis<sup>70</sup> reports a clinical success rate in terms of resolved or significantly improved symptoms of 91% with a recurrence rate as high as 12.8% and a technical success rate in 92% of cases. This relatively high level

of recurrence may reflect incomplete sectioning of the fibres of the septum by the stapler. Determining the point at which stapler division of the septum should end is a critical issue, as a division that is too shallow will lead to persisting symptoms, while a division that is too deep increases the risks of perforation with mediastinitis. The same circumstance accounts for the high long-term recurrence rates recorded by Bonavina et al.<sup>27</sup> in the subgroup of patients treated for small (< 3 cm) diverticula, when recurrence rates were stratified according to ZD size. In small diverticula, a portion of the septum may remain undivided. Diverticula smaller than 3 cm represent a formal contraindication to an endosurgical approach because too shallow to properly accommodate the anvil of the stapler and allow complete transection of the septum; recurrence may occur in > 35% of patients. Endoscopic stapling diverticulotomy is better suited to medium-sized diverticula (3-5 cm)<sup>9</sup> in accordance with the existing literature. On the other hand, diverticula longer than 6 cm represent a relative contraindication to endoscopic treatment as the residual pouch may be too large to allow easy clearance of the common cavity upon swallowing<sup>71</sup>. Moreover open surgical diverticulectomy with myotomy provides radicality, eliminating any theoretical risk of carcinoma, and this has to be borne in mind when considering the potential of malignant evolution in residual pouches after endoscopic treatment<sup>72</sup>. Despite early reports over higher complication rates with endoscopic CO<sub>2</sub> laser<sup>73</sup> and ultrasonic cutting<sup>74</sup> in the management of ZD, some authors suggest a possible complementary role of these techniques for dividing the residual septum when endostapling fails to be complete or when introduction of a stapling gun results in poor access or a poor surgical view<sup>44 70 75</sup>. After rigid endoscopic or surgical treatment, a persistent septum or a residual pouch can still be evident on barium swallow examination. Persistent symptoms may be due to other underlying swallowing abnormalities and/or inadequate myotomy without any correlation between the size of the residual pouch and symptomatic recurrence. There is general agreement in the literature that the assessment of treatment outcome and the need for further treatment has to be clinically prompted by patient's symptoms<sup>1 17</sup>. Rigid endoscopy is not always technically feasible and may require conversion to open surgery in about 5% of cases. A recent review of the literature reports technical success rates ranging from 70% to 100%<sup>17</sup>. The main reasons for technical failure are impossible or inadequate exposure of the diverticulum due to the patient's anatomy such as retrognathia, teeth protrusion, rigid cervical kyphosis, insufficient neck motility, inability to hyperextend the neck or to open the mouth wide. Moreover, rigid endoscopy requires general anaesthesia with endotracheal intubation, and not all patients are fit for surgery or able to withstand general anaesthesia. Last but not least, in addition to anatomical or clinical

considerations, smaller or very deep diverticula are not amenable to rigid endoscopy since those conditions impair accommodation of the rigid diverticuloscope and stapler in the pouch. In case of small diverticula, the anvil of the stapler cannot be properly placed and cricopharyngeal muscle cannot adequately be resected. Other factors predictive of success or failure are short necks, decreased hyomental distance, large osteophytes, obesity, redundant mucosa<sup>6 11 17 76 77</sup> and the radiological characteristics of the diverticulum<sup>78</sup>.

In the general trend towards a minimalist approach, flexible endoscopy is an attractive alternative and may overcome some of the technical limitations of open surgery and rigid endoscopy, as well as some of the constraints related to ZD size and the patient's conditions. Flexible endoscopy is usually performed in the endoscopic unit, under conscious sedation with midazolam and/or opiates, and is optimal for frail elderly patients, unfit for surgery, who are most likely to benefit from a brief procedure without the need for general anaesthesia and for neck hyperextension<sup>47</sup>. As for rigid endoscopy, flexible endoscopic treatment focuses on releasing the cricopharyngeal spasm by performing a cricopharyngeal myotomy and restoring the outflow continuity. Assuming no complications, it allows a rapid (normally after 24 hr) oral diet resumption and fast hospital discharge (usually 12-48 hr in an inpatient setting and 6 hours in the outpatient setting) with success and complication rates similar to endostapling. In case of symptom persistence or recurrence the procedure is safely and easily repeatable. In a recent retrospective paper<sup>79</sup> assessing flexible endoscopy versus endostapler the authors reported similar outcomes in terms of hospital stay, dysphagia symptom score improvement and complication rates, but a significantly longer procedure time for endostapling versus flexible endoscopy. Several case series published since 1995 demonstrate the efficacy and safety of flexible endoscopy with clinical success rates ranging from 56% to 100%<sup>19 47-59</sup>. The lower clinical success rate of 56% reported in one series<sup>53</sup> is very likely due to the fact that clinical remission was assessed according to the presence or absence of a pool of symptoms and not only dysphagia. When considering the sole series in which success is defined according to dysphagia, clinical success rises to 84-100%<sup>19 48 49 51 52 54-57</sup>. Moreover in some cases outcome was assessed after one treatment session<sup>52 53 57</sup>, while in other series it was determined after multiple treatment sessions<sup>19 48-50 55 56 58</sup>. Unlike rigid endoscopy, and in particular endostapling, the technique of flexible ZD septotomy is neither univocal nor standardized. As already mentioned, different cutting techniques exist that can be variably combined with different accessories. The optimal cutting technique is unknown as prospective randomized trials are lacking and the choice is mainly based on the endoscopist's personal experience and preferences. The needle-knife, even if more difficult to master without ad-

ditional devices<sup>47</sup>, is the most frequently used cutting device often in combination with a cap<sup>53,56</sup>, hood<sup>54,55</sup> or soft diverticuloscope<sup>52,53</sup> to achieve a more stabilized position and optimal view of the operative field. There are no significant differences in clinical outcomes with the use of one or other accessory. Flexible endoscopy is associated in the available literature with a clinical recurrence rate of 20%<sup>11</sup>. The incision must not extend beyond the inferior border of the diverticulum as this may cause mediastinal perforation, but a transection that is too short may lead to incomplete cricopharyngeal myotomy and subsequently account for the higher clinical recurrence rates reported for flexible endoscopy. The depth of septotomy is a major technical issue. Unfortunately, when the incision is made from up to down the inferior border may be difficult to endoscopically define, and there are no objective parameters or reliable anatomical landmarks (except for muscular fibres) to guide the endoscopist. This prompted Repici et al.<sup>57</sup> to expand the use of the hook-knife, from endoscopic mucosal dissection, to ZD septal dissection and assess its safety and efficacy. The direction of the incision is inverted, from the bottom to the top. The cut appears to be more controlled and precise, reducing the risk of unintentional blunted dissection and perforations. The authors reported 1 perforation out of 35 treatments (2.8%) and a very good clinical remission rate (up to 93%). While the technical advantage should correspond to a reduced risk of perforation, the small sample size and short follow-up time did not allow for definitive conclusions. With regard to complication rates, perforations ranging from mediastinitis or cervical abscesses to microperforation (presenting as self-limited subcutaneous cervical emphysema) and bleeding have been reported in 0-27% (median 4%) and 0-10% of cases, respectively<sup>17</sup>. Other possible complications of flexible endoscopic treatment of ZD are transient fever with leukocytosis, throat pain, and sedation-related adverse events. Although routinely performed by some authors, post-procedural water-soluble contrast studies have limited sensitivity for detection of small perforations and do not correlate with symptomatic response to endoscopic therapy or with recurrences<sup>11</sup>. Flexible endoscopy is an appealing safe and effective minimally-invasive treatment option for ZD, with good clinical outcome, acceptable recurrence, and complication rates. The most suitable candidates are older and high-risk patients who are unfit for surgery, even though some authors extend the indication to all symptomatic patients referred for treatment.

When comparing the technical and clinical results from the available literature on ZD treatment, it should be critically pointed out that a direct comparison between studies and results may be inappropriate because data are neither

homogenous nor standardized with regard to multiple variables. Of note in this regard: symptoms collection (dysphagia, dysphagia plus regurgitation, a pool of symptoms), symptom assessment (objective dysphagia scores, subjective grading of symptoms relief and satisfaction), choice of one or other technique (sequential, diverticulum size, clinical conditions, local policy), definition of clinical success (total disappearance of symptoms, disappearance plus improvement), success and recurrence measurements (after one session or multiple sessions), and the variable length of follow-up (the shortest follow-up schedules being reported for treatment via flexible endoscopy in contrast with the more recent series of transorally treated patients and with a historical cohort of surgically treated patients).

None of the available studies, based on retrospective case series, unequivocally demonstrates the substantial superiority of one treatment modality over another, and although rigid endoscopy is the preferred and most frequent approach, the choice between different options depends on local expertise and preferences.

## Conclusions

The present paper provides an overview of the literature for the main surgical and endoscopic treatment modalities for ZD. Many treatment alternatives exist, reflecting the fact that none has gained proven superiority. All approaches have been shown to work in the hands of experienced surgeons, otolaryngologists, and gastrointestinal endoscopists, and the optimal treatment policy remains highly debated.

There are no randomized studies comparing the different surgical and endoscopic approaches, few are comparative, and selection criteria for the choice of treatment are either not stated or unclear. The large number of retrospective case series does not allow the possibility to draw firm conclusions, although some general indications have emerged. In particular, small-medium (up to 5 cm) sized diverticula are best treated endoscopically, with ZD up to 3 cm best amenable by flexible endoscopy, while very large diverticula may still benefit from open surgical excision, especially in younger, good surgical candidates. Repeat procedures, in case of treatment failure or symptomatic recurrence, can easily and successfully be achieved through flexible or rigid endoscopy.

Randomized comparative trials of the general approaches to treatment are long overdue, but are hardly feasible. The low prevalence of the disease, the minimum number needed to treat and the highly concentrated local expertise, would make it difficult to enrol and randomize candidates between the various treatment options.

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## HEAD AND NECK

# Transoral robotic surgery (TORS) for tongue base tumours

## *La chirurgia robotica transorale (TORS) nel trattamento dei tumori della base lingua*

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## SUMMARY

In recent years, transoral robotic surgery (TORS) has been used for the removal of pharyngeal and laryngeal cancers with the objective to improve functional and aesthetic outcomes without worsening the survival. This prospective single-centre cohort study described TORS in selected tumours of the tongue base in order to assess safety, efficacy and functional outcome of the procedure. From October 2010 to February 2012, TORS was performed in 13 consecutive patients affected by T1-T2 tumours of the base of the tongue. This procedure was applicable in all cases. The clinical stage demonstrated 8 T1 tumours and 5 T2 tumours. Neck node metastases were clinically evident in 6 cases (7 N0, 1 N1, 4 N2b and 1 N2c). The final pathology report confirmed malignancy in all cases (11 squamous cell carcinoma and 2 mucoepidermoid carcinoma). Negative-margin resections were obtained in all cases but one with close margins. Synchronous lymph node neck dissections were performed in 7 cases (6 monolateral, 1 bilateral). Patients underwent temporary tracheostomies for a mean time of 6 days. A naso-gastric feeding tube was positioned in 10/13 (76.9%) patients for a mean time of 7.5 days. The average time to carry out the TORS procedure was 95 min (set-up time 25 min; TORS 70 min). No deaths occurred. Surgical complications were observed in 4 cases (postoperative bleedings in 3 cases and intraoperative anaphylactic shock in 1 case). Median hospital stay was 9 days. All patients had good functional outcomes. Adjuvant treatment was indicated in 5/13 cases (35.4%). TORS represents a good tool for staging and treating neoplasm of the base of the tongue. The transoral removal is safe and can radically remove limited oropharyngeal tumours of the tongue base with good functional outcomes. The operating costs can be relatively high but they are related to the number of procedures per year, although the advantages to patients seem to justify the procedure. TORS can represent the definitive treatment in selected T1-T2 cases of base of the tongue tumours without adverse features and allow the possibility for the deintensification of adjuvant treatments.

KEY WORDS: Robotic surgery • Minimal Invasive • Transoral • Oropharyngeal cancer

## RIASSUNTO

*Negli ultimi anni, la chirurgia robotica transorale (TORS) è stata utilizzata per l'asportazione di neoplasie di faringe e laringe con l'obiettivo di migliorare i risultati funzionali ed estetici senza peggiorare la sopravvivenza. Abbiamo eseguito uno studio prospettico di coorte in soggetti affetti da tumore orofaringeo localizzato a livello della base lingua con l'intento di verificare la sicurezza, l'efficacia e i gli esiti funzionali della procedura. Dall'ottobre 2010 a febbraio 2012, 13 pazienti consecutivi, affetti da tumore T1-T2 della base lingua, sono stati sottoposti a TORS. La procedura è stata sempre tecnicamente eseguibile. Lo stadio clinico era: T1 in 8 casi e T2 in 5 casi. Linfonodi metastatici latero-cervicali erano evidenti in 6 casi (7 N0, 1 N1, 4 N2b and 1 N2c). L'esame istologico definitivo ha confermato la diagnosi di neoplasia maligna in tutti i casi (11 carcinomi squamosi e 2 carcinomi muco epidermoidi). I margini di resezioni sono sempre stati negativi ad eccezione di un caso con margini "close". Uno svuotamento latero-cervicale sincrono è stato eseguito in 7 casi (6 monolaterali, 1 bilaterale). I pazienti sono stati sottoposti a tracheotomia temporanea per un tempo medio di 6 giorni. Si sono alimentati tramite sondino naso-gastrico 10 su 13 (76,9%) pazienti per una media di 7,5 giorni. La procedura è durata mediamente 95 minuti (25 minuti per la preparazione e 70 minuti per la chirurgia TORS intesa dall'incisione al termine del tempo chirurgico). Non si sono verificati decessi. Abbiamo osservato complicanze in 4 casi: sanguinamento nel postoperatorio in 3 casi e shock anafilattico in 1 caso. Il ricovero è durato mediamente 9 giorni. Tutti hanno riportato buoni risultati funzionali. Un trattamento adiuvante nel postoperatorio era indicato in 5 su 13 (35,4%). Dallo studio si può concludere che la TORS rappresenta un valido strumento al fine di stadare e trattare le neoplasie della base lingua. L'asportazione trans orale è sicura e consente di asportare in maniera radicale i tumori di ridotte dimensioni localizzati nella base lingua ottenendo buoni risultati funzionali. I costi possono essere elevati, tuttavia, essi sono legati al numero di procedure annue e i vantaggi per i pazienti sembrano giustificare tale procedura. La TORS può rappresentare il trattamento definitivo in selezionati tumori T1-T2 (≤ 3cm) della base lingua ed in assenza di fattori prognostici sfavorevoli. Questa procedura sembra poter consentire la riduzione dei trattamenti adiuvanti.*

PAROLE CHIAVE: Chirurgia robotica • Mini-invasiva • Transorale • Cancro orofaringeo



## Introduction

Open surgical approaches to the oropharynx can be associated with morbidities such as cosmetic deformity, malocclusion and dysphagia. Therefore, a trend toward using radiotherapy and concurrent chemotherapy as a primary modality in case of oropharyngeal cancer has been observed in the last few decades<sup>1,2</sup>. However, evidence of a clear advantage of concurrent chemoradiotherapy over using combined treatment (primary surgery followed by radiotherapy or chemoradiotherapy)<sup>3</sup> is still lacking, while toxicity of intensive chemoradiotherapy causing severe dysphagia with dependence on a gastrostomy tube has been well documented<sup>1,4</sup>.

In recent years, transoral robotic surgery (TORS) has been used for the removal of pharyngeal and laryngeal cancers with the objective to improve functional and aesthetic outcomes without worsening survival<sup>5-7</sup>.

Based on reports in transoral laser surgery (TOLS), the benefits of the transoral approach to the pharyngo-laryngeal lumen are well known<sup>8,9</sup>. TORS allows a clearer and wider view of the surgical field and better 3D visualization of structures than TOLS, enabling access to the tumour via a smaller approach than the external one. Another advantage of TORS is the use of miniaturized tools. This allows mimicking standard surgical instruments and arm movements, with tremor filtration. In addition, it permits a frontal view and reaches “blind corners” of the pharyngo-laryngeal complex, not-perpendicularly positioned to the visual line due to the possibility to use a 30° telescope<sup>10</sup>. One of the objectives of this study is to evaluate whether acceptable overall functional outcomes in case of tongue base tumours are obtained using TORS. Most reports describe the use of TORS in radical tonsillectomy<sup>5,11</sup> and partial laryngectomy<sup>12</sup>. Few authors have focused on tongue base neoplasms, most likely due to the difficulty to recruit eligible cases<sup>6,13-18</sup>.

The aim of this study was to demonstrate the feasibility, efficacy, functional outcomes and costs in a consecutive series of T1-T2 ( $\leq 3$  cm) patients with tumours of the base of the tongue treated with TORS.

## Materials and methods

### *Patient characteristics*

Data were collected from a group of consecutive patients who underwent TORS for tumours of the base of the tongue at the Department of Otolaryngology, Head Neck Surgery of the Regina Elena National Cancer Institute in Rome, Italy. The study was a prospective, single-centre cohort trial. The local ethics committee approval was obtained to perform a clinical trial using the da Vinci Robot (Intuitive Surgical Inc., Sunnyvale, CA) for the resection of head and neck tumours.

Inclusion criteria was the presence of a T1-T2 ( $\leq 3$  cm) oropharyngeal tumour of the base of the tongue, histologically-proven, that was amenable to transoral radical “en bloc” resection. Decision making to treat or not these patients by TORS was made in a tumour board counseling upon clinical evaluation and magnetic resonance imaging (MRI) or computed tomography scan, in case of impossibility to obtain MRI, that was used for detecting tumour extension. Patients with a tumour of the tongue base with superficial extension or infiltration into intrinsic muscles  $\leq 3$  cm were included in the study, while infiltration of the extrinsic muscle by the tumour (cT4a) represented a contraindication to this surgery. Patients with a mouth opening  $< 2.5$  cm and/or distant metastasis were excluded from the study. Presence of nodal metastasis or previous treatment for head neck malignancy did not influence the procedure on the primary tumour. Neck dissection (ND) was always indicated for patients with squamous cell carcinoma staged as T1 and T2, while ND was not indicated for patients with mucoepidermoid carcinoma (low grade), in accordance with NCCN guidelines<sup>19</sup>. Informed consent form was obtained by all patients after attending a counselling session on the alternatives to surgery. Patients were followed up every 2 months for the first year, every 3 months for the second and third year and every 6 months thereafter. At each visit, history and clinical examination were performed, including flexible endoscopy. PET-CT scan and MRI of the tongue base were performed every 6 months for the first two years and every year thereafter.

### *Tumour management*

Surgery started by positioning a temporary tracheostomy and NGFT. A tracheostomy tube was placed at the beginning of surgery before the TORS time for preventing difficulty during intubation and allowing better tumour exposure. When indicated, elective or therapeutic ND was performed during the same procedure, prior to TORS pharyngectomy. The TORS technique applied for tumours of the base of the tongue was the same as described by Moore et al.<sup>20</sup>.

Exposure to the base of tongue was achieved by using a Feyh-Kastenbauer retractor. Radical surgery was assessed by the presence of intraoperative negative margins at the frozen section exam.

Postoperative (p.o.) radiation or concurrent radiochemotherapy were recommended if adverse features were present, including: positive or close ( $< 0.5$  cm) surgical margins, 2 or more metastatic lymph nodes and whether there was extracapsular spread in the cervical lymph nodes.

### *Outcome measures*

Demographic, clinicopathological, and follow-up data were collected. Global time of TORS procedure, TORS setup time, TORS operative time were recorded. Hospital stay was also registered. Intraoperative and p.o. compli-

cations were reported. The recovery to normal breathing (removal of tracheostomy tube) and swallowing (removal of NGFT) were reported. The long-term results were assessed by interviewing each patient about their breathing, speech and swallowing preoperatively at 1 month postoperatively and 3 months after radiotherapy or chemoradiotherapy, when performed. Functional assessment of breathing was done after evaluating a breathing score (BS) (CS 0 = normal breathing; 1 = minor dyspnoea, 2 = gross dyspnoea). Functional assessment of speech was based on a communication score (CS) (CS 0 = normal speech; 1 = minor dysphonia, 2 = gross dysphonia). Functional assessment of swallowing was measured recording a dysphagia score (DS) (DS 0 = normal swallowing; 1 = minor dysphagia; 2 = gross dysphagia). The functional impairment was considered "minor" when it was felt by the patient as abnormality without affecting the daily routine, while as "major" when he/she had to change habits. The role of TORS in reducing or avoiding p.o. adjuvant treatment was also considered. The cost analysis was carried out taking into consideration "Da Vinci" surgical robot-related direct costs.

## Results

From October 2010 to February 2012, TORS was performed in 13 consecutive patients affected by T1-T2 ( $\leq 3$  cm) tumours of the base of the tongue at the Department of Otolaryngology Head Neck Surgery of the Regina Elena National Cancer Institute in Rome, Italy.

Mean age of patients was 60.8 years (range 43-76; SD 9.7), 9 patients (69.2%) were male and 4 (30.8%) female. Table I shows the characteristics of patients. TORS represented the primary treatment for the oropharyngeal tumour in all cases, except in one already treated with chemoradiotherapy for squamous cell carcinoma of the base of the tongue. One patient was previously irradiated for an oral cavity cancer and another patient had a previous total laryngectomy for laryngeal cancer.

Preoperative biopsies tested positive for malignancies in all cases evidencing carcinoma. The final pathology report confirmed malignancy in 13 cases with: 11 squamous cell carcinomas and 2 mucoepidermoid carcinomas. Clear resection margins were obtained in all cases except in one with close margins ( $< 0.5$  cm). The average intraoperative blood loss was 105 mL (range 15-420 mL). Blood loss was measured by evaluating the mL of blood present in the aspiration system.

ND was indicated in the 11 patients with squamous cell carcinomas, while it was not performed in case of mucoepidermoid carcinoma as suggested by NCCN guidelines<sup>19</sup>. ND was performed only in 7 cases (6 monolaterally and 1 bilaterally) synchronously to the treatment of the primary tumour except in 4 cases (all cN0) for the following reasons: 1 case already dissected, 1 case delayed

for intraoperative arrhythmia, 1 case for intraoperative anaphylactic shock and 1 case for previous irradiation of the neck.

Table II shows clinical staging by TNM classification. Pathological findings were as follows: 7 cases of stage I (7 pT1 cN0), 1 case of stage II (1 pT2 pN0), 1 case of stage III (1 pT2 pN1), 4 cases of stage IV (2 pT1 pN2b and 2 pT2 pN2b).

All patients underwent temporary tracheostomy, except the one with previous total laryngectomy. A NGFT was positioned in 10 (76.9%) patients; it was not used in the patient with previous total laryngectomy and in 2 cases who refused it.

Global time of the TORS procedure averaged 95 min (set-up time  $25 \pm 7$  min; TORS time  $70 \pm 18$  min).

One gram (g) of intravenous paracetamol 2 or 3 times daily was delivered for the first p.o. 48 hours. Antibiotic therapy with amoxicillin and clavulanic acid 2.2 g intravenously, twice a day, was maintained for 1 week after surgery. No deaths occurred. Surgical complications were observed in 4 cases (3 cases of p.o. bleeding on p.o. day 4, 6 and 14 and 1 case of intraoperative anaphylactic shock). The patient with p.o. bleeding on day 6 required surgical revision with transoral cauterization of small vessels of the tongue base for a 250 mL blood loss, while the other 2 patients with p.o. bleeding did not require further surgery because of a 50 and 60 mL blood loss that spontaneously

**Table I.** Clinical characteristics of patients.

Characteristic	Patients, N (%)
<b>Sex</b>	
Female	4 (30.8)
Male	9 (69.2)
<b>Histology</b>	
Squamous cell carcinoma	11 (84.6)
Mucoepidermoid carcinoma (low grade)	2 (15.4)
<b>Neck dissection</b>	
Yes	7 (53.8)
No	6 (46.2)
<b>Adjuvant treatment</b>	
No	8 (61.5)
Radiotherapy	1 (7.7)
Radiochemotherapy	4 (30.8)

**Table II.** Clinical staging by TNM classification.

Clinical classification	cT1	cT2	Total
cN0	6	1	7
cN1	0	1	1
cN2a	0	0	0
cN2b	1	3	4
cN2c	1	0	1
cN3	0	0	0
<b>Total</b>	<b>8</b>	<b>5</b>	<b>13</b>

stopped in 30 min. No cases of blood transfusion were registered. Median hospital stay was 9 days (range 3-30 days).

The tracheostomy cuff placed at the time of surgery was deflated on the first postoperative day and, then, substituted with an uncuffed tube the second postoperative day. The patient was decannulated when he was able to tolerate the cannula plugged for 24 hours consecutively. The average time of tracheostomy dependence was 6 days (range: 2-14 days). All patients were discharged from the hospital without tracheostomy tube. Breathing was considered as normal (BS = 0) by all patients before and after surgery. Speech evaluation did not show any difference before (CS = 0 in 12 cases and CS = 2 in the case with total laryngectomy) and after treatments (CS = 0 in 12 cases and CS = 2 in the laryngectomized case). The 76.9% of patients had a NGFT for enteral feeding and avoidance of aspiration or bleeding. All patients started nutrition with liquids 48 hours after surgery. The NGFT was removed when patient could tolerate both liquid and soft diet without aspiration. NGFT was placed for a mean time of 7.5 days (range: 3-18 days). Before surgery, DS was 0 in 6 cases, 1 in 6 cases and 2 in 1 case. After treatments (surgery alone or surgery followed by adjuvant treatment), DS was 0 in 9 cases and 1 in 2 cases. Return to oral function and normal diet was achieved in all patients and none of the patients complained of voice or breathing problems after surgery. Adjuvant treatment was indicated in 5 of 13 cases (38.4%) with malignancy: 4 cases had concomitant postoperative chemoradiotherapy (all stage IVa), 1 cases had postoperative radiotherapy alone (stage III). One patient with clinical stage IVa did not receive any adjuvant treatment after he was re-staged as stage II according to the pTNM.

The cost for a single TORS procedure at our Institution was about €2500 per procedure during the period of study, as calculated by the administration of the Institute.

The average follow-up time was 16 months (range, 8-27 months). This period is short for comparison with other treatments, however, all patients are being followed prospectively and oncologic data will be reported in the future as follow-up time increases. At last follow-up, all patients were alive without evidence of loco-regional disease or distant metastasis, except for one who died for causes unrelated to the neoplasia.

## Discussion

The use of TORS started in animal models in 2003. It was first applied in humans in 2005 for vallecula cyst<sup>21</sup>. In 2006, O'Malley and colleagues published the first three cases of tumour of the base of the tongue excised by TORS<sup>22</sup>. They demonstrated that the Da Vinci Robot provided excellent visualization and enabled transoral removal of the tumour while preserving key structures and

nerves. In addition, they showed that it further allowed a complete resection with negative surgical margins and without complications. Recently, many authors published reports using TORS for head and neck cancer. Weinstein and colleagues described the use of TORS in supraglottic laryngectomy<sup>23</sup> and radical tonsillectomy<sup>11</sup> in patients with squamous cell carcinoma. Desai et al. reported results in 7 cases with oropharyngeal and laryngeal tumours using the robotic system combined with flexible carbon dioxide laser<sup>24</sup>.

We analyzed the use of the Da Vinci system for radical treatment of oropharyngeal tumours, localized in the base of the tongue in order to gain an homogeneous group of patients with similar sites and sizes of tumours, whose excision would have previously required trans-mandibular or trans-pharyngeal approach. All the cases consisted of T1 and T2 smaller than 3 cm because we aimed to: (1) verify the feasibility of TORS as primary treatment in case of malignancy, (2) allow "en bloc" resection with free margins, (3) avoid reconstruction and (4) increase the learning curve before approaching more challenging cases. A longer follow-up time is required to confirm the data about the local control published by Weinstein et al.<sup>16</sup>. The routine use of tracheostomy and NGFT was the surgeon's first choice before opting for this new surgical approach. It was decided to begin the procedure in the safest way guaranteeing respiration and nutritional status in order to avoid complications such as extubation, haemorrhage and weight loss. Temporary tracheostomy was used in all cases. However, it was not required in patients who already had a total laryngectomy. In the Weinstein study on radical tonsillectomy, 20/27 patients were extubated at the end of the TORS<sup>11</sup>, Genden did not perform any tracheostomies in 20 cases treated with TORS<sup>5</sup>. The use of tracheostomy in the literature is less frequent than that observed in our study, even though the published papers focused on tonsil tumours and the authors preferred to extubate patients 24-48 hours after surgery. A great advantage in performing a temporary tracheostomy before TORS consisted in obtaining better exposure of the oropharynx. This may not justify routine use of the tracheostomy, but it can be taken into consideration for candidates opting to undergo TORS without good oropharyngeal exposure. We maintained the NGFT in the 77% of cases for a mean time of 7.5 days to avoid that the swallowing movement could facilitate bleeding. All patients started swallowing saliva on the first postoperative (p.o.) day and water on the second p.o. day. No patient complained about swallowing and all were discharged without NGFT. Other authors described discharging patients earlier from hospital and the use of percutaneous gastrostomy for a longer period than the NGFT reported in our study<sup>15 20 25 26</sup>.

Direct comparisons across these first reported functional outcomes is not straightforward. Performing tracheostomies avoided complications at the moment of extubation

and was useful in 2 of 3 cases of p.o. bleedings (patients bleeding on p.o. day 4 and 6). Conversely, NGFT did not prevent haemorrhage, as expected, but could guarantee enteral feeding as a proper way of nutrition. Complications related to TORS in the present series are not negligible. To date, 3 cases of haemorrhage represented 23% of the risk of complication related to TORS, even if only one case required surgical revision for haemostasis; the case of anaphylactic shock can hardly be related to TORS procedure, since it has never been described before and occurred following intra-operative drug administration.

We registered a global time of TORS of 95 min for the resection of tongue base lesions. A comparison with other statistics is difficult because other authors consider all the oropharyngeal subsites. The mean hospital stay was 9 days ranging from 3 days to 30 days in a patient submitted to excision of the tongue base extended to the supraglottis. The day before endoscopic surgery, external access to the base of the tongue has always required a transmandibular and/or transcervical approach with consequent high morbidity and poor cosmetic and functional outcomes<sup>2,28</sup>.

TORS for tongue base neoplasms contributed to improving all these aspects offering a substantial advantage for the patient. Future studies should validate the procedure in terms of oncologic outcomes.

One of the main criticisms against using robotic surgery is related to the cost of the procedure. At our hospital the cost per procedure is about €2500, considering that 400 "Da Vinci" procedures a year are carried out in 4 departments (urologic, gynaecologic, abdominal and otolaryngology). When considering this cost alone versus traditional surgical techniques (endoscopic or open), the amount seems excessive, but the true comparison should be done between using a surgical transcervical or transmandibular approach to using non-surgical options like radiation or chemoradiation. Saving hospitalization time itself could be enough to balance the costs of using the robotic system, but the advantages related to the less invasive procedure, the best recovery and the functional outcomes justify the expense. The advantages of TOLS compared to the external approach are already well known. Future studies should confirm whether robotic surgery represents the main field for tongue base cancers because the alternatives (external approach or non-surgical options) seem to be related to a high incidence of complications and sequelae<sup>2,27-29</sup>.

TORS, as surgical treatment, allowed to assess the pathological staging of the primary lesion in all patients. Neck dissections only added further information on the pathological nodal stage in 7 of 13 cases. TORS and neck dissections permitted the fine staging based on the pathological examination of the specimen. TORS represented the definitive treatment in 8 cases with oropharyngeal tumours of the base of the tongue without adverse events. TORS and neck dissection selected patients for postop-

erative adjuvant treatment sparing radiochemotherapy in one patient with clinical stage IVa who did not receive any adjuvant treatment after he was re-staged as stage II according to the pTNM. A great advantage of TORS is represented by the chance to give another option to patients with T1 and T2 ( $\leq 3$  cm) who would have required more aggressive surgery or chemoradiotherapy as non-surgical treatment.

## Conclusions

TORS represents a good tool for staging and treating neoplasms of the base of the tongue. The procedure is safe and can radically remove limited oropharyngeal tumours of the tongue base with good functional outcomes. Costs may be high but are related to the number of procedures carried out per year, although the advantages for patients seem to justify performing the procedure. TORS can represent the definitive treatment in selected T1-T2 cases of oropharyngeal tumours of the base of the tongue without adverse features and allow the possibility to deintensify adjuvant treatments.

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HEAD AND NECK

# The effect of substitution therapy on symptoms in patients with hypothyroidism following treatment for laryngeal and hypopharyngeal carcinomas

## *L'effetto della terapia sostitutiva sulla sintomatologia dei pazienti affetti da ipotiroidismo in corso di trattamento per carcinoma della laringe o dell'ipofaringe*

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### SUMMARY

Hypothyroidism is a well-known complication following treatment of laryngeal or hypopharyngeal carcinomas, and may cause various psychological and physical problems that negatively affect quality of life. The aim of this study was to evaluate the effect of substitution therapy on symptoms in patients with hypothyroidism. A study-specific questionnaire on physical and psychological problems (before and after substitution therapy) was sent to 70 patients who had been treated between 1977 and 2008 with clinical or subclinical hypothyroidism. Ninety-four percent returned the questionnaire. Symptoms on energy levels were reported most often (67% always tired and 70% lack of energy). Moodiness and emotional and physical symptoms were reported more often in substituted (sub)clinical hypothyroidism. Substitution therapy resulted in an improvement of energy ( $P = 0.013$ ), sense of general interest and enjoyment ( $P = 0.022$ ) and a reduction of puffy face ( $P = 0.041$ ). Most symptoms in patients with thyroid dysfunction do not improve after substitution therapy. Nevertheless, due to its impact on health-related quality of life and the low burden of substitution therapy, screening for hypothyroidism and subsequent substitution therapy remains important.

**KEY WORDS:** Health-related quality of life • Hypothyroidism • Substitution therapy • Laryngeal neoplasms • Hypopharyngeal neoplasms • Head and neck cancer

### RIASSUNTO

*L'ipotiroidismo è una delle possibili complicanze a seguito del trattamento di un carcinoma della laringe o dell'ipofaringe e può essere alla base di disturbi fisici e psicologici con conseguente impatto negativo sulla qualità di vita del paziente. Lo scopo del nostro studio è stato quello di valutare l'effetto della terapia sostitutiva nei pazienti con ipotiroidismo. Abbiamo sottoposto un questionario studio-specifico a 70 pazienti trattati nel periodo compreso fra il 1977 e il 2008 e affetti da ipotiroidismo clinico o subclinico, con l'intento di evidenziare le relative problematiche fisiche e psicologiche (prima e dopo il trattamento). Il 94% dei pazienti ha risposto al questionario. La sintomatologia maggiormente riferita è stata quella relativa allo stato di spossatezza del paziente (67% sempre stanco e 70% scarse energie). Disordini dell'umore, sintomi psicologici e sintomi fisici sono stati riportati più spesso in casi di ipotiroidismo (sub)clinico in terapia sostitutiva. La terapia sostitutiva migliorava esclusivamente il senso di spossatezza ( $P = 0,013$ ), il senso di generico interesse attivo e apprezzamento per le attività comuni ( $P = 0,022$ ), e la riduzione del gonfiore del viso ( $P = 0,041$ ). In conclusione la terapia sostitutiva risulta inefficace nel modificare l'andamento della maggior parte dei sintomi dei pazienti affetti da una disfunzione tiroidea. Tuttavia, in considerazione dell'impatto della terapia sulla qualità di vita del paziente e tenendo presente la scarsa invasività della terapia stessa, lo screening per l'ipotiroidismo e la relativa terapia sostitutiva restano indicati.*

**PAROLE CHIAVE:** Qualità della vita • Ipotiroidismo • Terapia sostitutiva • Neoplasie laringee • Neoplasie ipofaringee • Tumori del distretto testa-collo

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### Introduction

Hypothyroidism is a well-known complication following treatment of laryngeal and hypopharyngeal carcinomas due to the close anatomic proximity of the thyroid gland to the larynx, hypopharynx and cervical lymph nodes. Depending on the extent of treatment, the reported inci-

dence of hypothyroidism varies between 27-78%, with the highest incidence found after combination therapy of surgery and radiotherapy<sup>1,2</sup>. Surgery is believed to cause damage to the vasculature of the thyroid gland, while radiation can cause damage by inducing fibrosis within the capsule of the thyroid gland<sup>3,4</sup>. Thyroid dys-

function may cause various psychological and physical symptoms that negatively affect the quality of life. The main psychological symptoms include anxiety, dysphoria, emotional instability, depression, insomnia and cognitive dysfunction<sup>5</sup>. Physical symptoms consist of weight gain, cold intolerance, dry skin, constipation, lack of energy and fatigue<sup>6,7</sup>. Several studies have shown that subclinical hypothyroidism is a risk factor for coronary disease and atherosclerosis<sup>8-10</sup>. In patients treated for laryngeal or hypopharyngeal cancer, weight gain and cold intolerance were significantly increased and associated with hypothyroidism<sup>11</sup>. In patients with clinical hypothyroidism substitution, therapy with thyroxin is considered to be safe and inexpensive. Treatment of subclinical hypothyroidism is controversial. Gulseren et al. found significant improvements of anxiety, depression and fatigue both in clinical and subclinical hypothyroidism<sup>5</sup>. However, Jaeschke et al. found a lack of symptom response in subclinical hypothyroidism and suggested that symptoms were nonspecific and might not be caused by (subclinical) hypothyroidism<sup>6,7</sup>. Walsch et al. discovered that small changes in thyroxin dosage, with the aim of achieving serum TSH concentrations in the lower reference range, did not change well-being or quality of life<sup>10</sup>. Razvi et al. stated that substitution of subclinical hypothyroidism leads to a significant improvement of cardiovascular risk factors and fatigue<sup>8</sup>. A recent Cochrane review did not find statistically significant improvement of health-related quality of life and symptoms when comparing treated and untreated patients diagnosed with subclinical hypothyroidism<sup>12</sup>. Because substitution therapy requires lifelong monitoring with subsequent problems of labeling, multi-drug therapy and thyroid hormone-induced osteoporosis, Jaeschke et al. suggest watchful waiting in case of subclinical hypothyroidism<sup>6,7</sup>.

In the past, several questionnaires have been used scoring symptoms associated with hypothyroidism. McMillan et al. suggest using a questionnaire focusing on the impact of hypothyroidism on personally applicable life domains of the patient, by asking questions regarding work, spare time, family life and social life<sup>13</sup>. Others suggest using a disease-specific questionnaire geared towards symptoms including physical complaints, emotional problems, decreased energy and/or well-being, and cognitive decline<sup>6,7,14</sup>. In the present study, we use a study-specific questionnaire based on the disease-specific questionnaires. The number of questions regarding physical symptoms, energy levels, moodiness and emotional symptoms has been narrowed down to make the questionnaire easier for patients.

The aim of this study is to evaluate the importance of various aspects of hypothyroidism and the effect of substitution therapy on the quality of life in patients who

have been treated for laryngeal or hypopharyngeal carcinomas, and who have subsequently developed hypothyroidism.

## Materials and methods

This study was conducted by systematically questioning a group of patients previously treated for laryngeal or hypopharyngeal cancer by surgery, with or without radiotherapy or (chemo)radiotherapy, and subsequently developed clinical or subclinical hypothyroidism. The databases from a cross-sectional study and an ongoing prospective study (started in 2004) were used<sup>11</sup>. Patients with psychiatric disorders or total thyroidectomy were excluded. All patients who had developed overt hypothyroidism or subclinical hypothyroidism before March 2008 were included. A total of 37 patients with clinical hypothyroidism and 60 patients with subclinical hypothyroidism were identified. Thirty-eight patients were from our previous reported cross-sectional study; at that time no routine monitoring of thyroid function was performed and thus the chronology of development of the hypothyroidism could not be evaluated. The remaining 32 patients were from a prospective study in which thyroid function was performed every 6 months after cancer treatment. The median time to development of hypothyroidism in the latter group was 10 months.

Hypothyroidism was defined as overt (clinical) if basal thyroid-stimulating hormone (TSH) was increased and free thyroxin (FT4) was decreased. Hypothyroidism was defined as subclinical if the basal TSH was increased and free FT4 was normal. Reference values in this study were TSH 0.3-4.5 mU/l and FT4 11.0-24.0 pmol/l. Patients with overt hypothyroidism and those with subclinical hypothyroidism characterized by TSH >10.0 mU/l were referred to an endocrinologist for substitution therapy. Patients with subclinical hypothyroidism and TSH < 10.0 mU/l were not treated unless clear symptoms of hypothyroidism existed. Twenty-seven patients had died before questionnaires were sent. The study cohort thus consisted of 70 patients. Of the 42 patients with subclinical hypothyroidism, 7 patients were staged with T2, 4 patients with T3, 8 patients with T4 and 9 patients were treated for recurrence. Of these patients, 30 were treated by radiation only and 12 by total laryngectomy with hemithyroidectomy and postoperative radiotherapy. Of the 28 patients with clinical hypothyroidism, 7 patients were staged with T1, 15 patients with T2, 5 patients with T3, 6 patients with T4 and 9 patients were treated for recurrence after (chemo)radiotherapy. Of these patients, 16 received radiotherapy only and 12 patients total laryngectomy, hemithyroidectomy and postoperative radiotherapy. As only routine clinical data and questionnaires were used, approval by the medical ethics committee was considered not to be necessary.

A study-specific questionnaire was used. Patients were given a list of questions that queried physical symptoms, energy levels, moodiness and emotional symptoms before and after substitution therapy (Paragraph 1). Patients with subclinical hypothyroidism, who were not treated with substitution therapy, filled out the first part of the questionnaire only. Patients filled in the questionnaire and rated how they felt before and after substitution therapy on a scale of 1 (no symptoms) to 5 (extremely large number of symptoms), retrospectively. There was adequate space available for comments. Patients were asked to return the questionnaire anonymously. The questionnaire was first sent out in March 2008. In May 2008, the questionnaire was sent out once more to all patients except those who had returned the questionnaire including their name.

### Results

Prior to sending the questionnaire out, the patients were divided in two groups; overt hypothyroidism (n = 28) and subclinical hypothyroidism (n = 42). Because of the small number of patients, scores 2 and 3 as well as 4 and 5 were grouped for statistical analysis. A score change, the difference in symptoms-score before and after substitution,

was noted. Wilcoxon signed ranks test and McNemar test were used to compare the two groups. A chi-square test was used to test for differences in improvement after substitution therapy.

Sixty-six patients returned the questionnaire (response rate of 94%). Thirty-four patients received substitution therapy for clinical or subclinical hypothyroidism and 32 patients were not treated for subclinical hypothyroidism. Symptoms on energy levels and moodiness and emotional symptoms were reported most often before substitution therapy was started. A lack of energy was the most frequently reported complaint (70%) following treatment of a laryngeal or hypopharyngeal carcinoma. Many patients mentioned symptoms of always being tired (67%) and an increased need for sleep (64%). A substantial number of patients mentioned being slower physically (58%), slower movements (58%), difficulty concentrating (56%) and cold intolerance (53%) (Table I). Besides their responses to the items included in the questionnaire, 9 patients reported other symptoms after oncological treatment such as headache, heavy breasts, numbness of fingers and hands, swollen feet, pale hands or feet, itchy hands and irritability. Five patients specifically reported significant improvement after substitution therapy. Two

**Table I.** Symptoms after treatment for laryngeal or hypopharyngeal cancer in patients who developed hypothyroidism.

Symptoms	NO		YES	
	(n)	(%)	(n)	(%)
<b>Physical symptoms</b>				
Weight gain	41	62.1	25	37.9
Puffy face	48	72.7	18	27.3
Cold intolerance	31	47.0	35	53.0
Dry skin	33	50.0	33	50.0
Dry hair	39	59.1	27	40.9
Swollen hands	56	82.8	10	17.2
Muscle weakness	37	56.1	29	43.9
<b>Energy levels</b>				
Always tired	22	33.3	44	66.7
Increased need of sleep	24	36.4	42	63.6
Lack of energy	20	30.3	46	69.7
Physically slower	28	42.4	39	57.6
Mentally slower	37	56.1	29	43.9
General exhaustion	33	50.0	33	50.0
Less interest in going out	37	56.1	29	43.9
Slower movements	28	42.4	39	57.6
<b>Moodiness and emotional symptoms</b>				
Feeling frustrated	35	53.0	31	47.0
Feeling depressed	38	57.6	29	42.4
Difficulty concentrating	29	43.9	37	56.1
Decreased sense of general interest and enjoyment	32	48.5	34	51.5

NO = score 1 = no symptoms

YES = score 2+3+4+5 = few symptoms+average number of symptoms+large number of symptoms+extremely large number of symptoms



**Table II.** Symptoms in patients with substituted hypothyroidism (HT) (overt and subclinical) compared with non-substituted subclinical HT after treatment for laryngeal or hypopharyngeal cancer.

Symptoms	Substituted HT (n = 34)					Non-substituted HT (n = 32)					P		
	1	2+3	4+5	1	2+3	4+5	1	2+3	4+5				
<b>Physical symptoms</b>													
Weight gain	21	61.7%	12	35.3%	1	2.9%	20	62.5%	9	28.1%	3	9.4%	0.533
Puffy face	24	70.6%	4	11.8%	6	17.6%	24	75.0%	8	25.0%	0	0	0.072
Cold intolerance	14	41.2%	11	32.4%	9	26.5%	17	53.0%	13	40.6%	2	6.3%	<b>0.046</b>
Dry skin	16	47.0%	10	34.0%	8	23.5%	17	53.0%	10	31.3%	5	15.6%	0.435
Dry hair	20	58.8%	9	26.5%	5	14.7%	19	59.3%	13	40.6%	0	0	0.149
Swollen hands	28	82.4%	5	14.7%	1	2.9%	28	87.5%	4	12.5%	0	0	0.364
Muscle weakness	16	47.0%	9	26.5%	9	26.5%	21	65.6%	10	31.3%	1	3.1%	<b>0.012</b>
<b>Energy levels</b>													
Always tired	11	32.4%	11	32.4%	12	35.3%	11	34.4%	13	40.6%	8	25.0%	0.453
Increased need of sleep	11	32.4%	13	38.2%	10	34.0%	13	40.6%	11	34.4%	8	25.0%	0.563
Lack of energy	10	34.0%	13	38.2%	11	32.4%	10	31.3%	15	46.9%	7	21.9%	0.427
Physically slower	14	41.2%	10	34.0%	10	34.0%	14	43.8%	15	46.9%	3	9.4%	0.118
Mentally slower	18	52.9%	9	26.5%	7	20.6%	19	59.3%	12	37.5%	1	3.1%	0.086
General exhaustion	16	47.0%	10	34.0%	8	23.5%	17	53.0%	12	37.5%	3	9.4%	0.194
Less interested in going out	17	50.0%	9	26.5%	8	23.5%	20	62.5%	10	31.3%	2	6.3%	0.071
Slower movements	13	38.2%	11	32.4%	10	34.0%	15	46.9%	15	46.9%	2	6.3%	<b>0.039</b>
<b>Moodiness and emotional symptoms</b>													
Feeling frustrated	16	47.0%	10	34.0%	8	23.5%	19	59.3%	8	25.0%	5	15.6%	0.320
Feeling depressed	18	52.9%	8	23.5%	8	23.5%	20	62.5%	8	25.0%	4	12.5%	0.256
Difficulty concentrating	11	32.4%	14	41.2%	9	26.5%	18	56.3%	11	34.4%	3	9.4%	<b>0.030</b>
Decreased sense of general interest and enjoyment	12	35.3%	11	32.4%	11	32.4%	20	62.5%	9	28.1%	3	9.4%	<b>0.011</b>

Score 1: no symptoms; score 2: few symptoms; score 3: average number of symptoms; score 4: large number of symptoms; score 5: extremely large number of symptoms  
 P difference: the difference in symptoms between substituted HT and non-substituted HT

patients reported an inability to compare symptoms before and after substitution therapy, as their hypothyroidism was directly diagnosed and treated, postoperatively. In comparison to the group of patients that did not receive treatment, the patients that were treated with substitution therapy had, prior to treatment, more often complained of muscle weakness and a decreased sense of general interest and enjoyment ( $p = 0.012$  and  $p = 0.011$ ). Differences were also seen in symptoms such as difficulty concentrating ( $p = 0.030$ ), slower movements ( $p = 0.039$ ) and cold intolerance ( $p = 0.046$ ) (Table II). For 60-94% of patients in the group that received substitution therapy, symptoms did not improve following substitution. Six patients (18%) specifically mentioned no improvement in symptoms after substitution therapy was started. Reported improvement in symptoms after substitution therapy included lack of energy (7 patients improved one score-change; 2 patients improved two score-changes); decreased sense of general interest and enjoyment (5 patients improved one score-change; 4 patients improved two score-changes); puffy face (2 patients improved one score-change; 2 patients improved two score-changes and 1 patient improved three score-changes) and being physically slower (8 patients improved one score-change; 1

patient improved two score-changes). A non-significant trend towards improvement of symptoms was found in favour of the treated group considering dry skin and general exhaustion (7 patients improved one score-change on both symptoms) and slowing of movements (6 patients improved one score-change) (Table III).

## Discussion

The present study shows the prevalence of a wide variety of symptoms in patients diagnosed with hypothyroidism after treatment of laryngeal or hypopharyngeal carcinoma with laryngectomy and radiotherapy. A lack of energy, always being tired, an increased need of sleep and being physically slower are reported most often. These symptoms however, are often mentioned after cancer treatment and are therefore not specific to hypothyroidism. Watt et al. stressed that symptoms related to general well-being are more relevant, whereas clinicians tend to focus on physical symptoms characteristic for hypothyroidism<sup>14</sup>. It is arguable whether thyroxin substitution should be administered in case of subclinical hypothyroidism. A recent Cochrane review did not show improvement in symptoms after substitution therapy in patients with subclinical hy-

**Table III.** Improvement in symptoms after substitution therapy.

Symptoms	Score change (n = 34)						Mean score change	P	
	-3	-2	-1	0	1	2			3
	Improvement								
<b>Physical symptoms</b>									
Weight gain	1		2	26	4	1	0.029	0.660	
Puffy face				29	2	2	1	0.265	<b>0.041</b>
Cold intolerance			4	23	3	4		0.206	0.118
Dry skin	1	1	4	20	7		1	0.059	0.816
Dry hair		2	2	28	2			0.118	0.234
Swollen hands		1		32	1			0.029	0.655
Muscle weakness		1	1	28	4			0.029	0.738
<b>Energy levels</b>									
Always tired			3	24	7			0.118	0.206
Increased need of sleep		1	1	24	5	3		0.235	0.103
Lack of energy			1	24	7	2		0.294	<b>0.013</b>
Physically slower		1		24	8	1		0.235	0.051
Mentally slower		1		27	5	1		0.147	0.187
General exhaustion		1	2	26	5			0.029	0.763
Less interested in going out		1	1	28	3	1		0.059	0.588
Slower movements			3	24	6	1		0.147	0.166
<b>Moodiness and emotional symptoms</b>									
Feeling frustrated			5	23	3	3		0.118	0.329
Feeling depressed			3	26	3	2		0.118	0.271
Difficulty concentrating	1		1	24	5	3		0.206	0.143
Decreased sense of general interest and enjoyment			2	23	5	4		0.324	<b>0.022</b>

Score change: the difference between symptom score (score 1: no symptoms; score 2: few symptoms; score 3: average number of symptoms; 4: large number of symptoms; score 5: extremely large number of symptoms) before and after substitution therapy. Positive number means improvement and negative number means deterioration of symptoms after substitution therapy.

pothyroidism<sup>12</sup>. However, over the long term, it is commonly assumed that subclinical hypothyroidism evolves into clinical hypothyroidism, and it is reported that high serum levels of TSH are a risk factor for thyroid carcinoma<sup>15</sup>. Moreover, subclinical hypothyroidism may have a deleterious effect on wound healing and flap survival, and is associated with elevated cholesterol levels and congestive heart failure<sup>16</sup>. It is therefore suggested that thyroid hormone substitution be administered in case of subclinical hypothyroidism, or at least when TSH >10.0 mU/l.

On the other hand, in head and neck cancer patients an association between development of hypothyroidism and improved survival has been recently reported by Nelson et al.<sup>16</sup>. In a retrospective study, they found that patients who develop secondary hypothyroidism after treatment have increased overall survival and recurrence-free survival compared to patients who did not become hypothyroid.<sup>16</sup> In view of the growing body of evidence supporting a permissive role for thyroid hormone in the growth of certain solid tumours, this may be especially relevant for individuals with a prior or current cancer diagnosis<sup>17</sup>.

Only very limited data are available on quality of life in patients with hypothyroidism after treatment of laryngeal or hypopharyngeal carcinoma. Because head and neck cancer patients may already have a diminished quality of life, data on quality of life in other patients with hypothyroidism may not be applicable to patients with hypothyroidism due to treatment of laryngeal or hypopharyngeal carcinoma. Our results can improve patient counselling and also provide guidance in observing symptoms attributed to hypothyroidism after oncological treatment and in evaluating subsequent response to substitution therapy.

One limitation of our study is the lack of a control group of patients treated for a laryngeal or hypopharyngeal carcinoma without hypothyroidism. The second limitation is that we do not know whether other comorbidities, other than psychiatric disorder and thyroid disorder, were present which may cause symptoms similar to those found in hypothyroidism. Moreover, due to the anonymous character of the survey no real distinction could be made between clinical and subclinical hypothyroidism treated by substitution therapy for the entire group. Because most

patients with subclinical hypothyroidism were not treated, we might expect the vast majority of the treated group of patients to consist of those with clinical hypothyroidism. The third limitation is that our survey was a retrospective study in which patients were asked to score their symptoms before and after substitution therapy. It may have been difficult for patients to score their symptoms due to the time interval, and a longitudinal, prospective study would reveal more reliable data. We included patients with clinical or subclinical hypothyroidism, with and without substitution therapy. Patients with subclinical hypothyroidism probably have fewer symptoms and therefore may not benefit from thyroxine substitution as stated by the recent Cochrane review<sup>12</sup>. Therefore, the effect of substitution therapy in patients with clinical hypothyroidism maybe greater than the effect found in our entire group, consisting of both clinical and subclinical hypothyroidism patients who have received substitution therapy.

We found at diagnosis that most symptoms were very similar in patients with treated or non-treated hypothyroidism. Jaeschke et al. found a higher frequency of symptoms such as dry skin, weight gain, feeling physically slower, a lack of energy, an increased need of sleep and feeling tired in overt hypothyroidism, by comparison to subclinical hypothyroidism<sup>6,7</sup>. The present study found that patients more often reported cold intolerance, muscle weakness, slower movements, difficulty concentrating and a decreased sense of general interest and enjoyment. Despite thyroxine substitution treatment, most patients still reported several symptoms attributable to hypothyroidism, as also reported by Romijn et al. and the recent Cochrane review on subclinical hypothyroidism<sup>5,8,12,18</sup>. Because data on thyroxine dosage and thyroid function after substitution were not available for all patients, it is possible that some patients received suboptimal substitution of hypothyroidism<sup>19</sup>. However, these symptoms may also be caused by other comorbidities or might be due to another sequela of cancer and its treatment. Moreover, the symptoms that are erroneously considered to be classical hypothyroid symptoms may be difficult to treat<sup>14</sup>.

Screening for hypothyroidism and substitution therapy following treatment of a laryngeal or hypopharyngeal carcinoma remains of the utmost importance. Fairly simple screening procedures, such as thyroid function testing and patient questionnaires, may improve at least some aspects of the quality of life for patients.<sup>15</sup>

## Conclusions

In patients treated for laryngeal or hypopharyngeal cancer several symptoms can be observed that may be caused by hypothyroidism. Substitution therapy only improves a few symptoms such as a puffy face, a lack of energy, a decreased sense of general interest and enjoyment and be-

ing physically slower. Although improvement of quality of life may be limited, substitution therapy of clinical and subclinical (if TSH > 10.0 mU/l) hypothyroidism is advised because of the easy and inexpensive treatment and potential prevention of wound healing and cardiovascular problems.

Prospective randomized studies should include both the symptoms and response to substitution therapy of larger groups of patients diagnosed with either clinical or subclinical hypothyroidism.

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## AUDIOLOGY

# Effect of vitamin B12 deficiency on otoacoustic emissions

## *Effetti del deficit della vitamina B12 sulle otoemissioni acustiche*

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## SUMMARY

The aim of this study was to investigate the possible association between otoacoustic emission (OAE) values and cochlear function in patients with vitamin B12 deficiency and no evidence of symptomatic hearing loss. Two groups were studied: Group 1: patients with vitamin B12 deficiency; Group 2: a matched control group of patients with normal vitamin B12 levels. There was no evidence of symptomatic hearing loss in either group. Transiently evoked OAEs (TEOAEs) and spontaneous OAEs (SOAEs) were recorded. A comparative analysis of the studied parameters revealed that results at TEOAE 1000, SOAEs 1500 and SOAEs 4000 Hz were somewhat lower in the vitamin B12 deficient group compared with the control group. According to our findings, there was a significant association between vitamin B12 deficiency and cochlear dysfunction. We recommend that routine vitamin B12 serum levels be determined when evaluating patients for symptomatic hearing loss.

KEY WORDS: Vitamin B12 deficiency • Hearing loss • Otoacoustic emission

## RIASSUNTO

*L'obiettivo del nostro lavoro è stato lo studio della funzione cocleare tramite le otoemissioni acustiche(OAE) in pazienti con deficit della vitamina B12 senza evidenza di ipoacusia sintomatica. Abbiamo diviso i pazienti in due gruppi; Gruppo 1: pazienti con deficit di vitamina B12; gruppo 2: pazienti con livelli normali di vitamina B12 (controllo). I pazienti di entrambi i gruppi non riferivano ipoacusia soggettiva. Sono state registrate le otoemissioni acustiche transienti (TEOAEs) e le otoemissioni spontanee (SOAEs). Un'analisi comparativa dei parametri studiati ha rivelato che le TEOAEs a 1000, le SOAEs a 1500 e 4000 Hz erano relativamente più basse nel gruppo con deficit di vitamina B12 che nel gruppo di controllo. Sulla base dei nostri dati è possibile stabilire un'associazione significativa fra il deficit di vitamina B12 e la disfunzione cocleare. Per tale motivo riteniamo sia indicato di routine il dosaggio della vitamina B12 sierica nei pazienti affetti da ipoacusia di tipo cocleare.*

PAROLE CHIAVE: *Deficit della vitamina B 12 • Ipoacusia • Otoemissioni acustiche*

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## Introduction

In humans, vitamin B12 deficiency may affect haematological, gastrointestinal and neurological systems. Neurological damage is the result of pathological changes, which may eventually lead to demyelination, axonal degeneration and eventual neuronal death<sup>1,2</sup>. Electrophysiological studies in patients with vitamin B12 deficiency have revealed the presence of sensory motor axonopathy as a result of neurological deficits such as myelopathy, myeloneuropathy, peripheral neuropathy, axonal demyelinating and optic neuropathy<sup>3</sup>. Hearing loss is a major health problem, which results in a decrease in income, workforce and quality of life. Some studies have reported that hearing loss, tinnitus and noise-induced hearing loss (NIHL) are associated with vitamin

B12 deficiency, with a possible relationship between vitamin B12 deficiency and dysfunction of the auditory pathway<sup>4,9,10</sup>. However, other investigations have failed to find any strong evidence to support such an association<sup>5,23,24</sup>.

Herein, we aimed to determine the relationship between vitamin B12 deficiency and cochlear function, in particular at the level of the outer inner hair cells.

## Materials and methods

Fifty-three patients were included in the study. The vitamin B12 deficiency group (Group 1) comprised 33 patients between 18 and 69 years with a mean age of 44.6 [females, 19 (57.6%); males, 14 (42.4%)]. The remaining 20 patients in the control group (Group 2) were between

20 and 57 years with a mean age of 42.4 [females, 18 (54.5%); male, 15 (45.5%)]. This prospective study included 33 patients (66 ears) with vitamin B12 deficiency and normal hearing. All subjects (patients and controls) gave informed consent prior to inclusion in the study. The control group included 20 healthy subjects (40 ears) with normal hearing and normal vitamin B12 levels. Pure tone audiometric threshold averages in the (0.5, 1, 2, 4, 6 kHz) lower than 30 dB HL were accepted in the study.

To ensure reliability of results, all patients underwent an otoscopic examination before performing the test. Patients with a clean external ear channel and normal eardrum were included. Pure tone audiogram (PTA), TEOAE and SOAEs measurements were performed bilaterally in both groups. Subsequently, the average of the OAE values obtained for each single patient was calculated. Patients in whom emissions could not be obtained or with remarkable medical histories (i.e. family history of hearing impairment or history of noise exposure, prescription of ototoxic drugs) were excluded. During the study, background noise was lower than 50 dB. OAEs were measured with the DPE choport IL-O292 (Otodynamics Ltd.) through the use of an adult probe. TEOAEs were measured at 1000, 1500, 2000, 3000 and 4000 Hz using standard techniques. PTAs were measured at 250, 500, 1000, 2000, 4000 and 6000 Hz. The same device was used in all cases for measurement of TEOAEs. A nonlinear mode of click stimulation of intensity (75+/-85) dB SPL was produced. The presence of TEOAE measurement method is the normal case of standard; reconstructed form reproducibility (correlation) value was accepted only when better than 70%<sup>15</sup>.

In analysis of TEOAE measures, parameters of reproducibility percentage, response (emission strength) value (dB) and S/N rate (signal to noise ratio) were evaluated. The averages and standard deviations (SD) of the OAE results were calculated. The differences between the OAE results of the B12 deficiency group and the control group were compared statistically using the Mann Whitney U test.

#### SOAE

Otoacoustic emissions (OAEs) are evident as sound emanating from the healthy cochlea, which may be recorded using a sensitive microphone placed in the ear canal. Without an external stimulus individuals emit sounds that can be recorded by the external ear, but which not are believed to originate from outer hair cells of the cochlea. These spontaneously-emitted sounds are called spontaneous otoacoustic emission (SOAE)<sup>16</sup>. This type of emission is narrow-band low intensity acoustic signals. Their incidence is around 38% in a population with normal hearing<sup>17</sup>.

#### TEOAE

A commonly-studied OAE is elicited in response to a brief transient sound, such as a click or a tone burst. This

is referred to as transient-evoked otoacoustic emission (TEOAE), and can be measured as electromotive activity emitted in outer hair cells of cochlea after a specific latent period in response to an acoustic stimulus from external ear. This type of emission is widely used in clinical practice. TEOAE is present in all ears with normal hearing and almost normal cochlear function<sup>19</sup>.

#### Statistical analysis

All analyses were conducted using SPSS 15.0 (SPSS® for Windows 15.0, Chicago, USA). The data were distributed non-parametrically according to the one-sample Kolmogorov-Smirnov test. Comparisons of all parameters were done using the Mann Whitney U test. Variables were expressed as mean  $\pm$  SD. A two tailed  $p < 0.05$  was considered statistically significant.

## Results

The results for the two groups and comparison with the Mann Whitney U test are shown in Tables I-IV. In the vitamin B12 (Group 1), the following values were obtained: min: 121 pg/mL, max: 157 pg/mL (mean 140.52 pg/mL). In Group 2: min: 210 pg/mL, max: 435 pg/mL (mean 262.5 pg/mL). There were no significant differences in terms of gender or age between the two groups. The PTA thresholds in decibels are presented in Table I. There was no statistically significant difference between the groups in terms of audiological results ( $P > 0.05$ ).

A statistically significant decrease in the emission values was observed at 1 kHz in TEOAEs ( $P < 0.05$ ) (Tables II, III) and 1500-4000 Hz in SOAEs ( $P < 0.05$ ) (Table IV) between the vitamin B12 deficiency and control groups.

## Discussion

OAEs are sounds recorded in the external ear, generated by the outer hair cells<sup>7</sup>. The measurement of OAEs provides an indicator of the functioning of the peripheral auditory organ. Both the cochlea components of hearing loss can be monitored, and a subclinical dysfunction of hearing presenting no audiological evidence can be detected by OAE tests, which are objective and non-invasive<sup>8</sup>.

According to the findings of our study, the results of TEOAE 1000 and SOAEs 1500, 4000 Hz were lower in the vitamin B12 deficiency group. This finding suggests that vitamin B12 deficiency has a negative effect on hearing at the cochlear level. The significant results at different frequencies in our TEOAE and SOAEs analysis depends on the characteristics of these types of emission. In previous studies, the incidence of SOAEs was around 30-40%<sup>18,17</sup> and with a clinical value that was more limited than TEOAE<sup>20,21</sup>.

**Table I.** Pure tone audiometric thresholds (PTA).

	250 Hz Mean ± SD	500 Hz Mean ± SD	1000 Hz Mean ± SD	2000 Hz Mean ± SD	4000 Hz Mean ± SD	6000 Hz Mean ± SD
B12 group	19.47 ± 7.34	14.70 ± 7.64	12.05 ± 8.27	13.41 ± 11.37	20.15 ± 17.45	24.85 ± 20.15
Control	19.00 ± 6.99	15.63 ± 6.01	12.13 ± 4.37	12.00 ± 5.86	16.00 ± 6.99	19.75 ± 9.26
P	0.79	0.30	0.31	0.73	0.77	0.90

**Table II.** Changes in TEOAEs.

TEOAE	TEOAE 1 kHz Mean ± SD	TEOAE 1.5 kHz Mean ± SD	TEOAE 2 kHz Mean ± SD	TEOAE 3 kHz Mean ± SD	TEOAE 4 kHz Mean ± SD
B12 group	6.00 ± 6.01	11.27 ± 10.78	18.33 ± 18.78	12.33 ± 13.81	14.14 ± 23.05
Control	10.23 ± 5.13	9.60 ± 7.59	14.60 ± 5.59	11.28 ± 6.05	6.73 ± 6.41
P	0.001	0.18	0.91	0.72	0.12

**Table III.** Changes in the TOAE signal-to-noise ratio (dB).

	TOAE 1 kHz Mean ± SD	TOAE 1.5 kHz Mean ± SD	TOAE 2 kHz Mean ± SD	TOAE 3 kHz Mean ± SD	TOAE 4 kHz Mean ± SD
B12 group	15.46 ± 5.09	9.22 ± 6.23	4.35 ± 7.80	26.21 ± 3.99	22.82 ± 5.09
Control	23.35 ± 5.28	12.51 ± 4.49	5.76 ± 7.38	24.43 ± 4.64	21.16 ± 3.93
P	0.02	0.34	0.47	0.14	0.26

**Table IV.** Changes in SOAEs.

	SOAE 1 kHz Mean ± SD	SOAE 1.5 kHz Mean ± SD	SOAE 2 kHz Mean ± SD	SOAE 3 kHz Mean ± SD	SOAE 4 kHz Mean ± SD
B12 group	9.79 ± 20.26	14.97 ± 6.25	15.85 ± 12.45	14.36 ± 16.91	16.00 ± 25.11
Control	10.60 ± 21.08	11.93 ± 5.58	13.53 ± 6.29	10.38 ± 6.55	6.33 ± 5.98
P	0.71	0.024	0.29	0.31	0.021

According to a study on the reliability of TEOAE results, hearing scanning was performed on 31,092 newborns with TEOAE and clinical consultation/examination and ABR were required only in 0.8% of cases. This study demonstrates the efficiency of TEOAE measurements<sup>22</sup>.

De Capua et al., in a study testing 532 consecutive newborn infants by TEOAE, confirmed the feasibility and accuracy of universal neonatal hearing screening based on recording TEOAE<sup>25</sup>.

On the other hand, some authors suggest that TEOAE is not a very effective method due to its low sensitivity and specificity, false negative and false positive outcomes and discordance for some categories of hearing loss<sup>26,27</sup>. We believe that the method is acceptable considering the large number of screenings, and that TEOAE measures are more reliable than SOAE. We detected anomalies by the 1000 Hz frequency band of TEOAE. Deficiencies of vitamin B12 are widespread and are responsible for major morbidity across all age groups, and may have significant consequences for quality of life as it affects multiple systems in the body. It is relatively difficult to pinpoint the relationship between the auditory pathway

and vitamin B12 deficiency because of the effect of this deficiency on hearing sensitivity and the duration of the deficiency, as well as many other systemic and/or local effects.

None of our patients were able to provide definitive information on the duration of their vitamin B12 deficiency, and the impact of this duration on the results is unclear.

According to a study by Shemesh et al. on army personnel with tinnitus and related disorders, 47% of patients had vitamin B12 deficiencies, which may be overcome by vitamin supplementation. They also reported that the personnel reported some improvement following B12 vitamin replacement therapy. According to the authors, those findings suggest a relationship between B12 deficiency and auditory dysfunction<sup>4</sup>.

Houston et al. investigated whether a poor vitamin B12 and folate status may be associated with age-related auditory dysfunction<sup>9</sup>. In another study, researchers evaluated the effects of supra-physiological vitamin B12 administration on noise-induced temporary threshold shift in 20 young volunteers, which suggested that elevated plasma levels of vitamin B12 may reduce the risk of hearing dys-

function resulting from noise exposure in healthy young subjects<sup>10</sup>. Another study investigated the effect of vitamins A, E, B12 and folic acid on NIHL and found that vitamin A, E and folic acid levels were normal while the levels of vitamin B12 were low in individuals with hearing loss due to noise<sup>11</sup>. As a result, the authors recommend routine measurement of vitamin B12 in control of people working in noisy environment<sup>11</sup>. Although a number of studies, as noted above, support a negative effect of vitamin B12 deficiency on hearing function, others contend no such relationship<sup>15</sup>. In a study in individuals over the age of 60, folate and vitamin B12 values in those with normal hearing at both speaking frequency and high frequency were compared to those with hearing loss. A significant decrease in folate level in those with age-related hearing loss (ARHL) was found, but a significant relationship with vitamin B12 levels was not found. As a result, it was emphasized that folate deficiency might be significant in age-related hearing loss<sup>23</sup>. In another study in 2956 patients with presbycusis over the age of 50 years, serum folate, vitamin B12 and homocysteine levels were not found to play a role in hearing loss<sup>24</sup>.

As mentioned above, despite many studies, the effects of this widespread nutritional deficiency on the auditory pathway remain unknown<sup>6</sup>. Vitamin B12 is integral to the myelination process, and its lack or deficiency results in abnormal myelin formation or even complete demyelination<sup>12</sup>. Furthermore, animal experiments of B12 deficiency have revealed neuropathological effects<sup>13</sup>. Medial efferent fibres of the medial olivocochlear bundle originate in the medial nuclei of the superior olivary complex. The large myelinated fibres of the bundle project mainly contralaterally to the synapses at the base of the outer hair cells<sup>14</sup>.

Uğur and colleagues reported a contralateral suppression effect in children with type 1 diabetes mellitus who presented with no evidence of symptomatic neuropathy. The findings point to the presence of a dysfunction in the medial olivocochlear efferent system in diabetic children. This may be regarded as an early, central manifestation of diabetic neuropathy<sup>7</sup>.

Vitamin B12 deficiency is known to have negative effects on hearing by affecting myelination at any level of the retrocochlear area of the auditory system. More detailed studies are needed to determine the exact nature of these effects.

## Conclusions

There appears to be a correlation between vitamin B12 deficiency and cochlear function. The level of vitamin B12 in the blood should not be ignored in assessment of auditory function.

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## RHINOLOGY

# Evaluation of total oxidative stress parameters in patients with nasal polyps

## Valutazione degli indici di stress ossidativo cellulare totale in pazienti affetti da poliposi nasale

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## SUMMARY

Oxidative stress, an imbalance between reactive oxygen species production and antioxidative defense activity, is believed to have a role in the development and pathogenesis of nasal polyps (NPs). Based on this assumption, several known oxidants and antioxidants have been studied in patients with NPs. The purpose of this study was to evaluate the association between oxidative stress parameters with a more valid and reliable method in patients with NPs. Seventy-three patients with NPs, septal deviations and middle concha hypertrophies were recruited. Patients were divided into two groups; group 1 (n = 38) consisted of patients with NPs, and group 2 (n = 35) included patients with septal deviations and middle concha hypertrophies. Polyp specimens were taken from all patients who underwent endoscopic surgery for NPs. Control specimens were obtained from patients who underwent an operation for septoplasty or middle concha hypertrophy. Blood and tissue samples were obtained to assess total oxidant status (TOS), total antioxidant status (TAS) and oxidative stress index (OSI). Compared to group 2, group 1 had significantly higher TOS and OSI and lower TAS levels both in serum and tissue samples ( $p < 0.001$  for all). In group 1, tissue TOS and OSI levels were significantly higher, and TAS levels were significantly lower than in serum ( $p < 0.001$  for all), whereas no significant difference was found in TOS, OSI and TAS levels either in serum or tissue samples in group 2 ( $p = 1.0$ ;  $p = 1$ ;  $p = 0.208$ , respectively). In group 1, serum OSI levels were significantly correlated with age ( $r = 0.442$ ,  $p = 0.005$ ). Our study demonstrated that oxidative stress, both in serum and tissues in patients with NPs, was higher than in patients without NPs. Our study differs from previous studies in that we used a more reliable method that measures both TOS and TAS.

**KEY WORDS:** Nasal polyp • Reactive oxygen species • Oxidative stress • Total antioxidant status • Total oxidant status • Aging • Pathogenesis

## RIASSUNTO

*Lo stress ossidativo cellulare, risultato dell'equilibrio tra produzione ed eliminazione di specie reattive dell'ossigeno, è considerato un agente patogenetico di rilievo coinvolto nello sviluppo della poliposi nasale (NPs). Per tale ragione diversi fattori ossidanti e i relativi antiossidanti sono stati oggetti di studio nei pazienti affetti da poliposi naso-sinusale. Scopo del nostro studio è stato quello di esaminare l'associazione tra gli indici di stress ossidativo cellulare in pazienti affetti da poliposi nasale attraverso metodiche affidabili e standardizzate. Sono stati arruolati complessivamente 73 pazienti affetti da poliposi nasosinusale, deviazione del setto nasale e ipertrofia dei turbinati medi con concha bullosa. Tali pazienti sono stati divisi in due gruppi: nel gruppo 1 (n = 38) sono stati inseriti pazienti affetti da poliposi nasale, nel gruppo 2 (n = 35) sono stati inclusi, invece, i pazienti con deviazione del setto e ipertrofia dei turbinati medi con concha bullosa. I campioni di polipi nasali sono stati raccolti e analizzati dai pazienti sottoposti a chirurgia endoscopica funzionale nasale durante l'intervento chirurgico. I campioni di controllo, invece, sono stati raccolti ed analizzati nei pazienti sottoposti ad intervento chirurgico di settoplastica e riduzione di concha bullosa. I prelievi ematici e istopatologici sono stati utilizzati per la valutazione di Status Ossidativo Totale (TOS), Status Antiossidativo Totale (TAS) e Indice di Stress Ossidativo (OSI). Nel gruppo 1 rispetto al gruppo 2 sono stati misurati, sia a livello sierico che tissutale, più alti livelli di TOS e OSI e più bassi livelli di TAS con una differenza in tutti i casi statisticamente significativa ( $p < 0,001$ ). Inoltre nel gruppo 1 i livelli tissutali di TOS e OSI erano significativamente più alti rispetto a quelli sierici mentre i livelli di TAS erano significativamente più bassi ( $p < 0,0001$ ) mentre nessuna differenza significativa è stata osservata fra i livelli di TOS, OSI e TAS tissutale e sierici nel gruppo 2. Nel gruppo 1, i livelli sierici di OSI risultavano correlati in maniera significativa con l'età ( $r = 0,442$ ,  $p = 0,005$ ). In conclusione il nostro studio dimostra che lo stress ossidativo cellulare è più elevato in pazienti affetti da poliposi nasale rispetto ai controlli, sia a livello sistemico che localmente a livello tissutale. A differenza di altri studi precedenti è stata utilizzata una metodica di determinazione di TOS e TAS più affidabile e standardizzata.*

**PAROLE CHIAVE:** Poliposi nasale • Specie reattive dell'ossigeno • Stress ossidativo • Status antiossidativo totale • Status ossidativo totale • Invecchiamento • Patogenesi

## Introduction

Nasal polyp (NP) is a chronic inflammatory disease of the upper respiratory tract; however, its pathophysiology remains poorly understood. There are no aetiological factors that explain the pathogenesis of NPs, though inflammation continues to be a major factor<sup>1,2</sup>. Many studies have noted that histopathological abnormalities of NP are closely related to the infiltration of inflammatory cells. As a result of inflammation, neutrophils activate and migrate to the inflammatory area and exert their bactericidal effects by producing reactive oxygen species (ROS)<sup>3-7</sup>. Once the balance between ROS production and antioxidative defense activity is disrupted, oxidative stress can occur that may result in cell injury or death, subsequent tissue damage and, finally, chronic disease<sup>8-10</sup>.

Studies investigating the role of ROS and antioxidants in nasal polyposis have found strong evidence for the involvement of oxidative stress in the pathogenesis of the condition<sup>7,11-23</sup>. However, several of the oxidants and antioxidant enzymes that were evaluated in these studies may not be sufficient to accurately quantify oxidative stress. To clarify the results of these studies, we examined patients who underwent endoscopic surgery for NPs and assessed oxidative stress using a more valid and reliable method that measures total oxidant status (TOS) and total antioxidant status (TAS) both in serum and tissue.

## Materials and methods

### *Study design and patients*

This cross-sectional study was conducted at the Otolaryngology Head and Neck Surgery Department of Harran University School of Medicine, Sanliurfa, Turkey. Prior to subject recruitment, the study protocol was reviewed and approved by the University's ethics committee, in accordance with the ethical principles for human investigations, as outlined by the Second Declaration of Helsinki. Written informed consent was obtained from all patients. From May 2010 to March 2011, 73 consecutive patients with NPs, septal deviations and middle concha hypertrophies were recruited for the study.

Patients were divided in two groups; group 1 (n = 38) consisted of patients with NPs, and group 2 (n = 35) was composed of patients with septal deviations and middle concha hypertrophies with computed tomography (CT) scores comparable to those of the study population. The diagnosis was based on anterior rhinoscopy, endoscopic examination and paranasal sinus CT. Findings on preoperative CT were graded according to the Lund-Mackay system. The mucosal abnormalities were graded as 0 (no abnormality), 1 (partial opacification), or 2 (total opacification) for each sinus group. The ostiomeatal complexes were scored bilaterally as 0 (not occluded) or 2 (occluded). The maximal CT grading score was 24<sup>24</sup>. Polyp spec-

imens were taken from all patients who underwent endoscopic surgery for NPs, and control specimens (mucosal punctates from lateral lamella of the middle turbinate) were acquired from patients who underwent an operation for septoplasty or middle concha hypertrophy.

The exclusion criteria included the following: recent acute infectious illness; any evidence of liver, kidney, or respiratory disease; diabetes mellitus; malignancy; any inflammatory or infiltrative disorder; recent use (within 2 weeks) of any systemic or local drug with antioxidant properties; preoperative drug use such as parenteral or oral corticosteroids and antibiotics for at least 4 weeks prior to sampling; and regular alcohol use or alcohol use within the previous 48 hours. No patient had a history of nasal allergy, asthma, or acetylsalicylic acid sensitivity. All showed negative results to a skin prick test with common airborne allergens, which was evaluated by the same experienced dermatologist to prevent interobserver variability. During interventions, tissue samples from polyps and turbinates were collected, fixed in formalin and frozen (-80°C) until the date of analysis.

### *Biochemical analysis*

All blood samples were drawn after a 12-hour overnight fasting from a large antecubital vein without interruption of venous flow using a 19-gauge butterfly needle connected to a plastic syringe. Twenty ml of blood was drawn, with the first few ml being discarded. Ten ml were used for baseline routine laboratory tests. The residual content of the syringe was transferred immediately to polypropylene tubes, which were then centrifuged at 3,000 rpm for 10 min at 10 to 18°C. Supernatant plasma samples were stored in plastic tubes at -80°C until assayed. As serum markers of oxidant stress, TOS was measured and the oxidative stress index (OSI) was calculated. TAS was measured as an indicator of antioxidant status. These parameters were also studied and calculated in tissues. Tissues were homogenized in saline solution using a homogenizer. After centrifugation at 10,000 g for approximately 60 min, the clear supernatant was taken. TAS and TOS were measured in this fraction and OSI was calculated.

### *Measurement of total oxidant status*

Serum TOS was measured using a novel automated method developed by Erel<sup>25</sup>. Oxidants present in the sample oxidize the ferrous ion-o-dianisidine complex to ferric ion. The oxidation reaction is enhanced by glycerol molecules, which are abundantly present in the reaction medium. The ferric ion generates a colored complex with xylenol orange in an acidic medium. The colour intensity, which can be measured spectrophotometrically (V-530; Jasco®, Tokyo, Japan), is related to the quantity of oxidant molecules present in the sample. The assay is calibrated with hydrogen peroxide, and the results are expressed in

terms of micromolar hydrogen peroxide equivalents per liter ( $\mu\text{mol H}_2\text{O}_2$  equiv/l).

#### Measurement of total antioxidant status

Serum TAS was measured using a novel automated method developed by Erel<sup>26</sup>. In this technique, hydroxyl radical, the most potent biological radical, is produced. In the assay, the ferrous ion solution in reagent 1 is mixed with the hydrogen peroxide present in reagent 2. Sequentially-produced radicals, such as the hydroxyl radical-produced brown-colored dianisidiny radical cation, are also potent radicals. This method allows the measurement of the sample's antioxidative effect against potent free radical reactions that are initiated by the hydroxyl radical. The assay has excellent precision values of more than 97%. The results are expressed as mmol Trolox equiv/l.

#### Oxidative stress index

The OSI was defined as the ratio of TOS to TAS level. A standardized value does not exist for OSI levels, which were used only for comparisons. For the calculation, TAS units were changed to mmol/l, and the OSI value was calculated according to the following formula: OSI (arbi-

trary unit) = TOS ( $\mu\text{mol H}_2\text{O}_2$  equiv/l)/TAS (mmol Trolox equiv/l)<sup>25,26</sup>.

#### Other variables

Serum urea, creatinine, fasting blood glucose, aspartate aminotransferase, alanine aminotransferase, triglycerides, total cholesterol, and high-density and low-density lipoprotein cholesterol levels were determined using commercially available assay kits (Abbott®, Abbott Park, North Chicago, Illinois, USA) with an auto-analyzer (Abbott®, Abbott Park, North Chicago, Illinois, USA).

#### Statistical analysis

All statistical analyses were performed using SPSS for Windows version 17.0 (SPSS, Chicago, IL, USA). The Kolmogorov-Smirnov test was used to test the normality of data distribution. The data were expressed as arithmetic means and standard deviations. The chi-square test was used to compare categorical variables between groups. The independent sample T-test and Mann Whitney-U tests were used to compare continuous variables between the two groups. One-way ANOVA with a post-hoc Bonferroni test was used in normally distributed continuous data between all groups. Pearson's and Spearman's rank correlation analyses were used to examine the association of demographic and biochemical variables with oxidative stress parameters in group 1. A two-sided p value < 0.05 was considered statistically significant.

## Results

The biochemical and demographic characteristics of all patients are presented in Table I. There were no significant differences in gender, age or biochemical values in the two groups (p > 0.05 for all). Renal and the liver function tests, cholesterol, triglyceride levels, and the CT scores were similar between the two groups.

#### Comparison of total antioxidant status levels

Compared to group 2, group 1 had significantly lower TAS levels both in serum and tissues (both p < 0.001). In group 1, tissue TAS levels were significantly lower than in serum (p < 0.001). In group 2, there was no signifi-

**Table I.** Comparison of demographic and biochemical characteristics.

	Group 1 (n = 38)	Group 2 (n = 35)	p
Gender, male/female	17/21	16/19	NS <sup>a</sup>
Age, years	38.68 ± 8.44	36.26 ± 8.48	NS <sup>b</sup>
Glucose, mg/dL	82.20 ± 10.20	79.00 ± 9.76	NS <sup>b</sup>
CT scores	13.37 ± 2.85	12.29 ± 2.55	NS <sup>b</sup>
Urea, mg/dL	25.24 ± 6.18	23.49 ± 4.46	NS <sup>b</sup>
Creatinine, mg/dL	0.68 ± 0.25	0.71 ± 0.22	NS <sup>b</sup>
ALT, U/mL	24.03 ± 4.54	25.17 ± 3.75	NS <sup>b</sup>
AST, U/mL	26.15 ± 5.48	25.43 ± 5.88	NS <sup>b</sup>
Total cholesterol, mg/dL	202.13 ± 24.54	194 ± 36.22	NS <sup>c</sup>
LDL cholesterol, mg/dL	131.70 ± 36.93	141.70 ± 32.82	NS <sup>c</sup>
Triglyceride level, mg/dL	205.16 ± 55.46	197.32 ± 61.37	NS <sup>c</sup>

All measurable values are given as the mean ± standard deviation. NS: non-significant, CT: computed tomography, ALT: alanine aminotransferase, AST: aspartate aminotransferase, LDL: low-density lipoprotein

Chi-square<sup>a</sup>, independent sample T test<sup>b</sup> and Mann Whitney-U<sup>c</sup> tests were used

**Table II.** Comparison of oxidative stress parameters in all patients.

		Group 1 (n = 38)	Group 2 (n = 35)	p
TOS, $\mu\text{mol H}_2\text{O}_2$ equiv/l	Serum	21.34 ± 5.98	17.38 ± 4.14	< 0.001
	Tissue	28.59 ± 3.98	16.72 ± 2.49	< 0.001
	p	< 0.001	> 0.05	< 0.001
TAS, mmol Trolox equiv/l	Serum	0.94 ± 0.14	1.10 ± 0.14	< 0.001
	Tissue	0.13 ± 0.03	1.16 ± 0.12	< 0.001
	p	< 0.001	> 0.05	< 0.001
OSI, arbitrary unit	Serum	2.33 ± 0.65	1.89 ± 0.45	< 0.001
	Tissue	3.12 ± 0.43	1.76 ± 0.29	< 0.001
	p	< 0.001	> 0.05	< 0.001

All measurable values are given as the mean ± standard deviation.

TOS: total oxidant status, TAS: total antioxidant status, OSI: oxidative stress index

One-way ANOVA with a post-hoc Bonferroni test was used

cant difference in TAS levels either in serum or tissues ( $p = 0.208$ ).

#### Comparison of total oxidant status and oxidative stress index levels

Compared to group 2, group 1 had significantly higher TOS and OSI levels both in serum and tissues (both  $p < 0.001$ ). In group 1, the TOS and OSI levels were significantly higher in tissue than in serum ( $p < 0.001$  for all). In group 2, there was no significant difference in the TOS or OSI levels either in serum or tissues (both  $p = 1.0$ ). All OSI levels in serum and tissue are presented in Figure 1. In bivariate analysis, the serum OSI levels were significantly correlated with age in group 1 ( $r = 0.442$ ,  $p = 0.005$ ) (Fig. 2). However, no significant correlations were observed between oxidative stress parameters and gender, serum fasting glucose, lipid parameters, urea, creatinine, AST or ALT levels ( $p > 0.05$  for all).

## Discussion

The main findings of this study are summarized as follows: (i) oxidative stress both in serum and tissues of patients with NPs was higher than in patients without NPs, (ii) serum OSI levels were significantly correlated with age and (iii) our study was able to provide important new insights and data because it assessed oxidative stress parameters using a more reliable method that measures both TOS and TAS.

Measuring different oxidant and antioxidant molecules is impractical, and oxidant and antioxidant effects are additive. Because there are numerous oxidants and antioxidants in the body, measuring total oxidant-antioxidant status is more valid and reliable. When only a few parameters are measured, levels may remain unchanged or decrease, even though the actual oxidant status increased or vice versa<sup>25-27</sup>. In the light of this information, we used TOS and TAS levels in our study.

ROS are suspected to have a major function in the pathogenesis of NPs<sup>7 11-23</sup>. Studies have reported similar results, showing an increase in oxidative stress markers and deterioration in antioxidant scavenging systems. These studies provide strong evidence that oxidative stress plays a role in the pathogenesis of NPs<sup>12</sup>. Dogru et al. and Uneri et al. investigated the role of ROS in the development of NPs and reported that ROS may play a significant role in the pathogenesis of NPs<sup>7 13</sup>. Dagli et al. compared 31 patients and 19 control subjects to investigate the role of ROS and antioxidants in NPs, and showed that the increase in MDA was higher in NP tissue and the blood of patients with NPs than in a concha bullosa group<sup>18</sup>. According to their results, in polyp tissue, the levels of an oxidant (malondialdehyde) were increased, and the levels of antioxidants (glutathione and  $\alpha$ -tocopherol) were decreased compared with con-

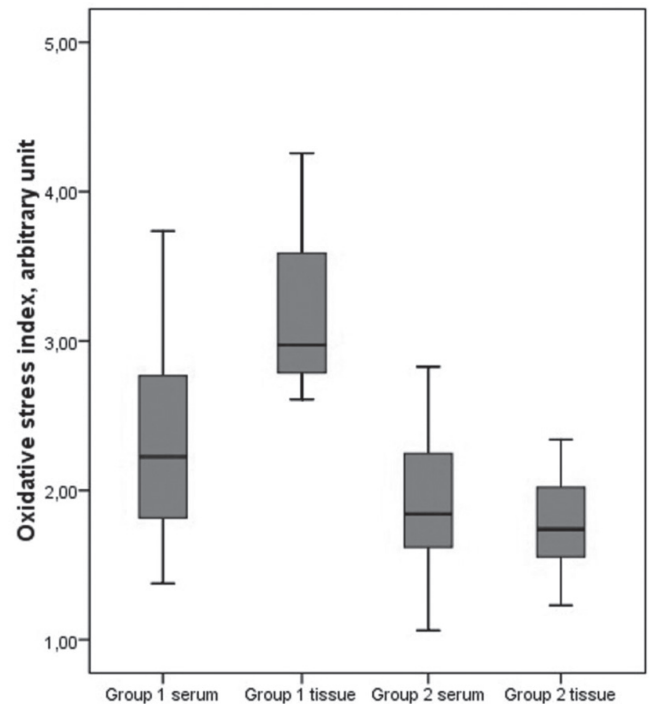


Fig. 1. Oxidative stress index levels in serum and tissue.

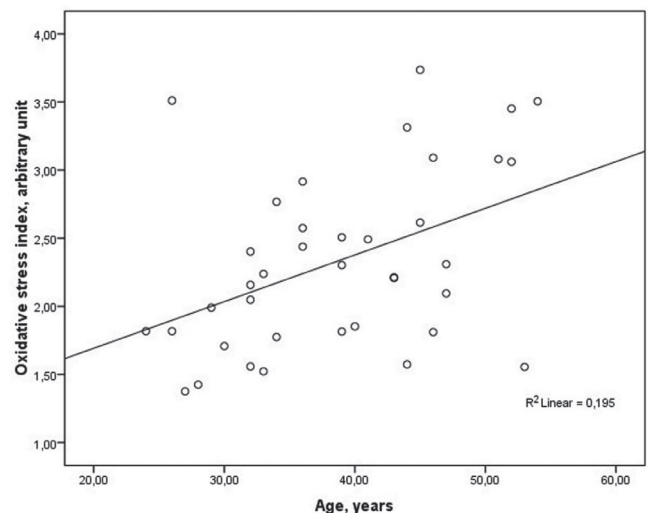


Fig. 2. Relationship between serum oxidative stress index and age ( $r = 0.442$ ,  $p = 0.005$ ).

rol tissues from inferior turbinates. In another study performed by Okur et al., the investigators demonstrated the production of ROS in the neutrophils of NPs and determined a very high ratio of ROS production in NPs<sup>19</sup>. Uneri et al. also reported high ROS levels in NP samples and showed a strong relationship between tissue damage and NP. Moreover, Cheng et al. studied the expression of superoxide dismutase 1 and 3 and found higher levels in polyp tissues. Such investigations indicate that ROS may be important in the pathogenesis of NP<sup>13 14</sup>.

Recent studies have also confirmed the role of oxidative stress in the development of NPs. Park et al. suggested the possibility that [6]-gingerol may play an important role in inhibiting the production of the extracellular matrix in the development of NPs through an antioxidant effect<sup>28</sup>. The same authors performed another study and showed that nicotinamide adenine dinucleotide phosphate oxidase and ROS have a role in myofibroblast differentiation and collagen production of transforming growth factor- $\beta$ 1-induced nasal polyp-derived fibroblasts, and that these processes are inhibited by the elimination of ROS<sup>29</sup>. Moon et al. have also demonstrated the ROS derived from NADPH oxidases, and transforming growth factor- $\beta$ -1 have been implicated in the pathogenesis of hypoxia-induced NPs<sup>30</sup>. As confirmation, Jeanson et al. have shown the existence of an unfolded protein response in nasal epithelial cells that is linked to oxidative stress leading to interleukin-8 and leukotriene-B4 secretions. They concluded that these mechanisms may participate in chronic inflammation in NPs<sup>31</sup>. In our study, oxidative stress both in serum and tissue in patients with NPs was higher than in patients without NPs. Our study results on tissue and serum samples support the previous studies as it provides a more reliable quantification of oxidative stress parameters.

The frequency of NPs increases with age and seems to occur more often in men. The prevalence of this condition increases with age in both sexes to reach a peak in those aged 50 years or older. In the aging process, ROS production increases, while degradation is impaired, and thus oxidative stress accumulates<sup>32-34</sup>. In our study, serum OSI levels were significantly correlated with age but not correlated with gender in patients with NPs. Although not definitive, our study suggests that the increase in oxidative stress inherent in the aging process may contribute to the formation of NPs.

## Conclusions

The findings of the present study demonstrate that oxidative stress is increased in patients with NPs. The important therapeutic potential to repair the harmful effects of oxidative stress on nasal tissues with antioxidant agents will only emerge with an improved understanding of the role of oxidative stress in NPs. The sample size was relatively small, and the design of the study was cross-sectional which are limitations of the present study. Therefore, large, prospective cohort studies are needed to address this issue.

## Acknowledgements

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## VESTIBOLOGY

# Direction-fixed paroxysmal nystagmus lateral canal benign paroxysmal positioning vertigo (BPPV): another form of lateral canalolithiasis

## *La vertigine parossistica posizionale benigna (VPPB) con nistagmo parossistico a direzione fissa: un'altra forma di canalolithiasi laterale*

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## SUMMARY

Benign paroxysmal positioning vertigo (BPPV) is the most frequent vertiginous syndrome. It is caused either by free-floating otoliths in the semicircular canals (canalolithiasis) or by otoconial debris adhering to a canal cupula (cupulolithiasis). The posterior canal is the most frequently involved (80%), while the lateral canal is involved less frequently (15%), and the rarest conditions are anterior canalolithiasis and apogeotropic posterior canalolithiasis (5%). The main diagnostic sign of lateral canal BPPV is paroxysmal horizontal bidirectional positioning nystagmus evoked through Pagnini-McClure's test (head roll in the yaw plane in supine position). In the geotropic variant, which is more frequent, the fast phase of the nystagmus is directed towards the lowermost ear, when the patient lies on the affected side or on the healthy side; in the apogeotropic variant, which is less frequent, the fast phase is directed always toward the uppermost ear, regardless of which side the patient lies on. Paroxysmal nystagmus is more intense on the affected side in the geotropic form, and more intense on the healthy side in the apogeotropic form. The authors describe five cases of another primitive and rare form of lateral BPPV, defined as "direction-fixed paroxysmal nystagmus lateral canal BPPV", which has previously been described by other authors as a transitory step observed during the transformation from an apogeotropic into a geotropic form. It is characterized by typical BPPV symptoms and diagnosed by the presence of a paroxysmal horizontal *unidirectional* positioning nystagmus, evoked through Pagnini-McClure's test, which is apogeotropic on the affected side and geotropic on the healthy side. In the reported cases, direction-fixed horizontal paroxysmal nystagmus was always transformed into a typical geotropic form. The clinical features and pathophysiology of direction-fixed nystagmus lateral canal BPPV are discussed.

**KEY WORDS:** BPPV • Lateral canalolithiasis • Horizontal canalolithiasis • Direction-fixed nystagmus • Lateral geotropic canalolithiasis • Lateral Apogeotropic canalolithiasis

## RIASSUNTO

*La vertigine parossistica posizionale benigna (VPPB) è la sindrome vertiginosa di più frequente osservazione. Essa è determinata dalla presenza di otoliti liberi nei canali semicircolari (canalolithiasi) o da detriti otolitici adesi alla cupola canalare (cupulolithiasi). Il canale semicircolare posteriore è quello più frequentemente interessato (80%), seguito da quello laterale (15%), mentre molto più rare sono le forme da canalolithiasi anteriore e da canalolithiasi posteriore apogeotropica (5%). Il segno diagnostico primario della VPPB laterale è il nistagmo parossistico orizzontale bidirezionale da posizionamento evocato dalla manovra diagnostica di Pagnini-McClure (rotazione rapida della testa in posizione supina sul piano frontale): nella più frequente forma geotropa, il nistagmo batte verso il lato posto in basso, sia quando il paziente decombe sul lato malato, ove esso è più intenso, sia quando decombe sul lato sano; nella forma apogeotropica, il nistagmo, invece, batte verso il lato posto in alto, sia quando il paziente decombe sul lato malato, ove esso è meno intenso, sia quando decombe sul lato sano. Gli Autori descrivono una nuova forma primitiva di canalolithiasi laterale, definita "canalolithiasi laterale con nistagmo parossistico a direzione fissa", precedentemente descritta da altri Autori come una forma transitoria osservabile durante la trasformazione di una forma apogeotropica in una forma geotropa. Essa è caratterizzata dalla presenza di un nistagmo parossistico orizzontale unidirezionale da posizionamento, evocato dalla manovra diagnostica di Pagnini-McClure, apogeotropico quando il paziente decombe sul lato malato, geotropico quando decombe sul lato sano. Nei casi descritti, la canalolithiasi laterale con nistagmo parossistico a direzione fissa è stata sempre convertita in una forma geotropa. Gli aspetti clinici e fisiopatologici di tale forma sono discussi.*

**PAROLE CHIAVE:** BPPV • Canalolithiasi laterale • Canalolithiasi orizzontale • Canalolithiasi laterale con nistagmo parossistico a direzione fissa • Canalolithiasi laterale geotropa • Canalolithiasi laterale apogeotropica



## Introduction

Benign paroxysmal positional vertigo (BPPV) is the most common vertiginous syndrome, characterized by recurrent short-lasting episodes of vertigo when the patient changes his/her position. It is usually caused by free-floating otoconia dislodged from the utricle in the semi-circular canals (canalolithiasis). Less frequently, otoliths adhere to a semicircular canal cupula, rendering it heavier than the surrounding endolymph (cupulolithiasis). Both conditions are able to change the firing rate of the vestibular nerve<sup>1,2</sup>.

There are several known variants of BPPV<sup>3</sup>: posterior canalolithiasis and cupulolithiasis, including their rare variants, namely short arm BPPV<sup>4</sup> or posterior apogeotropic canalolithiasis<sup>5</sup>; anterior canalolithiasis; geotropic lateral canalolithiasis, caused by the presence of otoliths in the non-ampullary arm; apogeotropic lateral canalolithiasis, caused by either otoliths in the ampullary arm or by lateral cupulolithiasis.

The most frequent form is posterior canal BPPV (80% of observed cases), followed by both geotropic and apogeotropic lateral canal BPPV (15%); anterior canal BPPV and apogeotropic posterior canal BPPV are less frequent (5%). The main diagnostic sign of lateral canal BPPV is paroxysmal horizontal bidirectional positioning nystagmus, evoked through Pagnini-McClure test (head roll in the yaw plane in supine position)<sup>6-8</sup>; in the geotropic form the fast phase of the nystagmus always beats towards the lowermost ear and the affected side is where nystagmus is the strongest; instead, in apogeotropic lateral canal BPPV paroxysmal nystagmus is directed always toward the uppermost ear and the affected side is where the nystagmus is the weakest.

This study reports five cases of lateral canal BPPV which we define as “direction-fixed paroxysmal nystagmus lateral canal BPPV” because horizontal paroxysmal positioning nystagmus, evoked through Pagnini-McClure’s test, is always directed towards the healthy side, when the patient is positioned either on the affected side (apogeotropic nystagmus) or the healthy one (geotropic nystagmus): thus, nystagmus is unidirectional. A similar form was first reported by Vannucchi<sup>9</sup> who observed it as a transitory step of the transformation from an apogeotropic lateral canal BPPV to a geotropic lateral canal BPPV after a certain number of head rotations from side to side in supine position.

The present research for the first time describes a form of “direction-fixed paroxysmal nystagmus lateral canal BPPV” since its diagnosis.

## Materials and methods

From September 2010 to June 2012, we observed 1234 BPPV, 925 from the posterior canal (75%), 37 from the

anterior canal (3%), 272 from the lateral canal (22%) of which 189 were geotropic lateral canal BPPV (69.5%), 78 apogeotropic lateral canal BPPV (28.7%) and 5 “direction-fixed paroxysmal nystagmus lateral canal BPPV” (1.8%). Herein, we report clinical data for only these five patients: three males and two females, with an mean age of 52.8 years; before our observation they had suffered from positional vertigo for a period of 1 to 10 days and none had used vestibular suppressant medications for at least 24 hours before the visit or had undergone physical therapy for BPPV in another centre. Patients underwent pure tone audiometry and a bed-side vestibular examination in sitting and supine positions to evaluate spontaneous and evoked nystagmus: gaze-evoked nystagmus – head shaking induced nystagmus (HSIN) – vibration induced nystagmus - paroxysmal positioning nystagmus through Dix-Hallpike test and Pagnini-McClure test.

Paroxysmal horizontal positioning nystagmus as evoked by Pagnini-McClure test was considered the primary diagnostic sign of lateral canal BPPV.

In addition, the following secondary signs of lateralization were considered:

- in the sitting position: pseudo-spontaneous nystagmus<sup>10</sup> - Leaning and Bowing nystagmus<sup>11</sup> - HSIN;
- in the supine position: the sitting to supine evoked positional horizontal nystagmus<sup>12</sup>.

Transformation from an apogeotropic to a geotropic form (geotropization) was attempted through head pitching manoeuvre (HPM) in the sitting position<sup>13-15</sup> and, when HPM failed, through side to side rotations in the supine position<sup>16</sup>.

Caloric stimulation was performed according to Fitzgerald-Hallpike’s technique (FH)<sup>17</sup>. It was performed during the first observation and before that patients underwent therapeutic maneuvers.

Canal paresis was evaluated through Jongkees’s formula<sup>18</sup>, assuming as significant a labyrinthine prevalence > 25%.

Patients underwent Gufoni’s liberatory manoeuvre<sup>19</sup> after geotropization occurred; it was performed five times towards the healthy side. “Forced prolonged position” on the healthy side<sup>20</sup> was then prescribed for the next 8-10 hours. A successful outcome was defined as the absence of paroxysmal horizontal positioning nystagmus evoked through Pagnini-McClure test during the 24 hour-follow-up examination.

Nystagmus was observed in darkness, through infrared videonystagmoscopy.

Due to a relapse of the disease six months after the first observation, Patient 1 underwent high resolution computed tomography (CT) and magnetic resonance imaging (MRI) of the inner ear (1.5 Tesla). MRI generated three-dimensional models of the inner ear. Because of the benign course of their disease, the other four patients did not undergo neuroimaging.

## Results

All cases were considered idiopathic due to the absence of significant risk or favouring factors; in particular, recent traumatic events were absent and audiological data were always normal.

### Observations in sitting position

Horizontal pseudo-spontaneous nystagmus was observed in three cases, Bowing nystagmus in three cases and Leaning nystagmus in four cases.

In patients 1, 2 and 5, Bowing and Leaning nystagmus occurred toward the left side; in Patient 4, Bowing and Leaning nystagmus were directed both towards the left. Leaning nystagmus always occurred in the same direction as pseudo-spontaneous nystagmus, when they occurred together; HSIN was observed in two cases, in Patient 1 in the same direction as Bowing Nystagmus (Table I).

### Observations in supine position

Sitting-to-supine-evoked positional horizontal nystagmus was observed in all subjects; it was directed in the same direction as either pseudo-spontaneous or Leaning nystagmus, except Patient 3 where they did not occur (Table I).

Pagnini-McClure test evoked **unidirectional** paroxysmal horizontal positioning nystagmus, i.e. on one side

we observed an apogeotropic horizontal nystagmus, on the other we observed a geotropic horizontal nystagmus. In four subjects apogeotropic nystagmus was more intense than geotropic, in one subject (Patient 4) *vice versa* (Table II).

In Patient 1, the right side, where apogeotropic nystagmus had been evoked, was identified as the affected side: indeed, both HSIN towards the left side and a hyporesponsive right labyrinth on the caloric test allowed us to identify a right-sided labyrinthine disease <sup>21</sup>. In addition, directions of both leaning nystagmus and sitting-to-supine horizontal nystagmus were consistent with a diagnosis of a right lateral canal apogeotropic BPPV.

The same interpretation, i.e. the side where apogeotropic nystagmus had been evoked was the affected one, was also assumed for the following four patients too. Geotropization was obtained in two cases by HPM and in three cases by side to side head rotations in the supine position (Table II).

HPM successfully obtained geotropization in one case with one attempt (Patient 1), and in the other case with four attempts (Patient 5). In one case, geotropization occurred during the leaning phase, indicated by a severe horizontal nystagmus towards the healthy side; in the other case, it occurred during the bowing phase, as indicated by a severe horizontal nystagmus towards the healthy side.

Geotropization by HPM was confirmed by the results of

**Table I.** Secondary signs of lateralization before geotropization.

	Pseudo-spontaneous nystagmus	Leaning nystagmus	Bowing nystagmus	HSIN	Sitting to supine nystagmus
Patient 1	Right	Right	Left	Left	Right
Patient 2	Right	Right	Left	Absent	Right
Patient 3	Absent	Absent	Absent	Right	Left
Patient 4	Left	Left	Left	Absent	Left
Patient 5	Absent	Right	Left	Absent	Right

HSIN: Head shaking induced nystagmus

**Table II.** Positioning paroxysmal nystagmus before and after geotropization.

	Right Pagnini-Mc Clure's test	Left Pagnini-Mc Clure's test	Diagnosis	Geotropization	Bipositional geotropic nystagmus
Patient 1	Apogeotropic Nystagmus +++	Geotropic Nystagmus ++	Direction-fixed paroxysmal nystagmus right lateral canalithiasis	HPM	Right ++++ Left ++
Patient 2	Apogeotropic Nystagmus +++	Geotropic Nystagmus ++	Direction-fixed paroxysmal nystagmus right lateral canalithiasis	Head rotation on right side	Right ++++ Left ++
Patient 3	Geotropic Nystagmus ++	Apogeotropic Nystagmus ++++	Direction-fixed paroxysmal nystagmus left lateral canalithiasis	Head rotation on left side	Left ++++ Right ++
Patient 4	Geotropic Nystagmus ++++	Apogeotropic Nystagmus ++	Direction-fixed paroxysmal nystagmus left lateral canalithiasis	Head rotation on left side	Left ++++ Right +++
Patient 5	Apogeotropic Nystagmus +++	Geotropic Nystagmus ++	Direction-fixed paroxysmal nystagmus right lateral canalithiasis	HPM	Right ++++ Left ++

HPM: Head pitching manoeuvre

**Table III.** Secondary signs of lateralization after geotropization.

	Pseudo-spontaneous nystagmus	Leaning nystagmus	Bowing nystagmus	HSIN	Sitting to supine nystagmus
Patient 1	Left	Left	Right	Left	Left
Patient 2	Left	Left	Absent	Absent	Left
Patient 3	Right	Right	Absent	Right	Right
Patient 4	Right	Right	Left	Absent	Right
Patient 5	Absent	Left	Right	Absent	Left

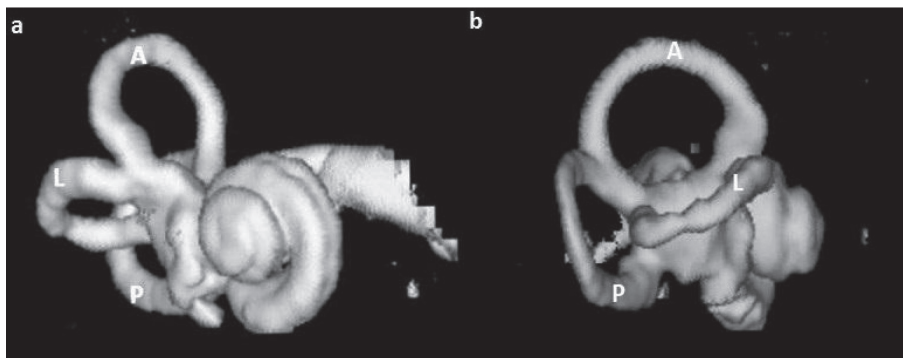
HSIN: Head shaking induced nystagmus

Pagnini-McClure's test, which showed the typical paroxysmal horizontal positioning geotropic nystagmus on both the affected and healthy sides.

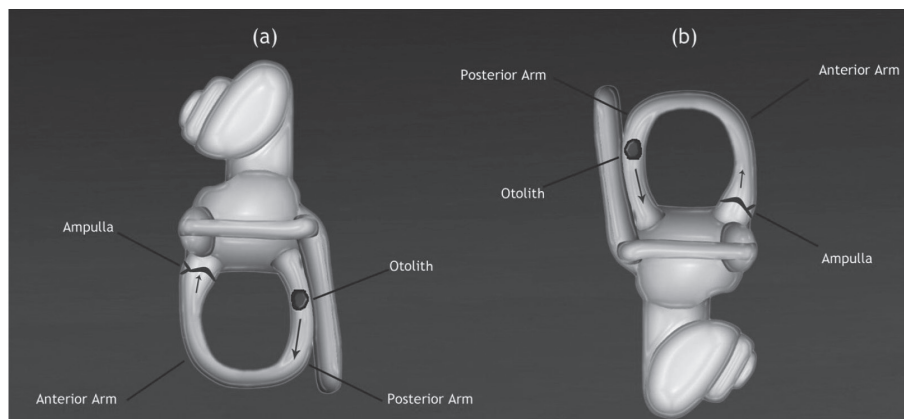
In three cases (Patients 2, 3 and 4), geotropization was obtained by side to side head rotations in the supine position; on average, four attempts were necessary, with a progressive reduction in intensity of apogeotropic nystagmus; geotropization caused intense geotropic nystagmus 1-3 seconds after the acquisition of the lateral position on the affected side, where apogeotropic nystagmus had been previously evoked.

Post-geotropization observation confirmed the initial

diagnosis of the affected side: in Patients 1, 2 and 5 the right semicircular canal was involved; in Patients 3 and 4 the left one was involved, as correctly assumed at initial examination (Table II). After geotropization occurred, secondary signs of lateralization changed their direction, except HSIN (Table III). A significant labyrinthine prevalence on the caloric test (left labyrinth prevalence > 25%) was present before treatment in only Patient 1. Gufoni's manoeuvre and the "Forced prolonged position" on the healthy side were able to successfully treat all patients who presented a negative Pagnini-McClure test at the control.



**Fig. 1.** MRI 3D reconstruction of patient's 1 right inner ear. No significant morphological alterations are present (a) Front view. (b.) Right view. A: Anterior semicircular canal; L: Lateral semicircular canal; P: Posterior semicircular canal.



**Fig. 2.** Geotropic left lateral canalolithiasis (left view): (a) on the affected side otoliths in the non-ampullary arm cause ampullopetal flow; (b) on the healthy side otoliths in the non-ampullary arm cause ampullofugal flow (inner arrows).

Patient 1 relapsed six months later, presenting the same clinical picture of the first observation; both CT scans and MRI did not show significant alterations of the morphology of his semicircular canals (Fig. 1). All other subjects are still disease-free.

## Discussion

The geotropic form is the most frequent form of lateral canal BP-PV. It is caused by free-floating otoconia in the non-ampullary arm of the lateral semicircular canal (Fig. 2). When the patient is lying down on the affected side, the position of the affected canal causes an excitatory ampullopetal endolymphatic flow which provokes a horizontal paroxysmal geotropic nystagmus; when the patient is lying down instead on the healthy side, the position of the affected canal causes an inhibitory ampullofugal endolymphatic flow, which provokes a nystagmus in the opposite direction of the previous one, and therefore again geotropic.

The apogeotropic form is rarer; it is usually caused by either free-

floating otoconial debris in the ampullary arm, or by debris adhering to the canal cupula (lateral cupulolithiasis) (Fig. 3): in both cases, when the patient is lying down either on the affected or healthy sides endolymphatic flow in the affected lateral canal provokes an apogeotropic nystagmus.

Geotropization improves therapeutic outcome<sup>16</sup>. It is achieved through several different manoeuvres: HPM in the sitting position<sup>13</sup>, side to side rotations in the supine position<sup>16</sup>, Gufoni's liberatory manoeuvre towards the affected side<sup>19</sup>, Forced liberatory position on the affected side<sup>20</sup> and HST<sup>22</sup>.

Vannucchi<sup>9</sup> first described a case of a two-step lateral apogeotropic canalolithiasis geotropization through head rotations in the supine position: the first step provoked a unidirectional paroxysmal horizontal positioning nystagmus, that was apogeotropic on the affected side, geotropic on the healthy one. In the second step, complete geotropization was achieved through further head rotations.

In all the cases described in the present study, instead, unidirectional paroxysmal horizontal positioning nystagmus, evoked through Pagnini-McClure test, allowed us to diagnose a new form of lateral canal BPPV.

The observation of Patient 1 helped us to diagnose a right lateral canal BPPV because of the presence of signs of distress of the right labyrinth, namely left HSIN and vestibular loss of function of the right side on the caloric test; in addition, secondary signs of lateralization were compatible with the above diagnosis (Table I). Thus, we concluded that the right side was the affected side, where the apogeotropic nystagmus was present. The above criterion was also adopted for the following four patients.

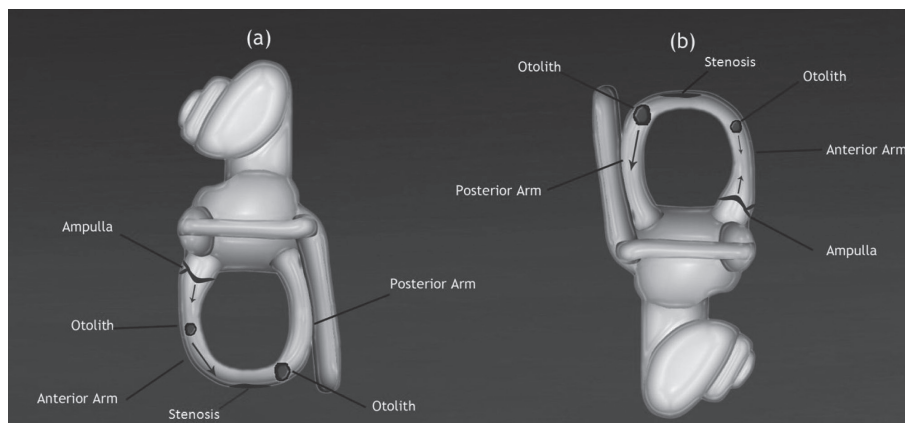
After geotropization occurred, in all patients geotropic nystagmus was stronger on the side where apogeotropic nystagmus was initially observed (Table II): thus it was assumed that this was the affected side.

Therefore, we now propose the following definition: direction-fixed paroxysmal nystagmus lateral canal BPPV

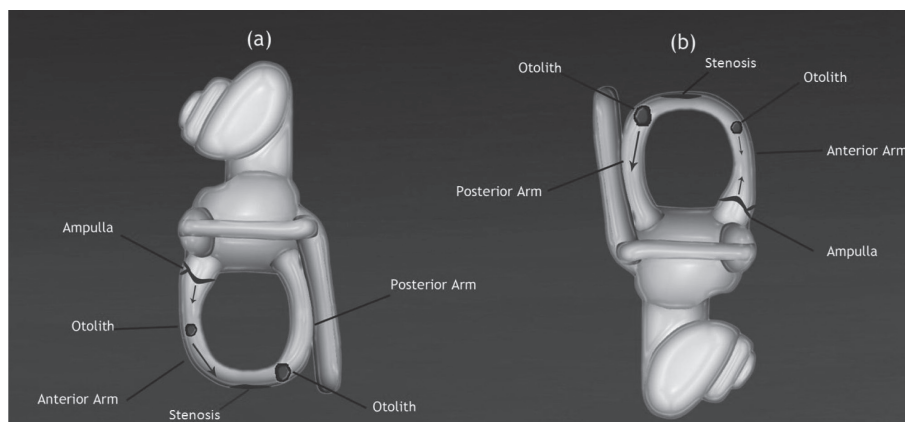
is characterized by typical BPPV symptoms and diagnosed due the presence of a paroxysmal horizontal *unidirectional* positioning nystagmus, evoked through Pagnini-McClure's test; in the observed cases, the side where the apogeotropic nystagmus has been evoked is the affected one.

Since the transformation into a classical geotropic form always occurred in the reported subjects, its pathophysiological mechanism is canalolithiasis rather than cupulolithiasis, so we agree with the explanation proposed by Vannucchi<sup>9</sup>. Free floating otoliths in either the ampullary and non-ampullary arm of the lateral canal are the main cause of paroxysmal horizontal unidirectional positioning nystagmus; otoliths stand in the lowermost part of the non-ampullary arm of the canal, and thus they are not able to cause an endolymphatic flow, either excitatory or inhibitory, when the patient lies down on the affected side; in this position, ampullofugal flow due to the presence of otoliths in the ampullary arm prevails, so that apogeotropic nystagmus is present (Fig. 4).

The presumed explanation of the geotropic nystagmus on the healthy side is more complex. It must be provoked by the preva-



**Fig. 3.** Apogeotropic left lateral canalolithiasis (left view): (a) on the affected side otoliths in the ampullary arm cause ampullofugal flow; (b) on the healthy side otoliths in the ampullary arm cause ampullopetal flow (inner arrows).



**Fig. 4.** Direction-fixed paroxysmal nystagmus left lateral canal BPPV on the affected side (a) and the healthy side (b) (left view): one of the possible explanations. Otoliths are either in the non-ampullary arm or in the most declive part of the canal; a higher amount is in the declive part of the canal. A putative stenosis in the declive part of the canal does not allow the largest otolith to pass into the ampullary arm. The ampullofugal flow prevails either on the affected side or on the healthy side (inner arrows).

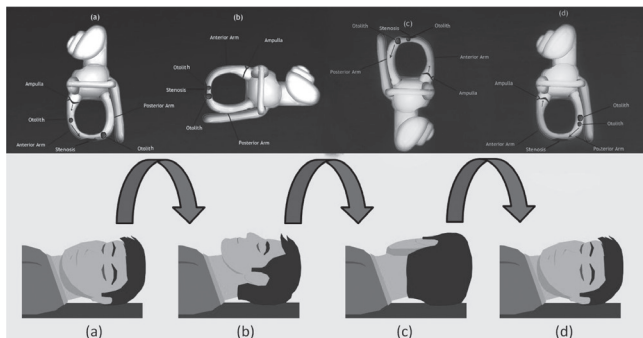
lence of an ampullofugal flow in the affected lateral canal, according to Ewald's second law.

The endolymphatic flow, caused by the head rotation, could push otoliths of both the ampullary and non-ampullary arms toward the utricle, but ampullofugal flow could also result from the algebraic sum of an ampullopetal flow, caused by otoliths in the ampullary arm, and prevalent ampullofugal flow, due to a higher amount of otoliths in the declive part of the canal that are pushed toward the utricle (Fig. 5).

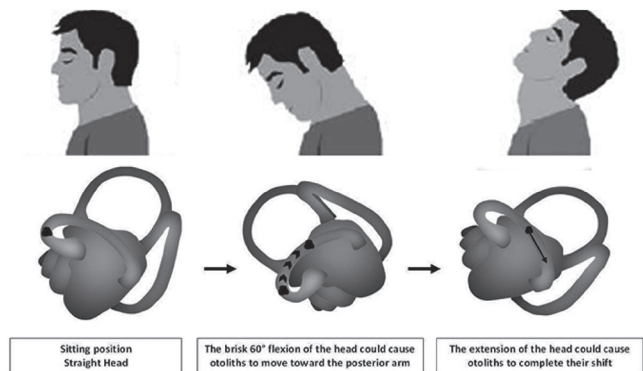
Side to side rotations progressively move otoliths from the anterior to the posterior aspect of the lateral canal and geotropization is achieved when all otoliths shift from the ampullary to the non-ampullary arm.

This event is always characterized by the occurrence of a sudden paroxysmal geotropic nystagmus on the affected side, which begins after a few seconds of latency from the acquisition of the lateral position. Latency is related to the time that otoliths take to fall down due to the force of gravity.

Geotropization through HPM is characterized by the same dynamics (Fig. 6): complete geotropization is achieved when all the debris moves from the anterior arm to the



**Fig. 5.** Geotropization of a direction-fixed paroxysmal nystagmus left lateral canal BPPV by side-to-side head rotations in supine position (left view). (a) decubitus on the affected side; (b) intermediate position during rotation; (c) decubitus on the healthy side; (d) Complete geotropization is achieved when all otoliths pass in the non-ampullary arm; the endolymphatic flow on the affected side becomes ampullopetal (inner arrows).



**Fig. 6.** Geotropization through the head pitching manoeuvre in sitting position.

posterior arm of the lateral canal by head-pitching movements; in this case, however, geotropization must be confirmed through Pagnini-McClure test.

Why does a direction-fixed paroxysmal nystagmus lateral canal BPPV happen? According to Vannucchi's multi-step interpretative model, it is possible that the patient has instinctively self-undergone a "forced prolonged position", which partially moved otoliths from the ampullary arm to the lowermost part of the non-ampullary arm.

Here, we draw attention to Patient 1's intriguing history: he relapsed six months after our first observation, the oculomotor pattern was the same of the first observation and we again diagnosed a direction-fixed paroxysmal nystagmus lateral canal BPPV.

The repetition of the event in the same terms as the first time let us think that putative alterations of lateral canal morphology, stenoses, obturation, or anatomic variations<sup>23</sup> could be possible reasons for the entrapment of otoliths in both the anterior and posterior aspects of the lateral canal, causing endolymphatic currents responsible of the oculomotor pattern of the direction-fixed paroxysmal nystagmus lateral canal BPPV. However, neither CT scans or MRI showed significant alterations of the morphology of the semicircular canals (Fig. 1), but this could be related to the fact that current imaging techniques offer insufficient sensitivity in detecting subtle canal alterations.

What is the importance of "direction-fixed paroxysmal nystagmus lateral canal BPPV"?

First of all, a new form of lateral canal BPPV has been identified; the transformation into a geotropic form, always occurring in the patients described in the present study, sustains, in our opinion, more effectively the hypothesis of "canalolithiasis" rather than "cupulolithiasis" as the pathophysiological mechanism of most lateral canal BPPV.

The second consideration has practical relevance: an erroneous diagnosis of acute unilateral vestibular loss of function could be made if only directional characteristics of positional nystagmus were evaluated. Patients usually arrive in the emergency room still in the supine position, with severe neurovegetative symptoms and, sometimes, a complete vestibular examination cannot be performed; furthermore, pseudo-spontaneous nystagmus and/or sitting to supine positioning nystagmus, with direction opposite to the nystagmus evoked through Pagnini-McClure's test, could be interpreted as a variable direction nystagmus, sign of a possible central vestibular disease, which requires complex and expensive diagnostic procedures, which are unnecessary if a correct diagnosis is made.

## Conclusions

BPPV was previously considered as a simplex phenomenon, caused by otoconial material adhering to the cupula

of the posterior canal, making it heavier. However, it is a more complex condition, since otolith deposition and drift can involve all the tracts of the semicircular canals and occur simultaneously in different parts of a single canal or in multiple canals, causing, depending on the location and size of otoconial debris, several and sometimes atypical oculomotor patterns which do not always need to be considered as indicators of central nervous system diseases. "Direction-fixed paroxysmal nystagmus lateral canal BPPV" is the rarest form of lateral canal BPPV; its pathogenesis is still unclear and the observed cases are still too few to allow definitive conclusions.

Finally, both the knowledge of all possible BPPV subtypes as well as careful and complete vestibular examination, in both sitting and supine positions, can prevent specialists from making erroneous diagnoses.

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SLEEP DISORDERS

# Identification of obstructive sites and patterns in obstructive sleep apnoea syndrome by sleep endoscopy in 614 patients

## *Identificazione dei siti di ostruzione e dei pattern di chiusura mediante "Sleep endoscopy" in 614 pazienti affetti da sindrome delle apnee ostruttive durante il sonno*

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### SUMMARY

The aim of this study was to analyze and report sites and patterns of obstruction observed during sleep endoscopy in a large group of patients and suggest consequent therapeutic prescriptions. 614 consecutive patients who approached the Centre for Diagnosis and Treatment of Respiratory Sleep Disorders underwent sleep endoscopy. We used propofol to induce sleep, monitoring the value of bispectral index to evaluate the depth of sedation. For each patient, we recorded obstruction sites, obstruction patterns and the effects of the mandibular pull-up manoeuvre on both obstruction and snoring. We ascertained that, in almost all patients, the noise of snoring was generated at the oropharyngeal level. The obstruction at the oropharyngeal level, either in isolation or in combination with other structures, is far more common. The mandibular pull-up manoeuvre was effective in reducing or resolving the obstruction in a large number of patients, even though their AHI values were high. For those patients having an AHI over 15, we point out the various therapeutic indications gained from the sleep endoscopy examinations. Drug-induced (propofol) sleep endoscopy can be considered to be a safe procedure, easily practicable, valid and reliable; we therefore consider it a fundamental clinical investigation that can be essential when choosing treatment.

KEY WORDS: Sleep endoscopy • Obstructive sleep apnoea syndrome • Mandibular pull-up manoeuvre • Oral device

### RIASSUNTO

Abbiamo sottoposto a Sleep Endoscopy 614 pazienti consecutivi che si sono rivolti al nostro Centro per la Diagnosi e Cura dei Disturbi Respiratori del Sonno, allo scopo di rilevare e riportare i siti ed i pattern di ostruzione osservati nel corso di questo esame in un ampio gruppo di pazienti e di riferire le relative prescrizioni terapeutiche. È stato utilizzato il propofol per indurre il sonno, controllando il valore di BIS (Bispectral Index) per valutare la profondità della sedazione; per ogni paziente sono stati riportati i siti ed i pattern di ostruzione, oltre ai risultati della manovra di avanzamento mandibolare, sia sull'ostruzione che sul russamento. Abbiamo rilevato che in quasi tutti i pazienti il rumore del russamento era generato a livello orofaringeo. Anche l'ostruzione a livello orofaringeo, sia isolata che associata ad altre strutture è risultata di gran lunga la più comune. La manovra di avanzamento mandibolare è risultata efficace e quindi in grado di ridurre o risolvere l'ostruzione in un gran numero di pazienti, anche tra quelli con valori di AHI elevati. Per i soggetti con indice di AHI superiore a 15 vengono anche riportate le varie indicazioni terapeutiche ricavate dall'esame di Sleep Endoscopy. La Sleep Endoscopy eseguita con propofol si è dimostrata una procedura sicura, di facile realizzazione, in grado di fornire risultati validi ed affidabili; nella nostra opinione al momento si tratta di un elemento essenziale nella scelta del trattamento.

PAROLE CHIAVE: Sleep endoscopy • Sindrome delle apnee ostruttive del sonno • Manovra di avanzamento mandibolare • Oral device

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### Introduction

At present, diagnosis of obstructive sleep apnoea syndrome (OSAS) is codified and fundamentally based on the results of polysomnography in conjunction with a set of other data: anamnesis, objective examination of upper airways and utilization of Epworth's sleep scale to evaluate daytime sleepiness. The breathing obstruction that oc-

curs while sleeping is the consequence of dynamic phenomena inside the upper airways, which are presumable but not foreseeable on the basis of the above-mentioned data. Muller's manoeuvre, which was introduced by Sher et al.<sup>1</sup> in 1985, as an attempt to simulate the obstruction events during wakefulness, has been for a long time the sole resource for the specialists who wanted to challenge the surgical approach to this pathology.

When dealing with a patient subjected to nasal continuous positive airway pressure (nCPAP), it is not important to precisely distinguish the level and the characteristic of the obstruction, since the prosthesis, if used correctly, will be effective. Knowledge of site and pattern of the obstruction (i.e. level and dynamic of obstruction) can be decisive when choosing treatments: surgical, orthodontic or a combination of the two.

As far as the site of origin of snoring is concerned, it is now almost certain that the noise is generated at the level of the palate and contiguous oropharyngeal anatomical structures; however, even in the case of simple snorers, the observation of snoring can contribute to planning treatment.

In 1991, Croft and Pringle<sup>2</sup> introduced sleep endoscopy in their practice, an endoscopic examination performed during a short term hypnotic (midazolam) induced sleep; they also proposed a grading system based on the results of the test<sup>3</sup>, which is still in use. Later, Guerin et al.<sup>4</sup> reported on sleep endoscopy cases carried out by employing intravenous anaesthetic (propofol), which proved to be particularly suitable due to its pharmacokinetic characteristics. Notwithstanding a few initial doubts, mainly due to the fact that the pharmacologically-induced sleep is different from natural sleep, the validity of drug-induced sleep endoscopy (DISE) is commonly agreed upon. The main discrepancy between the two conditions consists in a different degree of oropharynx and tongue muscle relaxation and in a possible central action of the drug.

Berry et al.<sup>5</sup> demonstrated the validity of this method by comparing the effects of propofol on several different types of subjects: normal, simple and apnoeic snorers, finding that none of the normal individuals became symptomatic after the injection of propofol. Furthermore, Rabelo et al.<sup>6</sup> compared the polysomnography results of natural sleep to those induced by propofol in the same 15 patients. They concluded that the drug does not significantly interfere with sleep breathing aspects, although the neurological structure of sleep is partially altered (i.e. the REM phase is not reached).

We performed this examination on a large number of patients suffering from respiratory sleep troubles to determine the utility of sleep endoscopy in identifying the sites and patterns of obstruction in view of appropriate therapy.

## Materials and methods

614 consecutive patients suffering from sleep breathing disorders were subjected to sleep endoscopy from 2005 to July 2011; the cohort was composed of 497 males (80.9%) and 117 females (19%) with an average age of 50.7 years (range 18 to 80) and an average body mass index (BMI) of 27.3 (range 17.8 to 47)

Before the examination, each patient was subjected to complete anaesthesiological evaluation.

During the examination, oxygen saturation, heart rate and blood pressure were monitored.

We utilized a Pentax flexible video endoscopy system with a CMOS distal sensor and a one-directional microphone positioned close to the mouth of the patient to record snoring.

All video and audio data were recorded and stored on a HHD video recorder as AVI files.

Pharmacological sleep was obtained through propofol. The choice of the drug was determined on the basis of its pharmacokinetic characteristics: short half-life, reduced accumulation in adipose tissue, absence of respiratory depression and negligible effects on muscular tone.

Our process in executing DISE consists in a first phase of induction using an infusion pump at incremental speed, and in a second phase of maintenance with possible dosage adjustments.

The process demanded continuous neurophysiological monitoring achieved through the adoption of the bispectral index (BIS), a non-invasive method which indicates sleep depth. BIS utilises sensors applied on the forehead of the patient recording an EEG tracing. The tracing is processed to give a direct measurement of the degree of sedation expressed by a whole number between 0 and 100. We noticed that BIS optimal value, when executing DISE, was between 45 and 60. As soon as an adequate degree of sedation was reached, the respiratory phenomena was observed.

During the examination, (usually in its initial phase), probable central apnoeas might be noticed; they were characterized by the absence of respiratory chest-abdominal movements that could be revealed by laying a hand on the patient's abdomen.

When snoring or obstructive apnoeas appeared, the observation could usefully be started. In most cases, at the end of each apnoeic episode, an *arousal* phase occurred; this is a rapid solution of the obstruction associated with the restart of snoring and the much slower increment of oxygen values. During sleep endoscopy it is important to be able to achieve the minimum oxygen saturation values reported in polysomnography in order to confirm the validity of the observation so as to reflect the spontaneous sleep condition.

During the examination, while the respiratory space was at its minimum, we performed the *mandibular pull-up* (MPU) manoeuvre, which is capable of predicting the effectiveness of a jaw propulsion oral device (OD). The manoeuvre consists of moving the jaw forward by 6-8 mm, pulling-up the lower dental arch, but avoiding to reach the articular unblock. By doing so, it was possible to evaluate the increase in the air space of the areas under examination while breathing improved or snoring disappeared. Through a continuous EEG recording in the 10 first patients under examination, we noticed that such a manoeuvre did not cause changes of the graph indicating



the rise of an arousal consequent to the manoeuvre itself. The examination results, which were agreed upon with a second specialist who witnessed the procedure were analytically described in the operating minutes and in the discharge letter, then classified on the basis of the *nose, oropharynx, hypopharynx, larynx* (s.e.NOHL) formula proposed by Vicini <sup>8</sup>.

When presenting the results, we thought it appropriate to only take into account the complete obstruction. This choice was based on the consideration that a partial obstruction was not relevant enough to influence the choice of therapy.

**Results**

The 614 patients subjected to DISE were divided into 2 groups based on the severity of their disease:

The first group with patients having AHI values <15 (mean AHI = 7.7 range 0.4 to 14.1) was made up of 199 patients (32.4% of the total); 140 patients were males (70.4%) while 59 were females (29.6%); the mean age of this group was 48 years and the mean BMI was 26.

The second group with patients having AHI values > 15 (mean AHI = 38.6 range 15 to 99) was composed of 415 patients (67.6% of the total); 357 patients were males (86%) while 58 were females (14%). The mean age of this group was 52 years and the mean BMI was 28.

Table I reports obstruction levels and patterns, both for

isolated and multiple levels, observed in patients with an AHI <15. In this group, the MPU manoeuvre was effective on the obstruction in 170 of 178 (95.5%) patients, excluding the 21 cases without any localized obstruction (snorers).

Table II reports the obstruction levels and patterns in patients with an AHI >15. Among these patients, the mandibular pull-up manoeuvre was successful at least at one obstruction level in 316 patients (76.1%).

In the first group of patients (AHI < 15), the main problem was represented by snoring, rather than breathing problems, and therefore the choice of the treatment was dictated by the need to solve this problem. We mostly practiced oropharyngeal surgery and/or O.D. excluding nCPAP and major surgery.

For 415 patients of the second group, comprising those with an AHI > 15, the following treatments were given (Table III). In the choice of treatment in this group of patients we usually considered the following guidelines:

- In serious cases with very high AHI values and/or high BMI nCPAP was confirmed except when MPU manoeuvre was really effective at each level; in these cases we prescribed an oral device.
- Multiple obstruction cases with MPU manoeuvre ineffective at the hypopharyngeal level were addressed to nCPAP.
- When MPU manoeuvre was effective at both levels we usually preferred an O.D.

**Table I.** Levels and patterns of obstruction in patients with an AHI < 15.

	Obstruction	Sites of obstruction
	No Obstruction	No Sites
199 PATIENTS 32.4%	21 (10.5 %)	
	Monolevel	O 113 (92.6%)
	122 (61.3%)	H 5 (4.1%)
		L 4 (3.3%)
Multilevel	O + H 49 (87.5%)	
56 (28.2%)	O + L 7 (12.5%)	

O = oropharynx; H = hypopharynx; L = larynx

**Table II.** Levels and patterns of obstruction in patients with an AHI > 15.

	Obstruction	Sites of obstruction
	Monolevel	Oropharynx 183
193 (46.5%)	Tongue 8 (4.2%)	
	Epiglottitis 2 (1%)	
415 Patients 67.6%	2 levelS 203 (91.5%)	O + H 172 (77.5%)
		O + L 27 (12.2%)
	Multilevel 222 (53.5%)	H + L 4 (1.8%)
3 levels 19 (8.5%)		O + H + L 19 (8.5%)

O = Oropharynx; H = Hypopharynx; L = Larynx

Site of obstruction (alone or in association)	Pattern of obstruction
Oropharynx 169 Patients 94.9%	Antero-posterior 43.4%
	Latero-lateral 2.6%
	Circular 54%
Hypopharynx 54 Patients 30.3%	Antero-posterior 76%
	Latero-lateral 12%
	Circular 12%

Site of obstruction (alone or in association)	Pattern of obstruction
Oropharynx 401 Patients 96.6%	Antero-posterior 37.2%
	Latero-lateral 7.2%
	Circular 55.6%
Hypopharynx 203 Patients 48,6%	Antero-posterior 45.7%
	Latero-lateral 32.6%
	Circular 21.7%

**Table III.** Therapies administered in patients with an AHI >15.

147 nCPAP (35.5%)
109 Oral Device (O.D.) (26.4%)
104 Oropharyngeal surgery (with or without tonsillectomy)+ O.D. (25%)
30 Oropharyngeal surgery (with or without tonsillectomy) (7%)
3 Tonsillectomy (0.7%)
1 Epiglottoplasty(0.35%)
4 Epiglottoplasty + O.D. (1%)
4 Epiglottoplasty + UP3 (0.35%)
12 Weight loss (2.7%)
1 TORS (0.35%)

- The combination of oropharyngeal surgery (with or without tonsillectomy) and O.D. was the choice when the MPU manoeuvre was effective only at the hypopharyngeal level
- In young patients with a large tonsillar mass (grade 3-4), we performed only tonsillectomy
- When reduction of snoring noise was fundamental for the patient, we preferred oropharyngeal surgery even when the MPU manoeuvre was effective on obstruction
- In the presence of oropharyngeal and laryngeal obstruction, the treatment was surgery for both levels when nCPAP was refused.

In the choice of treatment, we had to consider also that in Italy, an oral device is not refused by National Health Care Service, while nCPAP and surgery are.

## Discussion

On the basis of our experience, a close look at BIS data and clinical observation of the sedation progress permits achieving a stable sleep state, to best obtain reliable data, without necessarily applying target controlled infusion. (TCI) <sup>7</sup>. We believe that the s.e.NOHL formula as modified by Vicini <sup>8</sup> can be considered a valid solution for the synthetic survey of the results, inasmuch as it contains all fundamental data. In our practice, we add information about the effectiveness of the MPU manoeuvre.

We accepted, for further simplification, the hypothesis suggested by some authors to consider the hypopharyngeal level as the lower (retro-lingual) segment of the oropharynx. Particular attention was given to the distinction

between primary obstruction due to epiglottis collapse, from laryngeal obstruction consequent to the fall of the tongue base, in consideration of several therapeutic implications.

The most significant finding our research led to is that oropharyngeal obstruction widely prevails on the other areas; in fact, it is present in 96% of all cases, alone (50% of cases) or in association with other obstruction areas when facing with multiple obstructions. Isolated hypopharyngeal obstruction seems to be rare (2.2%), while specific single obstruction due to the epiglottis is exceptional. These data are only partially in accordance with those published by other authors (Table IV); the disagreements are mainly due to the fact that the cases considered in the different studies were not homogeneous, especially considering severity of disease.

The obstruction pattern is an important component of each single level and provides fundamental information when choosing treatment, especially when surgical. DISE has earned substantial importance since this is the only technique that is able to characterize the obstruction dynamics; Muller's manoeuvre has not proven to be as reliable. Campanini et al. <sup>13</sup>, in their 2010 publication, ascertained a disagreement in 76% of cases between the Muller's manoeuvre and sleep endoscopy data as far as the obstruction is concerned, especially at the hypopharyngeal level; another 49% disagreement of the kind of the obstruction, and a tendency to overestimate the antero-posterior pattern were noticed. Moreover, this manoeuvre does not permit to observe possible obstruction at the laryngeal level, which occurred in 7.4% of our cases. At the oropharyngeal level, the circular pattern was present in more than half of patients (54% of subjects with mild pathology and in 55.6 % of patients with moderate to serious pathology). We must remember that, at this level, the obstruction pattern is notably influenced by the presence, especially when voluminous, of the palatine tonsils. In fact, a latero-lateral pattern can be observed almost exclusively in patients affected by grade 2-3 tonsillar hypertrophy. In addition, at both levels, but mostly at the hypopharyngeal level, when the intensity grew worse, the antero-posterior pattern frequency was reduced in favour of the circular pattern.

At the hypopharyngeal level, if the indication was OD, the pattern was irrelevant since it was verified that MPU was

**Table IV.** Comparison of sites of obstruction in literature.

	No obstruction	Monolevel obstruction		Total	Multilevel obstruction
		Palate	Tongue/hypopharyngeal		
Present study (n = 614)	23 (3.7%)	296 (48.2%)	15 (2.9%)	311 (51.1%)	278 (45.2%)
Hamans study <sup>9</sup> (n = 70)	4 (5.5%)	23 (31.9%)	20 (27.8%)	43 (59.7%)	23 (31.9%)
Hessel study <sup>10</sup> (n = 340)		74 (21.7%)	8 (2.4%)	82 (24.1%)	205 (60.3%)
Quinn study <sup>11</sup> (n = 50)		35 (70%)	4 (8%)	369 (78%)	11 (22%)
Pringle study <sup>3</sup> (n = 70)		33 (47.1%)	9 (13%)	42 (60%)	28 (40%)
Carrasco study <sup>11</sup> (n = 51)		33.20%	7.80%	41%	59%

effective in all types of obstruction. Moreover, the MPU manoeuvre was particularly effective to solve obstruction in patients with an AHI index < 15 (95.5%).

As already described by Battagel et al.<sup>14</sup>, the MPU manoeuvre was often effective even in those obstructions at the oropharyngeal level, not only in those at the tongue base. This was probably due to an indirect traction mechanism on front pillars, in the absence of a true anatomical obstruction such as that represented by a large tonsillar mass. Even in those cases in which the manoeuvre was effective on “simple” snorers (28.5%), the mechanism probably was the same.

In addition, we observed that in the second group of seriously-affected patients the effectiveness of the MPU manoeuvre decreased, although in many cases it could be considered successful, at least at the hypopharyngeal level (76%). This result is predictable if we take into consideration that the mandibular pull-up manoeuvre is effective in enlarging the antero-posterior diameter of the upper airways. It should be kept in mind that, even if rarely, MPU can worsen breathing because of the appearance of an obstruction at the epiglottal level.

## Conclusions

We confirm that DISE is a safe procedure, easily practicable, and is valid and reliable, as already reported in other publications. Although we are aware that the conditions of the examination do not fully correspond to those that occur during physiological sleep, we believe that it is presently the most rational method to observe the phenomenon of snoring and the obstruction in its dynamism while sleeping. Though this procedure is well accepted by patients who easily understand its usefulness, we feel that this examination, when dealing with simple snoring, can be avoided, at least initially. In fact, we ascertained that in all patients the noise of snoring as such, in that it disturbs his/her partner, is generated at oropharyngeal level (soft palate, tonsils, lateral pharyngeal walls). Other kinds of noises, possibly originating by other structures like hypopharyngeal walls or epiglottis, when present, only achieve modest levels of sound poignancy and do not seem strong enough to disturb his/her partner.

Certainly there is a clear indication for DISE when expecting significant surgical intervention and in the analyses of therapeutic failures. The information that can be obtained during sleep endoscopy from the MPU manoeuvre is invaluable for its ability to predict the efficacy of treatment, and only through this manoeuvre is it possible to identify those individuals for whom the application of an OD might be ineffective or worsen the condition.

One limit of the method is represented by the difficulty to gain a valid systematization of the parameters observed. To overcome the inevitable subjectivity of the examiner, it would be desirable to command either numeric values

or graphics to better specify the sites of obstruction and especially its pattern.

The identification of such elements would undoubtedly be useful in understanding this diagnostic technique and, furthermore, would produce a better standardization of the data, which is fundamental when evaluating therapeutic outcomes.

The results of our study confirm that DISE is a basic clinical element and can be an important test for the choice of the treatment; it is likely to become increasingly relevant over time as OSAS surgery continues to evolve. Our intention at present is to verify the appropriateness of our therapeutic indication on the basis of the results of the treatment.

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PAEDIATRIC OTORHINOLARYNGOLOGY

# Management of otolaryngological manifestations in mucopolysaccharidoses: our experience

## *Trattamento delle manifestazioni otorinolaringoiatriche nella mucopolisaccaridosi: nostra esperienza*

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### SUMMARY

Mucopolysaccharidoses (MPSs) are lysosomal storage disorders caused by deficiency of enzymes involved in the degradation of glycosaminoglycans (GAGs). These disorders are associated with the accumulation of GAGs in tissues with organomegaly, mental retardation and short stature. Otologic and upper respiratory tract pathologies are among the earliest clinical manifestations. We analyzed 20 patients (13 male and 7 female, median age at the beginning of the observation 6 years) with MPS (35% type I, 30% type II, 20% type III, 5% type IV, 10% type VI), focusing on their otorhinolaryngologic problems and the impact of surgery on quality of life. We found ear, nose and throat manifestations in all types of MPS; in particular, recurrent otitis media was present in 30% of cases, hearing loss in 75% (mixed in 43.33%, conductive in 43.33%, sensorineural in 13.33%), adenotonsillar hypertrophy in 75%, frequent infections of the upper airway in 75% and obstructive sleep apnoea syndrome in 45% of cases. Fifty percent of patients required surgical therapy (adenotonsillectomy, adenoidectomy with insertion of middle ear ventilation tubes, tonsillectomy, tracheotomy and exeresis of vocal cord polyps). In our experience the ENT surgery reduced the frequency and severity of ear infections and relieved symptoms related to upper airway obstruction, thereby improving the quality of life in affected patients.

KEY WORDS: Mucopolysaccharidoses • Adenotonsillectomy • Obstructive sleep apnoea syndrome

### RIASSUNTO

*Le mucopolisaccaridosi (MPS) sono patologie da accumulo lisosomiale causate da carenza di enzimi coinvolti nella degradazione dei glicosaminoglicani (GAGs) che si associano ad organomegalia, ritardo mentale e ridotta statura. Le patologie a carico dell'orecchio e delle alte vie respiratorie sono tra le più precoci manifestazioni cliniche. Abbiamo analizzato 20 pazienti (13 maschi e 7 femmine, età mediana all'inizio dell'osservazione 6 anni) con MPS (35% tipo I, 30% tipo II, 20% tipo III, 5% tipo IV, 10% tipo VI) focalizzando l'attenzione sui problemi ORL e sugli effetti della chirurgia sulla qualità della vita. Abbiamo riscontrato manifestazioni ORL in tutti i tipi di MPS; in particolare l'otite media era presente nel 30% dei casi, l'ipoacusia nel 75% (mista nel 43.33%, trasmissiva nel 43.33% e neurosensoriale nel 13.33%), ipertrofia adenotonsillare nel 75%, frequenti infezioni delle alte vie aeree nel 75%, sindrome da apnea notturna nel 45% dei casi. Nel 50% dei pazienti è stato necessario ricorrere alla terapia chirurgica (adenotonsillectomia, adenoidectomia con timpanocentesi e apposizione di drenaggio trans-timpanico, tonsillectomia, tracheotomia ed exeresi di polipo cordale). Nella nostra casistica la chirurgia ORL riduce la frequenza e la severità delle infezioni auricolari e attenua i sintomi da ostruzione delle alte vie respiratorie migliorando la qualità di vita dei pazienti affetti da mucopolisaccaridosi.*

PAROLE CHIAVE: Mucopolisaccaridosi • Adenotonsillectomia • Apnee notturne

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## Introduction

Mucopolysaccharidoses (MPSs) are a heterogeneous group of autosomal-recessive lysosomal storage disorders (except for MPS II, which is X-linked-recessive) characterized by deficiency of one of the lysosomal enzymes involved in the breakdown of glycosaminoglycans (GAGs). Seven types of one enzymatic defects have been described

to date<sup>1</sup>. This metabolic block leads to the accumulation of GAGs in lysosomes, resulting in cell, tissue and organ dysfunction<sup>2</sup>. The ubiquitous nature of GAGs in the body's connective tissues gives rise to a wide phenotypic spectrum usually characterized by coarse facial features, liver and spleen enlargement, bone deformities with subsequent reduction of joint mobility, variable mental retardation and cardiac and ophthalmologic involvement<sup>1,3</sup>.

Ear, nose and throat (ENT) disorders are extremely frequent, mostly in MPS I, II and VI, and are often the earliest clinical manifestations of these diseases<sup>4</sup>. Indeed, MPS patients display an increased risk of otitis media with effusion (OME) due to the pathologic deposition of GAGs in the post-nasal space, eustachian tubes and middle ear<sup>5</sup>. Sensorineural hearing loss, whose aetiology remains unclear, is believed to result from infiltration of the cochlear duct, stria vascularis and cochlear nerve afferents<sup>4</sup>. Nevertheless, in most MPS VI patients deficits are conductive in nature<sup>6</sup>. Other common ENT disorders are: adenotonsillar hypertrophy, almost universal in MPS<sup>5</sup>, chronic recurrent rhinitis and persistent copious nasal discharge<sup>7</sup>. These conditions, in addition to nasal dysmorphism, mandibular abnormalities, tracheomalacia, thickened vocal cords, macroglossia and redundant tissue in the upper airway (UA) can contribute to UA complications and to obstructive sleep apnoea (OSA)<sup>6,8</sup>.

Although patients with MPS may improve airway obstruction with more conservative treatment approaches including positive airway pressure devices (CPAP/BIPAP), management often requires early adenotonsillectomy and in extreme cases tracheostomy to ensure a patent airway in the short- or long-term<sup>5</sup>. Before the advent of haematopoietic stem cell transplantation (HSCT) and especially enzyme replacement therapy (ERT), the main focus of treatment of MPS I, II, and VI was prevention and management of complications. Recently, much progress has been achieved in the treatment of MPS, and HSCT has been used in patients with MPS to correct the enzyme deficiency. Although many studies reveal that HSCT can change the natural course of the disease, increasing life expectancy and improving many systemic abnormalities<sup>9,10</sup>, it is a high-risk procedure with high morbidity/mortality. Moreover, its indication depends on the type of MPS, patient's clinical picture, age and presence of neurological impairment<sup>11-13</sup>. ERT, currently considered an efficient therapeutic method, is based on the periodic replacement of the defective enzyme, leading to higher GAG degradation in tissues and organs and promoting significant improvement in some clinical features. However, the influence of ERT on pathological manifestations is still not well understood, and long-term data on its efficacy is not yet available<sup>14,15</sup>.

We designed an observational study in order to define the incidence of ENT problems in patients with MPSs and to establish the impact of surgical treatment. We also observed the role of surgery in improving the clinical phenotype and the quality of life of patients affected by MPSs.

## Materials and methods

The present study includes 20 patients with MPS (7 [35%] MPS I, 6 [30%] MPS II, 4 [20%] MPS III, 1 [5%] MPS IV and 2 [10%] MPS VI), 13 male and 7 female (median age

at the beginning of the observation 6 years, ranging from 1 to 16), observed at the Department of Paediatric Medicine of Federico II University in Naples from June 1999 to June 2009. The median age at diagnosis of MPS was 3 years and the median age of presentation to an otolaryngologist was 12 months. The average length of follow-up was 8.4 years.

We performed flexible fibre otoscopy, rhinopharyngolaryngoscopy, tympanograms, audiograms in patients able to cooperate (n = 15) in addition to polysomnography.

The following was considered:

- 1 *The number of OME and upper respiratory tract infections (URTIs) episodes per year.*
- 2 *Adenoid hypertrophy* (Grade 1: adenoid tissue occupies only the upper segment in the rhinopharyngeal cavity; Grade 2: adenoid tissue is confined to the upper half of the rhinopharyngeal cavity; Grade 3: adenoid tissue is extended over the rhinopharynx with obstruction of choanal openings and partial closure of tube ostium; Grade 4: tube ostium and lower choanal border could not be observed)<sup>16</sup>.
- 3 *Tonsillar hypertrophy* (0: tonsils entirely within the tonsillar fossa; 1: tonsils occupying less than 25% of the lateral dimension of the oropharynx as measured between the anterior tonsillar pillars; 2: tonsils occupying less than 50% of the lateral dimension of the oropharynx; 3: tonsils occupying less than 75% of the lateral dimension of the oropharynx; 4: tonsils occupying 75% or more of the lateral dimension of the oropharynx).
4. *Audiometric test.*
5. *Type of tympanograms* (Type A: static compliance [SA]  $\geq 0.2$  cm<sup>3</sup>, tympanic peak pressure [TPP] -200  $\rightarrow$  +100 mm H<sub>2</sub>O, type B: SA < 0.2 cm<sup>3</sup>, type C: SA  $\geq 0.2$  cm<sup>3</sup>, TPP  $\leq 200$  mm H<sub>2</sub>O).
6. *OSAS* (number of obstructive apnoea and hypopnoea events per hour of sleep: apnoea-hypopnoea index AHI and oxygen saturation: Sat % O<sub>2</sub>).

Eight (40%) of our patients (3 MPS I, 4 MPS II and 1 MPS VI) were treated with ERT; of these, 4 patients (1 MPS I, 2 MPS II and 1 MPS VI) underwent surgery, in 2 cases before starting enzyme therapy and in the remaining 2 cases one year after initiating therapy.

In 10 patients (50%) surgical therapy was required (Table I): adenotonsillectomy in 5 patients (25%), adenoidectomy in 3 patients (15%), tonsillectomy in 2 patients (10%), insertion of middle ear ventilation tubes in 3 patients (15%), tracheotomy in 1 patient (5%) and exeresis of vocal cord polyps in 1 patient (5%). Flexible fibre-optic laryngoscopy was performed before surgery to familiarize the anaesthesiologist with the anatomy that would be encountered.

We finally considered the role of ENT surgery on improvement in quality of life, estimated through parental opinion and clinical manifestations. In particular, the severity of

**Table I.** Surgical procedures performed.

Patients	1	5	6	8	11	12	14	16	19	20
Adenoidectomy	X	X	X							
Tonsillectomy				X					X	
Adenotonsillectomy					X	X	X	X		X
Insertion of middle ear ventilation tubes	X						X			X
Tracheotomy	X									
Exeresis of polyps of the vocal cords					X					

respiratory tract and/or otological infections was evaluated according to an infection score system considering the type of infection, presence of systemic symptoms, impairment of daily activities, improvement of instrumental date, need for therapy and/or hospital admission and duration of disease (Table II).

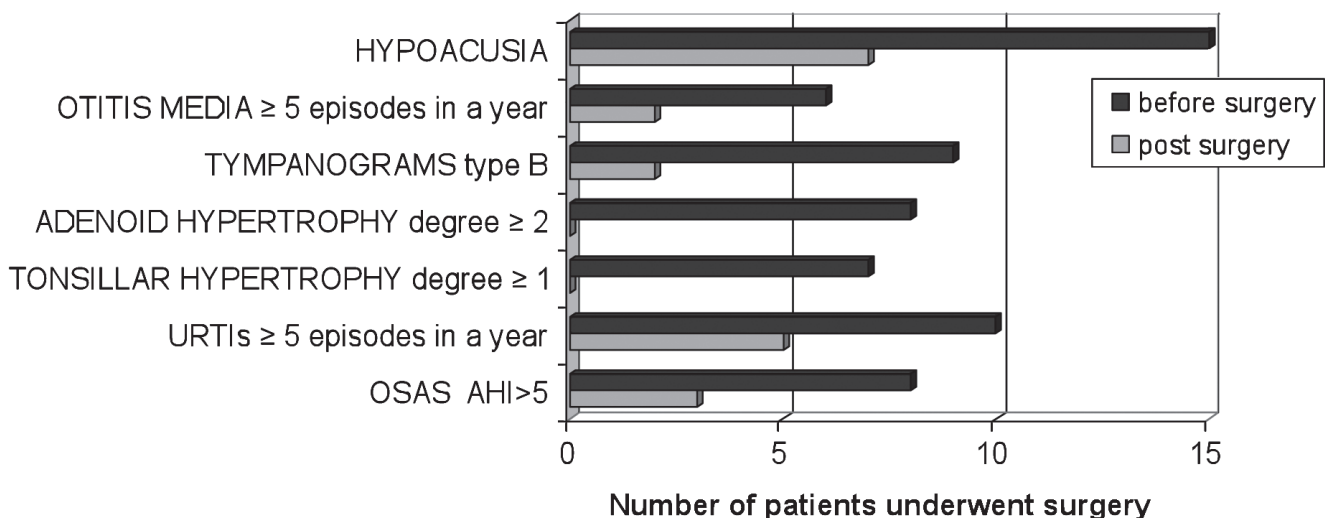
A visual analogue scale (VAS) is a psychometric response scale used for subjective characteristics or attitudes that cannot be directly measured. A VAS is usually a horizontal line, 10 cm in length, anchored by picture descriptors. Patients indicate the line point that they feel best represents perception of their current state (pain, ability to do daily activity) before and after surgery.

**Results**

18 of 20 patients (90%) showed at least one ENT manifestations (Table III). In particular, 6 patients (30%) had a history of chronic and recurrent OME  $\geq 5$  episodes in a year. Hearing loss was demonstrated by audiogram and ABR in 15/20 (75%) patients: in 6 (43.33%) patients mixed hypoacusia was present, conductive hypoacusia in 6 (43.33%) and sensorineural hypoacusia in 3 (13.33%) cases. We found type A tympanograms in 6 patients, type B in 10 and type C in 4 patients. Adenoid hypertrophy

**Table II.** Total score  $\leq 5$ : mild respiratory tract and/or otological infection; score 6-11: moderate respiratory tract and/or otological infection; score  $\geq 12$ : severe respiratory tract and/or otological infection.

		Score
Type of infection	Rhinitis	0
	Rhinitis + otitis and/or tonsillitis	1
	Pneumonia	2
Systemic symptoms	Absent	0
	Slight fever and/or some aches	1
	Definite elevation of temperature	2
Daily activity	Not limited	0
	Some limitation	1
	Severely incapacitated	2
Therapy	Local	0
	Systemic (oral administration)	1
	Systemic (intravenous administration)	2
Hospitalization	No	0
	Single entry followed by home therapy	1
	Admission	2
Resolution	< 7 days	0
	7-10 days	1
	> 10 days	2



**Fig. 1.** Comparison between otorhinolaryngological manifestations before and after surgery.

(degree  $\geq 2$ ) was present in 15 of 20 (75%) cases; tonsillar hypertrophy (degree  $\geq 1$ ) was present in 17 of the 20 patients; UA obstruction and OSA was present in 8 cases. 15 patients (75%) had a history of URTIs, such as rhinosinusitis, pharyngotonsillitis and laryngitis. In 9 patients (45%), OSA was documented by positive results of polysomnography that demonstrated apnoea-hypopnea indexes (AHI) ranging from 18 to 31, and an oxygen saturation ranging from 74% to 85%.

Ten patients underwent surgical treatment, and none required prolonged intubation. Figure 1 shows the comparison between ENT manifestations before and after surgery.

At least 30% (3 patients) of the patients obtained an improvement in quality of life. Indeed, VAS scores after surgery compared to VAS score before surgery significantly improved (median value  $7.0 \pm 1.5$  vs.  $3.6 \pm 1.6$ ). The severity of respiratory and ENT infections, measured with the infection score system also showed improvement (median infection score before surgery  $13.7 \pm 4.6$  vs.  $3.9 \pm 2.4$  after surgery). One patient (10%) still presented OSA after adenotonsillectomy because of macroglossia and dysmorphism. All patients are still alive at the time of writing.

## Discussion

MPSs are lysosomal storage disorders with deficiency of enzymes involved in the degradation of GAGs. The structures of the head and neck are nearly always involved in MPSs, often at an early age, and as a result, otolaryngologists are commonly the first clinicians to whom these individuals present. Our study confirms the importance of ENT management in the multidisciplinary approach to these patients. Because of the high incidence of ear disorders, and their effects on language development and quality of life, routine otologic and audiology evaluation must be obtained<sup>17</sup>. Hearing loss affects almost all MPS patients, which is characterized by both conductive and sensorineural involvement. In fact, in the present study, hearing loss was present in 75% of cases, in agreement with literature data, in which the percentage varies from 59.7% to 89%<sup>18</sup>. While it is reported that conductive hearing loss can be improved after adenoidectomy and insertion of middle ear ventilation tubes<sup>19</sup>, amplification is typically required to overcome what is generally permanent sensorineural loss. As mentioned above, our data confirm these observations as about 60% of cases experienced improvement in hearing after surgical treatment.

While otologic manifestations have an effect on quality of life, upper-airway obstruction contributes more to morbidity and mortality. The accumulation of mucopolysaccharides can cause alterations of normal airway function,

so prompt diagnosis is crucial. Changes in soft tissues including tonsils, adenoids, tongue and lingual tonsils are responsible for most respiratory problems. As the disease progresses, pharyngomalacia and tracheomalacia may develop and become severe, leading to significant airway obstruction<sup>20</sup>.

Upper airway obstruction may range from varying degrees of OSA to life-threatening airway emergencies. However, airway evaluation is very difficult, typically non-uniform among different providers and varies from case to case<sup>21</sup>. In the present study, we found upper airway obstruction in 75% of cases while literature data describes percentages varying from 38%<sup>22</sup> to 48%<sup>18</sup> to 92%<sup>23</sup>. Currently, treatment of airway obstruction is controversial, but the accumulation of GAGs in the adenoids and tonsils, with resulting hypertrophy, makes these structures frequent targets of surgical intervention. Therefore, in more severe forms, such as purulent, recurrent and chronic cases, adenoidectomy should be performed without delay<sup>16</sup>. OSA initially can be helped by tonsillectomy and adenoidectomy, but many patients require nocturnal oxygen treatment later<sup>24</sup> or, in more severe cases, tracheotomy. In the light of the elevated anaesthetic risk of this population due to copious secretions, temporomandibular joint arthritis, difficult or failed intubations, macroglossia, abnormal laryngeal anatomy and subglottic narrowing<sup>15</sup>, in our centre, it is common practice to perform and record a bronchoscopy examination with a flexible fibre-optic bronchoscope before surgery<sup>5,6</sup> to evaluate the extent and severity of airway infiltration.

Among 20 MPS patients, 10 (50%) underwent surgery (Table I): adenotonsillectomy (5 patients, 25%), adenoidectomy (3 patients, 15%), tonsillectomy (2 patients, 10%), insertion of middle ear ventilation tubes (3 patients, 15%), tracheotomy (1 patient, 5%) and exeresis of vocal cord polyps (1 patient, 5%).

OSA evaluation should begin with history and physical examination, but the degree of pre and post-operative obstruction should be studied with polysomnography and rhino-oro-laryngoscopy<sup>23,25</sup>. For these reasons, we performed a pre- and post-operative sleep study that demonstrated improvement of AHI ranging from 7 to 15 after surgery, with oxygen saturation ranging from 81% to 87% compared to preoperative results (AHI ranging from 18 to 31, and oxygen saturation ranging from 74% to 85%). One patient (10%), in contrast, still presented OSA after adenotonsillectomy because of his macroglossia and dysmorphism.

The study was also designed to examine the quality of life of patients affected by MPSs using a VAS score, measured before and after surgery, which demonstrated a significant improvement after treatment from score 5 (60%) and score 4 (40%) to score 3 (75%) and score 2 (25%).



**Table III.** Otorhinolaryngological manifestations.

Pt	MPS	Otitis media No. of episodes per year	Hypoacusia	Tympanograms	Degree adenoid hypertrophy	Degree tonsillar hypertrophy	(URTIs) No. of episodes per year	OSAS AHI
1	I	7	Conductive	B	4	2	6	31
2	I	2	Mixed	C	2	1	5	
3	I	2	Mixed	B	2	1	5	
4	I	2	Sensorineural	A	2	1	5	
5	I H/S	6	Conductive	B	3	2	6	22
6	I S	3	Mixed	B	3	2	6	
7	I	0	Sensorineural	A	2	0	2	
8	II	3	Mixed	C	1	3	5	25
9	II	1	-	A	2	0	3	
10	II	2	Sensorineural	A	2	2	5	
11	II	4	Mixed	B	3	3	6	28
12	II	6	Conductive	B	4	4	7	29
13	II	2	-	C	1	1	5	
14	III B	7	Conductive	B	3	3	6	18
15	III B	0	-	A	1	2	2	19
16	III B	7	Conductive	B	4	3	7	26
17	III B	1	-	A	1	0	2	
18	IV A	1	-	C	2	1	3	
19	VI	3	Mixed	B	1	3	6	
20	VI	8	Conductive	B	3	3	7	21

## Conclusions

In conclusion, because of the high incidence of ENT manifestations in MPS, otolaryngologists have a crucial role in the multidisciplinary approach to diagnosis and management of many subjects with this disorder. Moreover, despite the fact that ERT has made an important contribution to improving the quality of life of these patients, ENT surgery remains a fundamental therapeutic procedure for reducing the frequency and severity of ear infections and for relieving the symptoms of upper airway obstruction, even if these interventions are not definitive.

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CLINICAL TECHNIQUES AND TECHNOLOGY

# Assessment of skills using a virtual reality temporal bone surgery simulator

## *Valutazione delle competenze nella chirurgia dell'osso temporale con un simulatore della realtà virtuale*

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### SUMMARY

Surgery on the temporal bone is technically challenging due to its complex anatomy. Precise anatomical dissection of the human temporal bone is essential and is fundamental for middle ear surgery. We assessed the possible application of a virtual reality temporal bone surgery simulator to the education of ear surgeons. Seventeen ENT physicians with different levels of surgical training and 20 medical students performed an antrotomy with a computer-based virtual temporal bone surgery simulator. The ease, accuracy and timing of the simulated temporal bone surgery were assessed using the automatic assessment software provided by the simulator device and additionally with a modified Final Product Analysis Scale. Trained ENT surgeons, physicians without temporal bone surgical training and medical students were all able to perform the antrotomy. However, the highly trained ENT surgeons were able to complete the surgery in approximately half the time, with better handling and accuracy as assessed by the significant reduction in injury to important middle ear structures. Trained ENT surgeons achieved significantly higher scores using both dissection analysis methods. Surprisingly, there were no significant differences in the results between medical students and physicians without experience in ear surgery. The virtual temporal bone training system can stratify users of known levels of experience. This system can be used not only to improve the surgical skills of trained ENT surgeons for more successful and injury-free surgeries, but also to train inexperienced physicians/medical students in developing their surgical skills for the ear.

KEY WORDS: Virtual reality operation simulator • Temporal bone laboratory • Ear surgery • Skills lab

### RIASSUNTO

*La chirurgia dell'osso temporale è difficile dal punto di vista tecnico a causa della sua complessa anatomia. La precisa dissezione anatomica è però essenziale e fondamentale per la chirurgia dell'orecchio medio. Nel nostro studio abbiamo valutato il possibile utilizzo di un simulatore virtuale nella chirurgia dell'osso temporale per la formazione di chirurghi in questo campo. Diciassette medici ORL con diversi livelli di formazione chirurgica e venti studenti di medicina hanno eseguito una antrotomia con un simulatore virtuale di chirurgia temporale ossea collegato ad un PC. La leggerezza, l'accuratezza e il tempo impiegato per l'intervento simulato all'osso temporale sono stati valutati utilizzando sia un software automatico di valutazione collegato al dispositivo simulatore sia una scala modificata per l'analisi finale del prodotto. I chirurghi ORL esperti, i medici senza esperienza chirurgica dell'osso temporale ma anche gli studenti di medicina sono stati tutti in grado di eseguire l'antrotomia. Tuttavia i chirurghi ORL altamente qualificati erano in grado di completare l'intervento in circa la metà del tempo, con una migliore maneggevolezza del trapano e una maggiore precisione, come dimostrato dalla significativa riduzione di lesioni a importanti strutture dell'orecchio medio. I chirurghi ORL con maggiore esperienza hanno ottenuto punteggi significativamente più alti con entrambi i metodi di analisi. Sorprendentemente non sono state trovate differenze significative nei risultati ottenuti dagli studenti di medicina e dai medici senza esperienza nella chirurgia dell'orecchio. L'utilizzo del sistema virtuale per interventi all'osso temporale è in grado di stratificare gli utenti in base ai livelli noti di esperienza. Questo sistema può essere utilizzato non solo per migliorare le competenze chirurgiche di operatori esperti per interventi di maggior successo e senza lesioni, ma anche per aiutare i medici senza esperienza e gli studenti di medicina a sviluppare le loro competenze chirurgiche all'orecchio.*

PAROLE CHIAVE: *Simulatore operativo di realtà virtuale • Laboratorio dell'osso temporale • Chirurgia dell'orecchio • Competenze di laboratorio*

## Introduction

Surgery of the middle ear and the temporal bone remains challenging for otologists due to the complex anatomy and inherent anatomical variability between individuals. The greatest difficulty for beginners is in understanding the three-dimensional positional relationship of the middle ear and the ossicles to the facial nerve, carotid artery, sigmoid sinus and the dura of the middle and posterior fossa. Even under favourable conditions, the rate of complications for intra-operative injury to the facial nerve, sigmoid sinus, labyrinth, cochlea and dura is reported to be from 2-6%<sup>1-4</sup>. This rate is even higher in third world countries<sup>5</sup>. Therefore, surgery on the temporal bone requires a consistently high degree of technical skill and usually requires specialized ENT surgeons. As a result, intermediate and advanced otosurgical training programmes exist and are essential for the training of ENT physicians in most hospitals.

Traditionally, anatomical knowledge was gained by studying standard surgical anatomical diagrams; however, this alone does not provide sufficient information to prepare a physician for ear surgery. Therefore, otology training begins with temporal bone dissection labs involving cadaveric temporal bones prior to any surgery on human patients. This training allows the physician to practice his surgical skills and gain knowledge of the temporal bone anatomy. If temporal bone dissection labs are not available in a hospital, then knowledge of the ear anatomy and the surgical landmarks of the temporal bone can be gained through temporal bone drilling courses, but these courses have limited availability. In fact, in some areas of the world, barriers imposed by religion, policy and law make it impossible to obtain human bones for these drilling courses<sup>6</sup>.

Virtual simulators have been used increasingly in recent years for education and training purposes in all fields of surgery<sup>7-9</sup>. Simulators are currently used as successful training tools in many high performance fields not only to provide specialized training, but also to prevent rare and hazardous events<sup>10-12</sup>. Therefore, application of virtual simulators to the field of ear surgery is of tremendous interest to ENT surgeons because of its potential to accelerate training and increase physicians' skill level in temporal bone surgery even prior to entering a temporal bone dissection lab or the operating theatre.

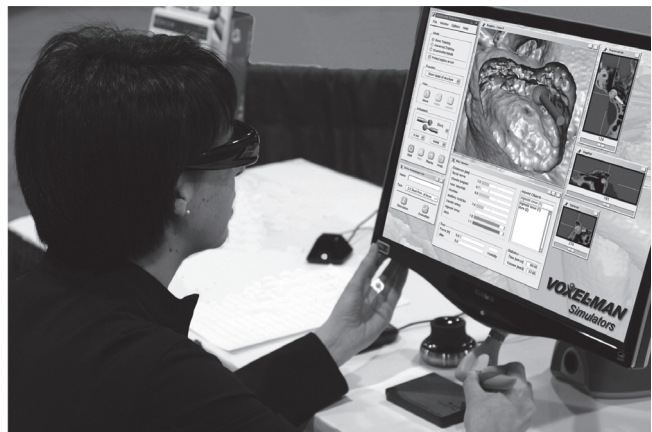
The principles of evaluating surgical simulators are well established. Common benchmarks on which simulators are judged include reliability, face, content, construct, concurrent and predictive validities. Construct validity is a mandatory, and one of the most valuable, assessments of a simulator before its acceptance as a competency-developing device. In this regard, a simulator must be able to distinguish the experienced from the inexperienced surgeon<sup>13</sup>. Given this interest, our goal in this work was to assess if the simulation can stratify users of known levels of experience, which explores construct validity.

## Clinical techniques and technology

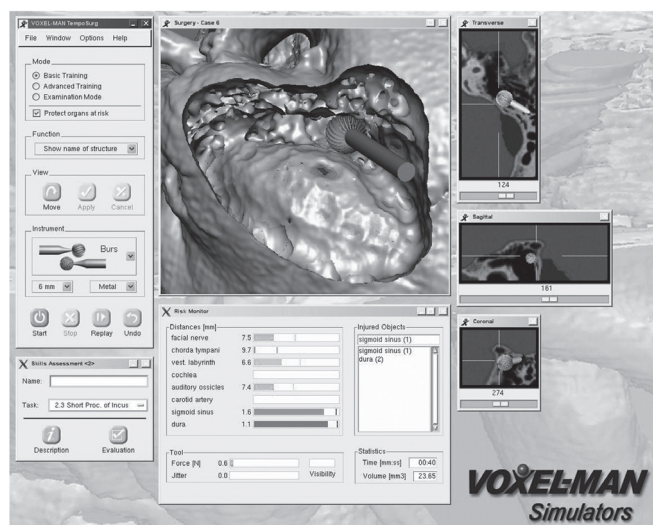
### Virtual temporal bone laboratory

The VOXEL-MAN TempoSurg<sup>®</sup> simulator is a commercially available three-dimensional virtual reality simulator that was developed for teaching temporal bone anatomy and surgery (Fig. 1). The simulator is a tool for training and planning classical and navigated surgical access to the middle ear. The system is based on virtual 3D models of the skull base derived from high-resolution CT data.

The trainee observes the virtual surgical site using the display on the stereoscopic mode (Fig. 2) and controls the drill with a force feedback device. Thus, the simulated



**Fig. 1.** A view of the virtual temporal bone laboratory. The subject sits with the stylized drill in the hand in front of the screen and dissects the virtual mastoid.



**Fig. 2.** The VOXEL MAN TempoSurg<sup>®</sup> user interface. In this case, the sigmoid sinus (blue) and the dura (brown) are injured. The surgeon is on the way to the antrum which is not opened. This is the mode for beginners. The risk monitor, which shows the distance to vital structures, can be seen at the bottom. A 3-D-navigation is displayed on the right side. An interactive menu to choose the level, the view and the instrument is on the left side.

procedure closely mimics the real procedure with respect to the patient's orientation and the surgeon's view, working direction and haptic feedback. The trainee can choose the view angle and magnification as well as the type, size and rotation speed of the drill. In addition, the user can navigate with three orthogonal cross-sectional views. A set of models derived from individualized patient cases with labelled organs at risk are provided for surgical training. During the early training phase, the organs at risk are coloured both in the 3D surgical site and the cross-sectional views, and alarms can be set to indicate when organs at risk are injured. These functions enable different levels of training support. It is also possible to record the dissection procedure, and the unit can create an error log and measure various parameters.

#### *Study design*

We performed a validation study using the computer-based virtual ear surgery simulator in an academic training programme. Seventeen physicians from the ENT department and 20 medical students consented to perform an antrotomy using the simulator. Of the physicians, 10 were residents without any experience in ear surgery, while seven (six consultants and one resident) had varying levels of experience and had previously performed mastoidectomies and tympanoplasties. Residents without experience in ear (temporal bone) surgery did have at least two years of prior experience in other forms of ENT surgery (e.g. tonsillectomy, surgery of the nasal septum or the paranasal sinuses, surgery of tumours of the neck, insertion of grommets).

The task was first explained to all subjects in the same manner. Subjects were told that they could try the simulator programme in a task referred to as the first step. Subjects were asked to write their names with metal and diamond burs of two different sizes on an animation of a plane face.

The pathogenesis of otitis media and mastoiditis was explained to the students. All subjects without experience in ear surgery were shown a model of the middle ear and the temporal bone. The antrotomy and mastoidectomy procedures were explained. The landmarks, delicate ear structures and surgical procedure were illustrated by a three dimensional model. All subjects without experience in ear surgery were shown two images. The first image illustrated the human temporal bone, which was dissected without corticalis and gave the view of partially opened mastoid cells. The second image illustrated the aim of the procedure by showing a completed antrotomy with a wide open antrum and a view of the short process of the incus, dura, sinus with a thinned out bony hull, labyrinth and angle of Citelli.

The principles of the techniques of ear surgery were explained to all subjects without experience in temporal bone surgery. Special instructions were given including

a discussion of the differences between diamond and metal burs, and advice was provided to always choose the largest possible burr, to avoid drilling without seeing the top of the instrument and to always use the maximum burr rotation speed. All subjects without experience in ear surgery were instructed in the anatomy of the area again immediately prior to drilling, including the linea temporalis, Henle's spine, tympanic membrane, dorsal wall of the outer ear canal, position of the approximated antrum and sigmoid sinus as well as the dura.

All subjects were also instructed that credit would be given for smooth and steady drill action, avoidance of damage to important ear structures and progress made in the task. A film of the drilling procedure was recorded on the computer as well as an electronic record of the preparation.

#### *Assessment of the preparation*

The performance of subjects was measured using two different methods; a newly developed custom rating scale for the special situation of a virtual dissection that is based on the Final Product Analysis Scale as well as the automatic assessment software provided by the computer-based simulator.

#### *Modified Final Product Analysis Scale*

The easiest way to assess surgical skills is, in addition to the surgical time, to assess the surgical outcome. This is, ultimately, essential for the patient. The Final Product Analysis Scale is a method to assess the outcome of an operation or a dissection, which was first described for a GI procedure<sup>14</sup>. We modified this scale for the conditions set by an ear operation and especially for the virtual reality operation simulator. The score was designed to rate the subject's achievement of the basic objective of the operation rather than perfect completion.

We awarded points for the presentation of important structures and restricted the operation time to 20 min (Table I). Points were deducted when subjects injured important structures.

Distinctions were made as to whether the violation took place during the dissection when using a metal or diamond burr. This distinction was made because there is a big difference if an injury takes place with the metal or the diamond burr. If someone touches the ossicles, for example, with the diamond burr, the patient gets a sensorineural hearing loss of about 40 dB. Touching the chain with the cutting burr leads to deafness as a rule. In the case of injury of the dura, the tympanic membrane or the sigmoid sinus, the damage is much smaller if the injury is performed with the diamond. Opening of the cochlea is a major error; if the facial nerve is cut, the patient has a facial palsy for life. It makes no difference if this happens by dissection with a diamond or a metal cutting burr. Therefore, no distinction was made for these items.

**Table I.** Points awarded for prepared structures (Modified Final Product Analysis Scale).

Prepared structure	Points
Compacta of the temporal bone	1
Cancellous bone of the temporal bone / opening of the first cells	2
Reaching Koerner's septum	3
Opening the bulla of the antrum	10
Removal of the walls of the bulla	12
Preparation of the incus	15
Preparation of the labyrinth block	2
Preparation of the dura	2
Thinning the bony coverage of the sigmoid sinus	2
Operating time < 20 min, reaching all operating objectives and preparing all structures listed above	10

**Table II.** Point deductions for drilling defects (Modified Final Product Analysis Scale).

Injured structure	Penalty / diamond burr	Penalty / metal burr
Ossicles	5	10
Dura	3	5
Sigmoid sinus	3	5
Tympanic membrane	2	5
Perforation of the posterior canal wall	1	2
Cochlea	10	10
Semicircular canals	3	6
Facial nerve	10	10
Chorda tympani	2	2

In the end, the participants were asked to complete a questionnaire. Injured structures should be marked with a cross and the instrument with which the injury happened should be denominated. If the subject noted structural damage, one less point was deducted than if the subject did not take note (Table II).

The procedures were videotaped. Based on these criteria, the question whether landmarks were exposed or not, were established by two experienced ENT surgeons, using the taped video material, by consensus. The experts were blinded to the user's skill level. Both surgeons have more than 15 years of experience in otorhinolaryngology. Collisions with vital structures were identified automatically by the software of the device as described below.

#### *Feedback on the software protocol*

Objective parameters of the training were measured from the software protocol scheme. One hundred points could be achieved for the complete removal of the mastoid cells on the way to the antrum. The reference volume was defined by a virtual antrotomy done by a highly trained ear surgeon. This expert performed the procedure three times. From this, the voxels were extracted, which he has removed each time (intersection). This defined the reference volume. The percentage indicates how much a candidate

has removed, always referring to the voxels in the reference volume; 100% was reached by removing the reference volume completely.

Again, injury of delicate structures was penalized with point deduction. Injury was defined as the penetration of the drill into a risk structure. These risk structures were segmented as separate items, i.e. they were described by a set of voxels. Furthermore, it was worked with subvoxel accuracy. The risk structures (soft tissue) were first defined by thresholding (threshold intensity).

In a subsequent processing stage, these were adjusted and smoothed interactively with the assistance of an expert using a volume editor. Therefore, they were independently segmented objects, and not only the bone cavities. Any violation in one motion (as defined by the penetration depth, approximately 0.1 mm) was counted as an error. The device noted whether the violation was made with a metal or diamond burr, but no distinctions were made with reference to point deductions.

The dissection time was calculated, and the reference time for the virtual operation was set to seven min. Subjects were penalized if the operation time exceeded these limitations and rewarded if they completed the operation in less time (one point per 5 sec).

A special feature of the virtual temporal bone laboratory is that it can calculate and register changes in pressures in the vicinity of delicate structures, particularly in the area of the facial nerve and the chorda tympani. Sewell et al. showed that an expert works in the field of about 25 mm to the facial nerve with a force of 0.2-0.1 N; a beginner, however, works with a force of more than 0.2 N<sup>15</sup>. In this system, only significantly higher forces are counted as errors, in order to avoid "false positives." The threshold here for excessive force is 0.8 N. High pressures noted in the proximity of delicate ear structures would lead to point deductions. This particular feature of the virtual simulator is of significant interest and is valid in the training of ear surgeons to perform temporal bone surgeries as high pressures are known to lead to non-functional facial nerves in patients. In addition, one point was deducted for each second that the rotating burr did not remain visible (Table III). Furthermore, all actions of the subject were recorded and evaluated including the "drill path", which is the total length of the path of the drill during drilling without accounting for movements in air. Furthermore, the aver-

**Table III.** Points deducted for drilling errors (automatic assessment).

Injured structure	Penalty
Injuring the ossicles	10
Injuring the dura	1
Injuring the sigmoid sinus	5
High pressure near the facial nerve or the chorda tympani	5
Rotating burr not properly visible (per sec)	1
Preparation time longer than 7 min (each 5 sec)	1

age volume removed in an internal time step of 20 msec was also measured. The device also recorded the angle of drilling. These metrics have not been validated previously and, therefore, are not included in the evaluation scheme, except for output as a measured value. It was assumed that they could be meaningful, as (i) experienced surgeons will be more targeted and operate with less movement in the air, (ii) they are goal orientated, (iii) and they bit more with the equator than grow with the tip as inexperienced colleagues.

#### Our experience

The study was approved by the human ethics committee of the University of Luebeck (AZ-12-021). There are no objections to the participation of medical students and qualified doctors in a virtual temporal bone lab. Data were analyzed with a one- and two-way ANOVA followed by Fisher's Exact Test using Stat View 5.0 software. Differences between groups were considered significant when  $p < 0.05$ .

The results show that all experienced ENT surgeons were able to complete the aim of the operation and open the antrum and to intraoperatively dissect both the dura and the sigmoid sinus. Nine of ten residents succeeded in entering the antrum. Eight residents (80%) explored the sigmoid sinus, but only three (30%) explored the dura. Additionally, 18 (90%) students explored the sigmoid sinus, but only 11 (55%) explored the dura. It should be noted that none of the subjects injured the cochlea, semi-circular canals, facial nerve or chorda tympani. In addition, no injury was observed to the ossicles when the surgical procedure was performed by the experienced ENT surgeons. However, the rate of injury to the ossicles was 50% in residents and 60% in students. This suggests that the experienced ENT surgeons performed significantly better than the residents ( $p < 0.05$ ) and students ( $p < 0.01$ ). In contrast to the students, a majority of the residents immediately before entering the antrum changed to the diamond burr to avoid injuring the ossicles. While

only one experienced ENT surgeon injured the sigmoid sinus, 50% of residents and 45% of students damaged the sigmoid sinus. Interestingly, there was a high rate of injury to the dura, with 70% of the experienced ENT surgeons inducing injury compared with 20% and 45% by residents and students, respectively. In addition, no experienced ENT surgeon perforated the posterior wall of the outer ear canal. However, two residents (20%) and nine students (45%, Table IV) did perforate the posterior wall of the outer ear canal. Excessive force near delicate ear structures was observed in 60% of residents and 55% of students, but in none of the experienced ENT surgeons. This parameter further illustrated that the experienced ENT surgeons were significantly better at handling the drill compared to residents ( $p < 0.05$ ) and students ( $p < 0.05$ ).

Our results further show that dissection times significantly differed between subject groups. Experienced ENT surgeons required half the time for the surgical procedure when compared to residents without ear surgery experience and students. Differences in procedure times between the experienced ENT surgeons and both students and residents were highly significant ( $p < 0.001$ ), while no significant variability was detected between residents and students.

A significant difference was observed between groups in the automatic score assigned by the software provided with the virtual device. The difference between the experienced ENT surgeons and both the students and residents was again highly significant ( $p < 0.001$ ), but no significant difference was noted between residents and students. Scores measured by the Modified Final Product Analysis Scale further validated that the more experienced ENT surgeons scored significantly higher than residents and students ( $p < 0.05$ ). Again, there were no differences in scores between residents and students.

We also show that there was no difference in the removal of temporal bone volume ( $p > 0.15$ ), length of the drill path ( $p > 0.45$ ) or drill angle ( $p > 0.5$ ) between subject

**Table IV.** Preparation of landmarks and damage to the posterior wall of the outer ear canal.

	Experienced ear surgeons (n = 7)	Residents without experience in ear surgery (n = 10)	Students (n = 20)
Preparation of the dura*	7 (100%)	3 (30%)	11 (55%)
Thinning of the bony hull of the sigmoid sinus*	7(100%)	8 (80%)	18 (90%)
Entry to the antrum*	7(100%)	9 (90%)	20 (100%)
Damage to the posterior wall of the outer ear canal*	0 (0%)	2 (20%)	9 (45%)
Injury of the auditory ossicles*	0 (0%)	5 (50%)	12 (60%)
Injury of the auditory ossicles with metal burr*	0 (0%)	1 (10%)	9 (45%)
Injury of the sigmoid sinus*	1 (10%)	5 (50%)	9 (45%)
Injury of the dura*	5 (70%)	2 (20%)	9 (45%)
Luebeck-Score**	51.0 ( $\pm$ 2.9)	41.5 ( $\pm$ 8.7)	43.7 ( $\pm$ 7.8)

\* number of incidences (percentage); \*\* mean (standard deviation)

**Table V.** Parameters recorded by the device.

	Experienced ear surgeons (n = 7)	Residents without experience in ear surgery (n = 10)	Students (n = 20)
Preparation time (min:sec)**	06:36 (± 0:38)	14:55 (± 8:47)	15:05 (± 6:27)
Automatic score**	68.7 (± 30.5)	-80.4 (± 82.0)	-52.7 (± 61.8)
Injury of the auditory ossicles*	0 (0%)	5 (50%)	12 (60%)
Injury of the auditory ossicles with metal burr*	0 (0%)	1 (10%)	9 (45%)
Injury of the sigmoid sinus*	1 (10%)	5 (50%)	9 (45%)
Injury of the dura	5 (70%)	2 (20%)	9 (45%)
Removed volume (ml)**	1.35 (± 0.30)	1.11 (± 0.22)	1.38 (± 0.24)
Excessive force near delicate structures*	0 (0%)	6 (60%)	11 (55%)
Rotating burr not properly visible (sec)**	0.4 (± 0.8)	4.1 (± 5.4)	1.4 (± 2.8)
Length of the drill path (mm)**	2542 (± 1270)	2439 (± 1682)	3170 (± 1944)
Drill angle (°)**	46.0 (± 5.5)	47.7 (± 6.9)	46.3 (± 6.6)
Volume per strike (mm <sup>3</sup> )**	0.77 (± 0.19)	0.39 (± 0.26)	0.43 (± 0.20)

\* number (percentage); \*\* mean (standard deviation)

groups. However, the volume per strike was significantly higher in experienced ENT surgeons when compared to residents and students ( $p < 0.001$ ). In addition, it should be noted that the rotating burr was not properly visible for a significantly longer period of time when used by residents compared to experienced ENT surgeons and students ( $p < 0.01$ ). Otherwise, no significant differences were found between ENT specialists and students (Table V). Figures 3 and 4 show representative examples of the electronic protocol of the completed antrotomy performed by an experienced ENT surgeon and by an advanced resident without experience in ear surgery, respectively.

Subjects were also asked about their impressions of the virtual device for training. Students expressed appreciation for the possibility of self-assessment and thought that the system was suitable for use in surgical specialization and was valuable as an initial training tool to prepare for surgical skills. Experienced ENT surgeons found the model to be similar to human temporal bone and suitable for training purposes.

## Discussion

Temporal bone surgery is complex and requires extensive surgical skills. The first step in acquiring the credentials for ear surgery is the dissection of cadaver temporal bones in a temporal bone lab. Human temporal bone specimens naturally provide an excellent resemblance to the anatomic details of the human tem-

poral bone in living people. In fact, post-mortem defects in these bone specimens are almost negligible and can be advantageous since they also provide a breadth of anatomical variability between individual specimens, reflecting the inherent variability between individuals. On the other hand, disadvantages of using these cadaver preparations include the inability to study pathological changes to the ear, the lack of anatomical reproducibility in the specimens, and the lack of specimen availability.

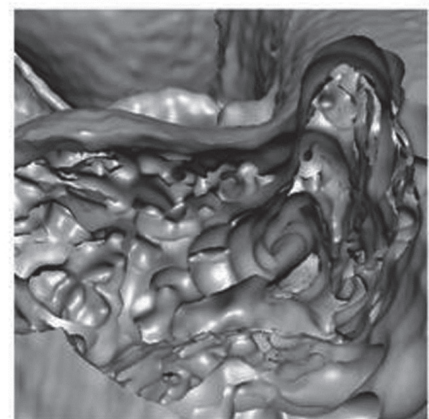
### Skills Assessment

#### 1 Antrotomy

Name: N. N.

Session: 25.06.2010, 02:50

Success	Score	
1.6 ml of reference correctly removed	95	✓
Errors		
Efficiency		
time used: 06:43 min	3	✓
Verdict		
Passed:	98	✓



Length of drill path: 134 mm, average drill angle: 41°, volume removed per strike: 0.998 mm<sup>3</sup>.

VOXEL-MAN TempoSurg Version 1.2n, Case 6, Skills Assessment Version 0.9.

This beta version is provided to selected customers to test the functionality of the skills assessment module. We are looking forward to your feedback on observed problems, additional needs, or suggestions for improvements of the final product!

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**Fig. 3.** Example of an electronic protocol of a completed antrotomy performed by an experienced ear surgeon. The major part (95%) of the reference volume is removed. The sigmoid sinus and the dura are explored. The antrum is wide open with a free view of the incus (brown). The virtual operation was finished within the time limit. The verdict on the procedure in general is “passed”.



Artificial temporal bone models have been developed over the last two decades in Europe, especially in Germany<sup>16-19</sup>. A number of virtual temporal bone models have been developed over the last several years<sup>15, 20-24</sup>. The VOXEL MAN Tempo Surg® simulator is a commercially available system for training on bone drilling interventions in middle ear surgery and offers the possibility to learn about temporal bone anatomy as well as to perform procedures with relatively realistic visual and haptic feedback. The system is based on a volumetric, high-resolution model of the temporal bone derived from a CT. Interactive volume-cutting methods are performed using a multivolume scheme cut where regions are modelled independently. Data volumes can also be measured using voxelization techniques. The so-generated temporal bone model shows all anatomical landmarks and organs of risk such as the sigmoid sinus, dura, posterior wall of the outer ear canal, labyrinth block, facial nerve and incus<sup>20</sup>. Compared to classical methods and artificial temporal bones, training using a virtual temporal bone lab provides

a number of major advantages. It allows physicians to train with temporal bones, which exhibit normal and pathological conditions, and the system can adapt to the user's existing skill level. Another advantage of the electronic simulation is the ability to infinitely repeat the procedure. It also allows the user to correct for mistakes by undoing a step and starting over again from a point before the error was committed. The system can also be used by many trainees and provides the possibility of self-assessment. Because users can record procedures, it allows for correction or evaluation at a later time. This virtual simulator can also decrease the required investment in bone drilling labs and the consumption of cadaveric material can be substantially reduced or even omitted.

Results achieved from temporal bone dissection labs can be difficult to recapitulate in human specimens<sup>18, 25, 26</sup>. We developed a "Modified Final Product Analysis Scale" to assess performance. The software included with the

VOXEL MAN Tempo Surg® simulator can provide automatic assessment of the user's surgical skills. A limitation of the automatic evaluation is that it only determines the ratio of the volume removed from the mastoid to the reference volume. The device does not note whether the antrum is in fact open at the end of the procedure. In this study, the experienced ENT surgeons never injured the chain of ossicles, injured the sigmoid sinus less frequently than other subjects and needed only half the operation time compared with other subjects to perform the temporal surgery. This is also reflected in a significantly better score calculated using both the self-developed Modified Final Product Analysis Scale as well as the automatic analysis software provided by the device. These results further validate that the performance in the virtual surgery closely resembles the level of skill and performance observed in the operating room.

Interestingly, the more experienced ENT surgeons injured the dura more often. This could be due to the lack of an increase in the intensity of blood flow in this region in the simulator, which is characteristic for the bone in the vicinity of the dura. More importantly, the experienced ear surgeon is looking for the dura as a landmark. In reality, the dura does not disrupt immediately if it comes in contact with the drill. The drill pushes the dura in front of it; the dura is not liable to be fixed to the bone. Thus, these

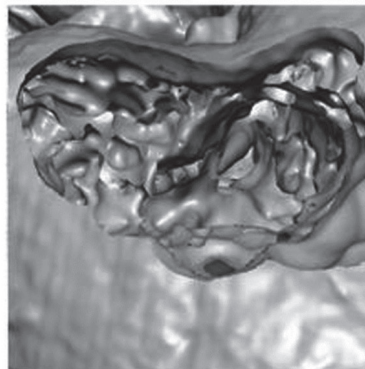
#### Skills Assessment

##### 1 Anotomy

Name: N N

Session: 18.06.2010, 01:27

Success	Score	
1.2 ml of reference correctly removed	74	✘
Errors		
excessive force of 0.2 N near the facial nerve	-18	✘
excessive force of 0.4 N near the chorda tympani	-42	✘
2 Injuries of the auditory ossicles	-20	✘
1 Injuries of the sigmoid sinus	-5	✘
rotating burr 17.1 s not properly visible	-17	✘
Efficiency		
time used: 08:35 min	-16	✘
Verdict		
Failed	-43	✘



Length of drill path: 241 mm, average drill angle: 50°, volume removed per stroke: 0.507 mm<sup>3</sup>.

VOXEL-MAN TempoSurg Version 1.2n, Case 6, Skills Assessment Version 0.9.

This beta version is provided to selected customers to test the functionality of the skills assessment module. We are looking forward to your feedback on observed problems, additional needs, or suggestions for improvements of the final product!

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**Fig. 4.** Example of an electronic protocol of a completed anotomy performed by a resident. Two-thirds (74%) of the reference volume is removed. Points were deducted because excessive force was applied in the area of the facial nerve and of the chorda tympani. The antrum is wide open, but the auditory ossicles were injured twice and the sigmoid sinus once. The rotating burr was not properly visible for 17 sec. The virtual operation was not finished within the time limit. The verdict on the procedure in general is "failed".

models should be further developed to account for these observed differences between the simulator and real operations.

Experienced ENT surgeons did not apply a high pressure with the drill near delicate ear structures, unlike half of the residents and students. These results suggest that the virtual device is very sensitive to major technical errors in drilling, which is also a good indicator of the level of surgical skill of the prospective ENT surgeon.

Operating room training often consists of guidance and supervision of a theoretically highly educated but more or less inexperienced surgeon (trainee) by a more experienced colleague. Even when a supervisor is present, there is always a high risk when a surgical trainee operates on a patient requiring complex surgery. Operation time is becoming increasingly important as medicine becomes commercialized. The atmosphere in the operating room is accompanied by a time limitation and a high level of expense. It has been calculated that surgical residents cost the operating room \$1,000 per training hour in addition to the regular cost of the operation<sup>27</sup>. In addition, individual surgical steps cannot be practiced repeatedly prior to surgery. Thus, the virtual surgery simulator offers the possibility of partial replacement of expensive operation room time and supervision by an expensive academic teacher with electronic supervision. One option for the future is preoperative simulation of the operation of selected and particularly difficult patient cases on a personal computer<sup>28</sup>. In this way, even experienced ear surgeons could simulate complex procedures before the real intervention, saving time and avoiding complications. In line with these thoughts, a training model for paranasal sinus surgery is currently in development<sup>29</sup>.

Surprisingly, no significant difference was noted between the residents without experience in middle ear surgery and the medical students. In Germany, a classical education in ENT surgery begins with adenotomy and tonsillectomy. Middle ear surgeries are the pinnacle of ENT surgeries and are performed by especially committed medical specialists during the culmination and height of their ENT training. It is obvious that ear surgery occupies a special position in ENT surgery and we show that surgical education in other sub-specializations has no influence on the physician's performance at the beginning of ear surgery training.

In our study we observed a dichotomic distribution separating skilled and non-skilled surgeons. This first evaluation of the virtual temporal bone laboratory by means of a standard operation revealed its construct validity and its suitability as a training model for temporal bone surgery in principle. Surgery training is a continuous process where different intermediate skill levels are observed. Therefore further studies on construct validity are required. These should include surgeons of different levels, e.g. completely inexperienced surgeons, inexperienced physicians who just had a temporal bone dissection course, surgeons with

intermediate experience (< 200 operations), experienced surgeons (> 200 and < 1000 operations) and experts (> 1000 operations).

The next step is to assess the efficacy of the system as a learning tool. Future work should investigate the learning curves on the simulator with single-subject repeated measure design. One possibility is to study whether there is a significant difference between the first and subsequent sessions of the inexperienced subjects in terms of operating time and surgical outcome. Furthermore, every attempt of the inexperienced surgeons could be compared with the data from the group of experienced surgeons to determine the point at which there is no significant difference between the two groups. Another experiment might assess the performance of trainees over time to see if the simulator performance improves with increasing clinical experience, as confirmed by the scores.

Finally, studies are needed to assess whether skills acquired in the virtual environment can be transferred to the operating room in order to translate the effect of learning on the simulator to real-life ear surgery; it would then be possible to demonstrate a transfer-effectiveness ratio.

Work completed in the temporal bone laboratory is and will remain "conditio sine qua non" for the start of training in ear surgery on patients. Virtual learning programs of the anatomy of the temporal bone and interactive programs for dissection may display the anatomical characteristics of this difficult anatomical region and serve as a good baseline for the dissection exercises in the temporal bone lab. The technology of virtual reality simulators can be expected to improve. It should be noted that a virtual surgical simulator cannot replace the traditional temporal bone laboratory, but the virtual temporal bone laboratory supplements the traditional training path of the prospective ear surgeon.

## Acknowledgments

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CASE SERIES

# Extended-pedicle peroneal artery perforator flap in intraoral reconstruction

## *Lembo perforante peroniero con estensione del peduncolo vascolare nelle ricostruzioni endorali*

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### SUMMARY

The peroneal artery perforator (PNAP) flap is a good choice for reconstruction in intraoral soft-tissue rehabilitation. In this article, the authors propose the use of a modified PNAP flap with pedicle extension.

KEY WORDS: Peroneal artery perforator flap • Free flap • Microsurgery • Squamous cell carcinoma

### RIASSUNTO

*Il lembo perforante peroniero (PNAP, peroneal artery perforator) rappresenta una buona opzione ricostruttiva nel trattamento chirurgico dei difetti dei tessuti molli del cavo orale. In questo articolo gli autori presentano un allestimento del lembo PNAP modificato con estensione del peduncolo.*

PAROLE CHIAVE: Lembo perforante peroniero • Lembo libero • Microchirurgia • Carcinoma squamo cellulare

Acta Otorhinolaryngol Ital 2013;33:282-285

## Introduction

Intraoral soft-tissue reconstruction may be performed using various tissues of different qualities that should be correlated with oral anatomy and function. The anatomical and functional characteristics of the floor of the mouth, tongue, and soft palate differ, and the treatment of surgical defects may involve more than one of these structures. Thus, a single type of intraoral reconstructive flap is not appropriate for all situations.

For many years, the forearm free flap<sup>1</sup> was considered the best option for intraoral soft tissue reconstruction due to its pliability and long, large pedicle. The anterolateral thigh (ALT) perforator flap<sup>2,3</sup> is currently preferred, because it has characteristics similar to the forearm free flap but is associated with lower donor-site morbidity<sup>4</sup>.

Herein, we propose the use of a modified peroneal artery perforator (PNAP)<sup>5,6</sup> flap with pedicle extension in intraoral soft-tissue rehabilitation. This flap has the same characteristics as a forearm flap and may be useful in cases where an anterolateral flap is too thick for functional soft-tissue reconstruction.

## Case series

### *Description of clinical cases*

Two patients affected by squamous cell carcinoma (SCC) of the anterior floor of the mouth (cT2N0M0) underwent surgical tumour resection through anterior pelvicotomy associated with a bilateral supraomohyoid neck dissection (SOHND). The surgical defect in one patient was reconstructed with a PNAP flap. Microvascular ischaemia occurred 10 h after surgical flap transfer due to an excessively long portion of residual peroneal artery on the side opposite the microvascular anastomosis that caused flow turbulence and thrombosis. We resolved this problem and saved the flap by correcting the geometry of the microvascular anastomosis. Despite some difficulty with flap placement due to the short, small-calibre (< 1 mm) pedicle, we were able to maximize residual function with minimal tongue scarring or immobilization. We analyzed the efficacy of this reconstruction in terms of tongue-function recovery and speaking using the voice-related quality of life test (V-RQOL). Our second patient presented with SCC in the same oncological phase. To obtain a longer pedicle that provided a better fit for the calibre of the recipient vessels in the lateral neck, we extended the pedicle to the interosseous

vessel. The flap was harvested and transferred successfully, and the donor site was closed directly. Excellent tongue mobility allowed the patient to recover speech and deglutition, and achieve a high V-RQOL score.

Patients must be safely and comprehensively evaluated for disease or significant anatomic variants before surgery. A magnetic resonance angiogram (MRA) was performed before surgery to establish the flap harvesting feasibility and 3 months after surgery to evaluate flow changes in the left peroneal artery and assess donor-site morbidity. The postoperative MRA showed normal leg vascularization with retrograde flow in the interosseous artery and normal vascularization in the collateral perforating vessels. A standard radiographic examination of the leg was performed 3 months after surgery. The radiograph showed that the fibula retained a normal and stable position due to adequate interosseous membrane preservation. Due to the optimal characteristics of this flap type, we were able to maximize tongue mobility in these two patients. They both showed a good recovery of speech ability and achieved VRQOL scores of 20 and 21, respectively (possible score range: 10-50).

Our extension of the traditional PNAP pedicle facilitated the microsurgical procedure and flap placement. Donor-site scarring was acceptable and the MRA (Fig. 1) showed a reverse flow into the peroneal artery that provided normal vascularization to the muscular structures and skin in the lower three-quarters of the leg. The fibula remained in position due to the preservation of the interosseous membrane and muscular anchorage.

#### *Surgical technique and microvascular flap*

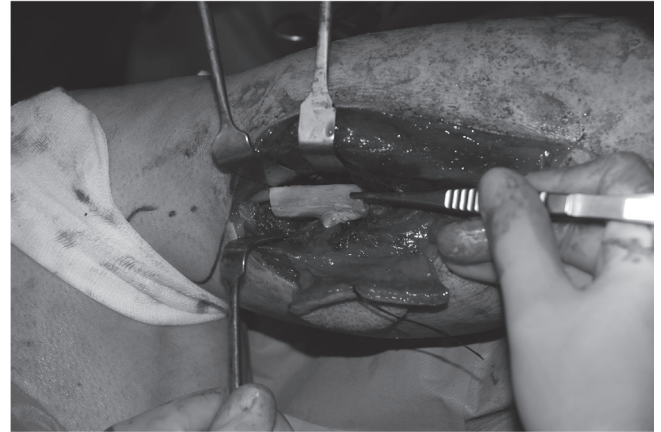
A tourniquet was first applied to the thigh. The size of the skin defect was measured and the flap was designed to overlay the course of the peroneal artery, which was mapped onto the skin surface using the palpable posterior fibular border.

Flap dissection began anterior to the posterior intermuscular septum and 5 cm distal of the fibular head, to avoid injury to the common peroneal nerve. An incision was made along the anterior border of the flap to the depth of the crural fascia. Dissection was performed carefully over the soleus muscle (proximal) and intermuscular septum (distal) in the proximity of the preoperatively located perforators. Magnification (4×) was used to facilitate the dissection of the pedicle to the intramuscular (soleus) passage of the perforating vessels beneath the fibula along the peroneal axis. A 6-cm fibular bone stock was then harvested.

A reciprocating saw was used to make a 2-cm cut in the distal position to access the perforator vessels beneath the fibula and a 4-cm cut in the proximal position to access the perforator point (Fig. 2). After subperiosteal skeletonization, the osteotomy exposed an interosseous pedicle that could be readily dissected. Although we harvested the pedicle in a cranial direction, it would also be possible

to take the vessel in a caudal direction. At this point in the procedure, we determined the required pedicle length (Figs. 3, 4).

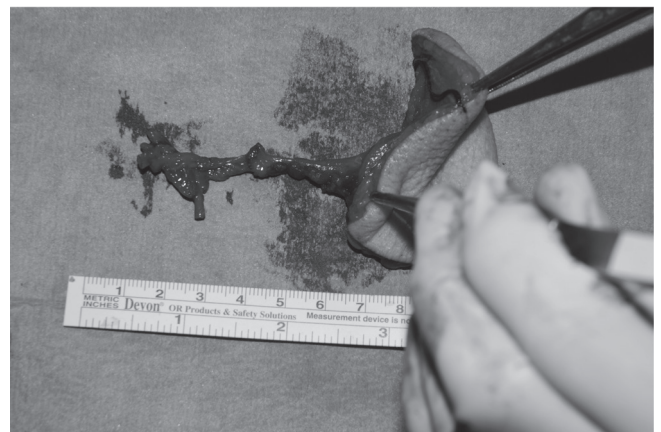
Our experience emphasizes the importance of paying attention to the geometry<sup>7</sup> of the pedicle (Fig. 5) because the perforator vessels arise perpendicularly from the main



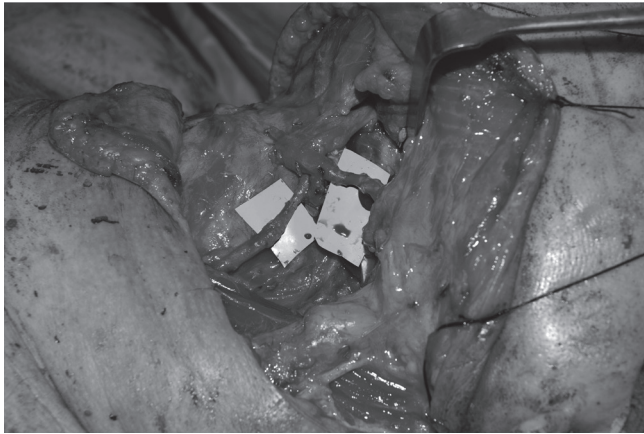
**Fig. 1.** AngioRM shows reverse peroneal artery flow a) arterial phase b) venous phase.



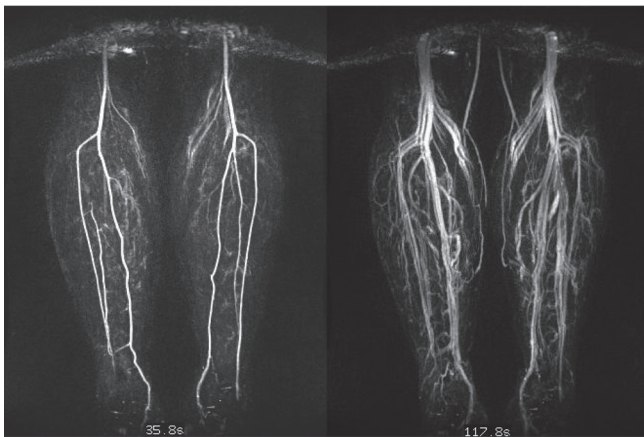
**Fig. 2.** Fibular osteotomy.



**Fig. 3.** Extended PNAP pedicle.



**Fig. 4.** Extended PNPAP flap harvested: notice flap thickness and the long pedicle.



**Fig. 5.** Microanastomosis: notice the calibre match between donor and recipient vessels.

trunk. For this reason, it is important to close the side opposite the microvascular anastomosis very close to the origin of the perforator vessels, to avoid turbulence in the main arterial trunk that could cause thrombosis.

## Discussion

The progressive increase in the use of free flaps has furthered the development of this reconstructive technique in the last 25 years. Further innovation has occurred recently, following the increased popularity of perforator flaps. The term “perforator flap” was first used by Koshima<sup>8</sup> and defined specifically by Wei<sup>9</sup>, although the harvesting of such flaps had been described in the literature about a decade earlier. Hallock<sup>10</sup> recently proposed a more detailed terminology that refers to indirect perforator flaps, indicating blood vessels that require intramuscular dissection, and direct perforator flaps, indicating vessels that pass through the intramuscular septa or a more direct path to the skin. All perforator flaps are characterized by the thinness of the cutaneous flap segment in comparison with

myocutaneous flaps, a short pedicle length and relatively small vessel calibre, and minimal donor-site morbidity<sup>11</sup>. For many years, the forearm free flap was considered to be the best option for intraoral soft-tissue reconstruction, due to its pliability and the long, large-calibre pedicle<sup>12</sup>. However, such flaps are associated with high donor-site morbidity<sup>13-15</sup>. Currently, the ALT perforator flap is most commonly used because it has similar characteristics but is associated with lower donor-site morbidity<sup>16 17</sup>. However, ALT flaps require thinning, which increases the risk of damage to the perforator vessels. In intraoral reconstruction, the risks of marginal flap necrosis and neck fistulization are high<sup>18</sup>.

Pedicle calibre is also an important consideration. In a conventional axial free-tissue transfer in the head and neck area, the calibre of the flap pedicle<sup>19</sup> frequently fits well with those of recipient vessels, including the thyroid, facial, and lingual arteries. Perforator free flaps provide a less favourable match between the calibre of the pedicle ( $\leq 1$  mm) and those of the recipient vessels. In our proposed technique, we sought to improve this match and provide a pedicle of adequate length for use with microvascular anastomosis in intraoral reconstruction.

For these reasons, we propose a thin and pliable perforator flap with an elongated pedicle, which improves the fit of the pedicle calibre and increases functional reliability in intraoral soft-tissue reconstructions.

PNAP flaps have the best characteristics for intraoral reconstruction and are associated with reduced donor-site morbidity, but are not widely used in maxillofacial surgery because the short and small-calibre pedicle has been assumed to increase complication rates. We have attempted to overcome this limitation by extending the pedicle to the peroneal artery origin. We have demonstrated that this technique is unproblematic and has no negative effect on the blood supply of the fibular donor site. The compromise of the fibula free flap for future osseous reconstructions is a disadvantage when preoperative magnetic resonance angiogram contraindicates contralateral harvesting. We have thus been able to combine the best flap features with low donor-site morbidity in a user-adjustable, long, large-calibre vessel flap. This technique offers a useful alternative in microvascular free-tissue transfer when a primary ultrathin pliable flap is required to cover intraoral mucosal defects.

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CASE REPORT

# Mucoepidermoid carcinoma of the tonsil: a very rare presentation

## *Carcinoma mucoepidermoide della tonsilla: una presentazione molto rara*

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### SUMMARY

Mucoepidermoid carcinoma is the most common malignant salivary gland tumour. However, short series or individual case reports have identified this tumour in the maxilla, mandible, breast tissue and thymus. Mucoepidermoid carcinoma originates from minor salivary glands, and it is therefore surprising that it is not more commonly seen in the tonsil. To date, we believe there has been only one previously reported case in the world literature of mucoepidermoid carcinoma occurring in the tonsil<sup>1</sup>. We present a very rare case of mucoepidermoid carcinoma arising from within the structure of the palatine tonsil, rather from the adjacent pharyngeal wall, together with a short review of the literature.

KEY WORDS: Palatine tonsil • Mucoepidermoid carcinoma

### RIASSUNTO

*Il carcinoma mucoepidermoide rappresenta la neoplasia maligna più comune delle ghiandole salivari. Tuttavia numerosi studi fanno riferimento a casi isolati in cui questo tipo di tumore origina dal mascellare, dalla mandibola, dalla ghiandola mammaria e dal timo. Poiché il carcinoma mucoepidermoide origina solitamente nelle ghiandole salivari, l'origine dalla tonsilla palatina è considerata alquanto insolita. Fino ad oggi riteniamo sia stato precedentemente riportato in letteratura solo un caso di carcinoma mucoepidermoide nella tonsilla<sup>1</sup>. Presentiamo un caso molto raro di carcinoma muco epidermoide che originante dalla tonsilla palatina piuttosto che dalla adiacente parete faringea, insieme a una revisione dei casi riportati in letteratura.*

PAROLE CHIAVE: Tonsilla palatina • Carcinoma mucoepidermoide

Acta Otorhinolaryngol Ital 2013;33:286-288

## Introduction

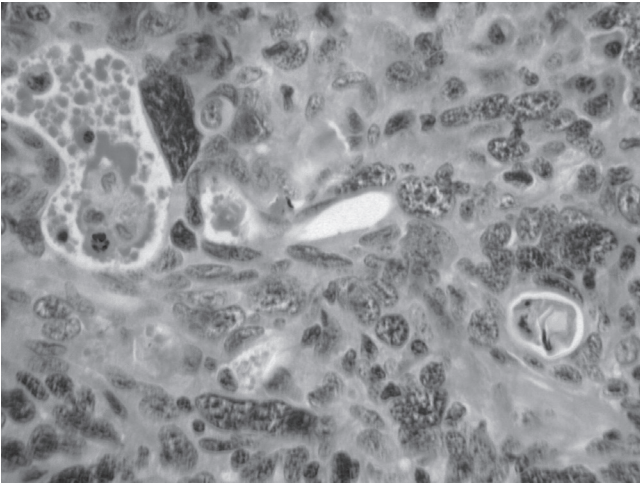
Mucoepidermoid carcinoma is the most common malignant salivary gland tumour<sup>2-4</sup>. It has been reported in all ages with peak incidence at the 4<sup>th</sup> and 5<sup>th</sup> decades, with females affected more than males in a 3:1 ratio. It is the most frequent malignant salivary gland neoplasm in children<sup>5</sup>. In the major salivary glands, 89.6% of cases present in the parotid<sup>6</sup>. Mucoepidermoid carcinoma demonstrates a broad spectrum of aggressiveness, which can be predicted by microscopic grading.

High-grade tumours are highly aggressive and regional lymph node spread is common. The low-grade variant usually demonstrates a favourable outcome, but it is important to note that metastasis may also be present<sup>7</sup>. Distant metastasis is rare, but case reports of metastases to the lungs, brain, ovary and peritoneum have been reported<sup>8</sup>. Histologically, the tumour is composed of mucous, basaloid, intermediate and epidermoid cells. We present an unusual case of mucoepidermoid carcinoma arising in the tonsil.

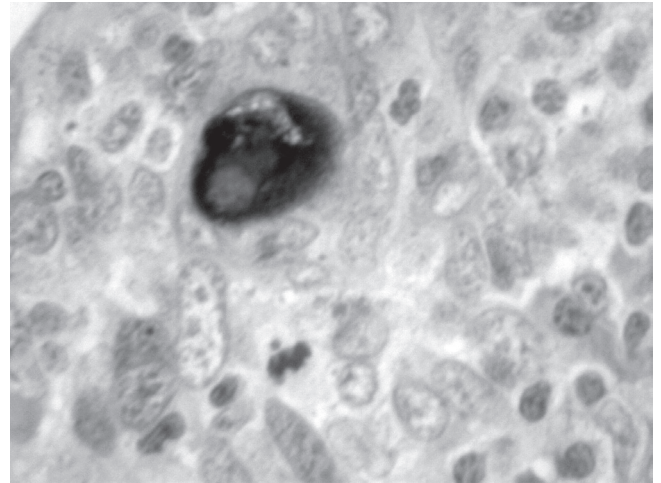
## Case report

A 48-year-old male presented with an asymptomatic lump in the neck at level II. The lump was progressively increasing in size over 4 weeks. Intra-oral examination and flexible nasoendoscopy was normal. A fine-needle aspiration cytology specimen showed malignant cells with no obvious architecture to determine the tissue of origin. Ultrasound detected the presence of a suspected necrotic node. A CT scan of the neck and chest confirmed a right-sided pathologic node at level II. No other abnormalities were evident. Examination under anaesthesia (EUA) was performed, which was suggestive of a bulky mass within the ipsilateral tonsil, and tonsillectomy was performed. Biopsy confirmed a high-grade mucoepidermoid carcinoma arising from entirely within the substance of the ipsilateral tonsil, and not from the adjacent pharyngeal wall. Management involved complete tonsillectomy with ipsilateral neck dissection level II-IV. Histology of the resected specimen confirmed metastatic





**Fig. 1.** High-power haematoxylin and eosin (H&E) staining demonstrating nuclear pleomorphism.



**Fig. 2.** High-power combined Alcian blue/periodic acid Schiff stain demonstrating mucin (purple).

carcinoma from the tonsil. He underwent postoperative radiotherapy.

## Discussion

Mucoepidermoid carcinoma is the most common malignant salivary gland neoplasm<sup>2</sup>. Its occurrence in the maxilla, mandible, breast and thymus have been reported<sup>9,10</sup>. To date, only one other case of primary mucoepidermoid carcinoma of the tonsil has been documented<sup>1</sup>. These tumours arise from reserve cells in the salivary duct system and therefore differentiate into mucin-producing cells, or duct-like epidermoid cells. Interestingly, both cell types are altered neoplastic cells. Mucoepidermoid carcinoma is a malignancy in which histological grading and clinical behaviour correlate well and have a predictive outcome<sup>2</sup>. Treatment is therefore based on histological grading of the tumour according primarily to the relative mix of cell types. Other factors that contribute are growth pattern and cellular atypia. The incidence of occult regional metastasis varies from 6 to 46%. Metastasis has been found even in cases with very small tumours.

Management of the tumour depends on its primary site. Low-grade tumours arising in the parotid with no metastasis are treated with superficial parotidectomy, while high-grade tumours are treated by total parotidectomy with or without neck dissection depending on the neck staging. Tumours arising at other sites such as the palate are treated with a minimum of 1 cm excision margin or hemimaxillectomy for high-grade palatal tumours. In the presence of nodal metastasis, radiotherapy in addition to surgery is recommended for both the primary site and the neck. The 5-year survival rate has been reported to be as high as 95% in low-grade tumours, and 50% in intermediate/high-grade tumours. Survival falls dramatically with an age over 55 years<sup>11</sup>. Tamiolakis et al. concluded that in cases of unknown primaries, diagnostic procedures should be aimed at clarify-

ing the histology of the nodal metastases and detecting the primary tumour site<sup>12</sup>. In the management of occult primary tumours, bilateral tonsillectomy has been suggested as the minimum investigative procedure at the time of EUA<sup>13</sup>. Our case highlights the need for tonsillar biopsy even in those patients with normal EUA findings.

## Conclusions

Although mucoepidermoid carcinoma is the most common malignant salivary gland tumour, it is a very rare occurrence within the substance of the palatine tonsil. In cases of an unknown primary, diagnostic procedures should be aimed at clarifying the histology of the nodal metastases and detecting the primary tumour site. Our case highlights the need to biopsy the tonsil in all cases of unknown primary, even in the presence of normal findings on EUA.

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**NOTIZIARIO SIO**

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**Responsabile gestione della qualità**

Della Vecchia L.	(Scad. Maggio 2018)
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**Organo di consulenza S.I.O.**

Casolino D., Conticello S., Coppo L., De Benedetto M., De Campora E., De Vincentiis I., Felisati D., Fiorella R., Laudadio P., Marcucci L., Miani P., Motta G., Ottaviani A., Passali D., Piemonte M., Puxeddu P., Rinaldi Ceroni A., Sartoris A., Sperati G., Zaoli G., Paludetti G., De Campora L., Cassandro E., Vicini C.

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	Barbara M.	(Scad. Maggio 2015 )
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	Motta G.	(Scad. Maggio 2017 )
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Tagliabue A.	(Scad. Maggio 2017)

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EUFOS	De Vincentiis M.	(Scad. Maggio 2015)
IFOS	Barbara M.	(Scad. Maggio 2015)
EHNS	Motta G.	(Scad. Maggio 2017)

## Congresso Nazionale SIO Catania 2014

### Temi di Comunicazione

- Novità in oncologia testa e collo (Rugiu M.G.)
- Attualità in otologia (Cuda D.)
- Innovazioni in tema di chirurgia naso-sinusale (Fusetti M.)
- Tumori laringei, disturbi della deglutizione e patologia cervico-facciale (Fiorella R.)

### Tavola rotonda

Gallo A. – Scialoendoscopia

### Relazione Ufficiale

Radici M. – La ricostruzione anatomica, funzionale ed estetica in otorinolaringoiatria

### Relazione Ufficiale 2015

Serra A. - Tumori del rinofaringe: attualità diagnostiche e terapeutiche

# Calendar of events – Italian and International Congresses and Courses

Acta Otorhinolaryngol Ital 2013;33:292-298

Information, following the style of the present list, should be submitted to the Editorial Secretariat of Acta Otorhinolaryngologica Italica (actaitalicaorl@rm.unicatt.it).

**In accordance with the Regulations of S.I.O. and Ch.C.-F. (Art. 8) Members of the Society organising Courses, Congresses or other scientific events should inform the Secretary of the Association (A.U.O.R.L., A.O.O.I.) within the deadlines set down in the respective Statutes and Regulations.**

## AUGUST 2013

### **29<sup>th</sup> WORLD CONGRESS OF THE INTERNATIONAL ASSOCIATION OF LOGOPEDICS AND PHONIATRICS (IALP) • August 25-29, 2013 • Turin – Italy**

Presidente: Oskar Schindler. Centro Congressi Lingotto, Torino, Italy – Website: [www.ialp.info](http://www.ialp.info) – Organizing Secretariat: Best Congress Company srl – E-mail: [segreteria@bccgroup.it](mailto:segreteria@bccgroup.it) – Website: [www.ialpturin2013.it](http://www.ialpturin2013.it)

### **25<sup>th</sup> INTERNATIONAL COURSE ON ADVANCED ENDOSCOPIC SURGERY OF THE PARANASAL SINUSES & SKULL BASE • August 28-31, 2013 • Ghent – Belgium**

Extended International Faculty: Bachert C. (Ghent), Bernal Sprekelse N.M. (Barcelona), Gevaert P. (Ghent), Hosemann W. (Greifswald), Marple B. (Dallas), Schaelef S. (New York), Van Zele T (Ghent), Zinreich J. (Baltimore). Special Guest: Stamm A (Sao Paulo). Website: [www.fess-course.be](http://www.fess-course.be)

## SEPTEMBER 2013

### **FESS-COURSE 2013 • September 4-6, 2013 • Marburg – Germany**

Website: [www.fess-course.de](http://www.fess-course.de)

### **X CORSO DI CHIRURGIA E DISSEZIONE DELLA PIRAMIDE NASALE E DEI SENI PARANASALI September 12-13, 2013 • Barcellona – Spain**

Direttori del Corso: E. Perellò Scherdell, J.M<sup>^</sup> Domenech Mateu. Segreteria Organizzativa: Enrique Perellò Scherdell, Tel. +34 619 237009 – E-mail: [8929esp@comb.es](mailto:8929esp@comb.es) – Stelio A. Mocella, Tel. +39 045 8342592 – E-mail: [studiomocella@gmail.com](mailto:studiomocella@gmail.com), [mocellastelio@gmail.com](mailto:mocellastelio@gmail.com)

### **XXII CONGRESSO NAZIONALE SIOP • September 12-14, 2013 • Alba – Italy**

Segreteria Scientifica: [erikacro73@yahoo.com](mailto:erikacro73@yahoo.com)

### **1° CONGRESSO NAZIONALE DELLA SOCIETÀ ITALIANA DI RINOLOGIA September 19-21, 2013 • Foggia – Italy**

Presidente: Pasquale Cassano. Website: [www.rinologia2013.org](http://www.rinologia2013.org)

### **CORSO TEORICO-PRATICO DI AUDIOLOGIA E VESTIBOLOGIA. TERZA EDIZIONE September 23-25, 2013 • Benevento – Italy**

Direttore del Corso: Luigi Califano. Segreteria Scientifica: Luigi Califano – Tel. +39 0824 57407 – E-mail: [vertigobn@hotmail.com](mailto:vertigobn@hotmail.com). Segreteria Organizzativa: Beneventum srl. Tel. +39 0824 864562 – Fax +39 0824 1810817 – E-mail: [beneventum.srl@beneventum.it](mailto:beneventum.srl@beneventum.it)

**LA CHIRURGIA ENDOSCOPICA RINOSINUSALE DALLA TEORIA ALLA PRATICA – Quarta edizione**  
**September 23-25, 2013 • Pavia – Italy**

Segreteria Organizzativa: Nadirex International srl, via Riviera 39, 27100 Pavia. Tel. +39 0382 525714 – Fax +39 0382 525736 – E-mail: [anna.piccoli@nadirex.com](mailto:anna.piccoli@nadirex.com) – Website: [www.nadirex.com](http://www.nadirex.com)

**CHIRURGIA RICOSTRUTTIVA - CORSI PRATICI MONOTEMATICI • September 25-27, 2013 • Rome – Italy**

Divisione di Otorinolaringoiatria e Chirurgia Cervico-Facciale dell'Istituto Nazionale Tumori "Regina Elena", via Elio Chianesi 53, 00144 Roma. Direttore del Corso: Giuseppe Spriano. Segreteria Organizzativa: Andreina Zaccheddu – Tel. +39 06 52666770

**12° CONGRESSO NAZIONALE A.I.O.L.P. - LA TECNOLOGIA AL SERVIZIO DELL'AMBULATORIO ORL**  
**September 27-28, 2013 • Milan – Italy**

Segreteria Scientifica: Marco Capelli – Tel. 333.3753103. Segreteria Organizzativa: Erika Monese – Tel. 339.2168235

**AAO-HNSF 2013 ANNUAL MEETING & OTO EXPO • September 29 - October 2, 2013 • Vancouver – Canada**

Vancouver Convention Centre, 1055 Canada Place, Vancouver, BC, V6C 0C3 Canada. Website: [www.entannualmeeting.org](http://www.entannualmeeting.org)

## OCTOBER 2013

**VII INTERNATIONAL SYMPOSIUM ON RECENT ADVANCES IN RHINOSINUSITIS AND NASAL POLYPOSIS**  
**October 4-6, 2013 • Matsue city, Shimane – Japan**

Website: [www.npcg7.umin.jp](http://www.npcg7.umin.jp) – E-mail: [npcg7@med.shimane-u.ac.jp](mailto:npcg7@med.shimane-u.ac.jp)

**FINESSE IN FACIAL PLASTIC SURGERY • October 10-14, 2013 • Regensburg – Germany**

Website: [www.facial-plastic-surgery.eu](http://www.facial-plastic-surgery.eu)

**27° CORSO "RINOPLASTICA – FONDAMENTI E TECNICHE ESSENZIALI DI RINOPLASTICA CHIUSA"**  
**October 15-19, 2013 • Florence – Italy**

Direttore del Corso: Alberto Scattolin, Centro Studi Micheli Pellegrini. Segreteria Organizzativa: Nord Est Congressi. E-mail: [mail@nordestcongressi.it](mailto:mail@nordestcongressi.it) – Website: [www.nordestcongressi.it](http://www.nordestcongressi.it)

**EUROPEAN UNION OF HEARING AID ACOUSTICIANS (EUHA) 58<sup>th</sup> INTERNATIONAL CONGRESS OF HEARING AID ACOUSTICIANS (EUHA) • October 16-18, 2013 • Nuremberg – Germany**

Website: [www.euha.org](http://www.euha.org)

**XXXIV CONGRESSO NAZIONALE SIAF – DISABILITÀ UDITIVA FIGURE PROFESSIONALI E SERVIZI SANITARI • October 16-19, 2013 • Venice – Italy**

Website: [www.congresso-siaf2013.it](http://www.congresso-siaf2013.it)

**XXXVII CONVEGNO NAZIONALE DI AGGIORNAMENTO AOOI**  
**October 18-19, 2013 • Feroletto Antico (CZ) – Italy**

Presidente: Raffaele Grasso. Segreteria Organizzativa: L'Orsa Maggiore s.r.l., Il Trav. A. De Gasperi 4, 89900 Vibo Valentia. Tel. +39 0963 43538 – Website: [www.aooi2013.it](http://www.aooi2013.it)

**THE 2<sup>nd</sup> MEDITERRANEAN FESS COURSE - FROM BASICS TO ADVANCED ENDOSCOPIC SINUS SURGERY • October 18-19, 2013 • Malta**

Coordinators: Mario Said, Alberto Dragonetti. Contact information: E-mail: [info@maltime.com](mailto:info@maltime.com) – Website: [www.maltime.com](http://www.maltime.com)

**SECONDO CORSO "LIVE-SURGERY" DI CHIRURGIA ENDOSCOPICA TRANS-NASALE. DALL'ANTROSTOMIA MEDIA ALL'ODONTOIDECTOMIA • October 21-23, 2013 • Brescia – Italy**

Direttore del Corso: Piero Nicolai. Segreteria Scientifica: Andrea Bolzoni Villaret, Davide Lombardi. Segreteria Organizzativa: Katia Gissi - E-mail: [k.gissi@servizicec.it](mailto:k.gissi@servizicec.it) – Website: [www.servizicec.it](http://www.servizicec.it)

**8<sup>th</sup> SURGICAL ANATOMY IN HEAD & NECK CANCERS PROCEDURES****October 23-25, 2013 • Paris – France**

Directors: Marco Benazzo, Department of Otorhinolaryngology, University of Pavia; Fausto Giuseppe Chiesa, Department of Head and Neck Surgery, IEO Milan; Piero Nicolai, Department of Otorhinolaryngology, University of Brescia; Antonio Pastore, Department of Otorhinolaryngology, University of Ferrara – Scientific Secretariat: N. Mevio, F. Mura, D. Scelsi, M. Tagliabue – E-mail: m.benazzo@smatteo.pv.it. Organizing Secretariat: Bquadro Congressi srl, via S. Giovanni in Borgo 4, 27100 Pavia. Tel. +39 0382 302859 – Fax +39 0382 27697 – E-mail: bolla@bquadro-

**2<sup>nd</sup> BULGARIAN-ITALIAN MEETING ON RHINOLOGY & 6<sup>th</sup> ENDOSCOPIC SINUS SURGERY COURSE****October 24-26, 2013 • Trieste - Italy**

Directors: Alessandro Varini, ENT Dept, Casa di Cura Salus Trieste, Italy – Dilyana Vicheva, ENT Clinic, Plovdiv, Bulgaria. Scientific Secretariat: A. Varini – Tel. +39 040 3171111 – E-mail: a.varini@salustrieste.it. Organizing Secretariat: The Office Trieste – Tel. +39 040 368343 – Fax +39 040 368808 – E-mail: rhinology2013@theoffice.it – Website: www.theoffice.it

**STATE OF THE ART ENDOSCOPIC SKULL BASE SURGERY A HANDS ON COURSE****October 31- November 3 2013 • Columbus, Ohio – USA**

Course Directors: Ricardo L. Carrau – E-mail: Ricardo.Carrau@osumc.edu; Bradley A. Otto; Daniel M. Prevedello

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**32<sup>nd</sup> ISIAN, 15<sup>th</sup> IRS, 4<sup>th</sup> PARS, 19<sup>th</sup> ORL EGYPT • November 6-9, 2013 • Sharm El Sheikh – Egypt**

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**CONVEGNO AOIG: I SENSI E L'INVECCHIAMENTO • November 8, 2013 • Milan – Italy**

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**1<sup>st</sup> GLOBAL OTOLOGY RESEARCH FORUM • November 13, 2013 • Antalya – Turkey**

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**29<sup>th</sup> WORLD CONGRESS POLITZER SOCIETY MEETING • November 13-17, 2013 • Belek-Antalya – Turkey**

Info: Contact Information: to02-k@tr.net – Website: www.politzer2013.org

**II CORSO “SCUOLA DI DISSEZIONE ANATOMICA CERVICO-FACCIALE”****November 18-23, 2013 • Florence – Italy****I sessione**

- Ringiovanimento non chirurgico del viso – D. Draganic, R. Polselli, A. Rusciani, Y. Saban
- Chirurgia endoscopica seni paranasali (base) – P. Bossolesi, E. Emanuelli, F.G. Pagella
- Rinoplastica – L. D'Ascanio, G. La Fauci, R. Polselli, A. Scattolin
- Chirurgia del collo – A. Camaioni, M. Radici, G. Spriano, L. D'Ascanio
- Chirurgia endoscopica orecchio medio – D. Marchionni, L. Presutti

Direttore del Corso: Alberto Scattolin. Segreteria Organizzativa: Nord Est Congressi – E-mail: mail@nordestcongressi.it – Website: www.nordestcongressi.it

**SVUOTAMENTO DEL COLLO - CORSI PRATICI MONOTEMATICI • November 20-22, 2013 • Rome – Italy**

Divisione di Otorinolaringoiatria e Chirurgia Cervico-Facciale dell'Istituto Nazionale Tumori “Regina Elena”, via Elio Chianesi 53, 00144 Roma. Direttore del Corso: Giuseppe Spriano. Segreteria Organizzativa: Andreina Zaccheddu – Tel. +39 06 52666770.



## DECEMBER 2013

**PSO-HNS 57TH ANNUAL CONVENTION – CURRENT OTOLOGICAL CONCEPTS AND FUTURE TRENDS**  
*December 1-3, 2013 • Manila – Philippines*

E-mail: [www.pso-hns.org](http://www.pso-hns.org)

**RHINOFORUM 2013 • December 6-7, 2013 • Warsaw – Poland**

President: Antoni Krzeski. Website: [www.RhinoForum.pl](http://www.RhinoForum.pl)

**TEMPORAL BONE DISSECTION COURSES 2013 • December 10-13, 2013 • Brazil**

Website: [www.forl.org.br/courses](http://www.forl.org.br/courses)

## JANUARY-DECEMBER 2013

**THE INTERNATIONAL SOCIETY OF SURGICAL ANATOMY • CORSI 2013 • Nizza – France**

**February 27 - March 1:** Chirurgia dei seni paranasali FESS. Direttori: E. Emanuelli, F. Pagella

**June 26-28:** Anterior skull base. Direttori: S. Chibbaro, P. Pasquis

**June 28-29:** Estetica del volto. Direttore: M. Sabbalini

**December 4-6:** Chirurgia dei seni paranasali FESS. E. Emanuelli, F. Pagella

**December 6-7:** Rinosettoplastica. Direttori: R. Polselli, Y. Saban

**1° CORSO INTERNAZIONALE (2013): ENDOSCOPIA NASO-SINUSALE DI BASE**

**February 28 - March 1: Rome – Italy**

**May 2-3: Siena – Italy**

**September 21-22: Foggia – Italy**

**November 14-15: Udine – Italy**

Coordinatori: Gaetano Paludetti, Desiderio Passali, Marco Piemonte. Anatomia radiologica e chirurgica naso-sinusale. Clinica della patologia naso-sinusale. Terapia medica e chirurgica della patologia naso-sinusale. Ricerca scientifica in ambito naso-sinusale. Dissezione su testa di agnello. Con il patrocinio della Società Italiana di Rinologia Comitato Educational. Segreteria Organizzativa: E-mail: [info.educational.sir@gmail.com](mailto:info.educational.sir@gmail.com)

**CORSI DI VIDEOCHIRURGIA ENDOSCOPICA NASO-SINUSALE E DEL BASICRANIO • Milano – Italy**

**March 4-8: Corso base**

**June 10-15: Corso avanzato**

**November 25-29: Corso intermedio**

Direttore: Alberto Dragonetti – E-mail: [a.dragonetti@fastwebnet.it](mailto:a.dragonetti@fastwebnet.it). Segreteria Scientifica: Gabriella Mantini, Valentina Casoli – Tel. +39 02 64444545 – Fax +39 02 64444003 – E-mail: [gabriella.mantini@ospedaleniguarda.it](mailto:gabriella.mantini@ospedaleniguarda.it)  
 Segreteria Organizzativa: Eurocompany Srl, via Canova 19, 20145 Milano. Tel. +39 02 315532 – Fax +39 02 33609213 – E-mail: [corseconvegni@eurocompany.mi.it](mailto:corseconvegni@eurocompany.mi.it)

**THE MODERN SINONASAL SURGERY: ANATOMY, DIAGNOSTICS AND OPERATIVE TECHNIQUES**

**Varese – Italy**

**Basic Course • April 8-10 and October 14-16**

**Advanced Course • July 3 and November 18-20**

Segreteria Organizzativa: Attingo – Tel. 377 3217150 – E-mail: [corsi@attingo-edu.it](mailto:corsi@attingo-edu.it)

**TEMPORAL BONE SURGICAL DISSECTION COURSE • Barcelona – Spain**

**Course n. 110 • April 10-12**

**Course n. 111 • July 3-5**

**Course n. 112 • November (to be announced)**

Information: Instituto de Otolología García-Ibáñez, Conchi Castilla, C/ Dr. Roux 91, 08017 Barcelona, Spagna. Tel. +34 93 205 02 04 – Fax +34 93 205 43 67 – E-mail: [entsecretaria@hotmail.es](mailto:entsecretaria@hotmail.es), [info@iogi.org](mailto:info@iogi.org)

**CORSI DI RINOLOGIA “FULL IMMERSION” ANNO 2013 • Imola (BO) – Italy**

**Corso Basico • June 17-21**

**Corso Avanzato • October 7-11**

Direttore dei Corsi: Ignazio Tasca – E-mail: [i.tasca@ausl.imola.bo.it](mailto:i.tasca@ausl.imola.bo.it) – Website: [www.associazionerinologia.it](http://www.associazionerinologia.it)  
 Segreteria del Corso: Filippo Sorace – Tel. +39 051 695 5251 – E-mail: [f.sorace@ausl.imola.bo.it](mailto:f.sorace@ausl.imola.bo.it). Giacomo Ceroni Compadretti – Tel. +39 051 695 5251 – E-mail: [g.ceronicompadretti@ausl.imola.bo.it](mailto:g.ceronicompadretti@ausl.imola.bo.it). Cristiana Di Lieto – E-mail: [cristianadilieto@hotmail.it](mailto:cristianadilieto@hotmail.it)

**CURSO DE DISECCIÓN ENDOSCÓPICA DE LOS SENOS PARANASALES – ENDOSCOPIC SINUS SURGICAL DISSECTION COURSE • Course n. 47 - Date to be announced • Barcelona – Spain**

Instituto de Otolología García-Ibáñez, C/ Dr. Roux 91, 08017 Barcelon, Spain. Tel. 93 205 02 04 – Fax 93 205 43 67 - E-mail: fundacion@iogi.org

**CORSI DI DISSEZIONE ANATOMO-CHIRURGICI 2013 • Malta**

**Rhinoseptoplasty, mentoplasty, tip and profile correction • September 23-24**  
**Reconstructive laryngectomy; CHEP, CHP, SOVRAGLOTTIC • September 24-25**  
**FESS dissectional course (English course) • October 18-19**

Anatomy Dep. Head: P. Wiesmeyer, University of Malta. Scientific Secretariat: Attilio Denaro, AMSO-Onlus, S.Me.M.C. Websites: www.amso-onlus.it, www.smemc.tumblr.com, www.facebook/amsosmemc, https://twitter.com/amsosmemc. Organizing Secretariat: Kalòs Convegni, via Milano 201, 97019 Vittoria (RG). Tel. e Fax +39 0932 510221 – E-mail: info@kalosconvegni.it

## JANUARY-DECEMBER 2014

**CORSO DI CHIRURGIA OTOLOGICA, OTONEUROLOGICA E IMPLANTOLOGIA Uditiva – Dissezione dell'osso temporale e del basicranio • January 7-9, 2014 • Paris – France**

Direttore del Corso: Olivier Sterkers. Info: Daniele Bernardeschi, Reparto di Otorinolaringoiatria e Chirurgia Cervico-facciale, Ospedale Pitié-Salpêtrière e Università Paris Diderot - Paris VII, France. E-mail: daniele.bernardeschi@psl.aphp.fr

**II CORSO “SCUOLA DI DISSEZIONE ANATOMICA CERVICO-FACCIALE”**

**January 20-24, 2014 • Florence – Italy**

**Il sessione**

- Blefaroplastica e otoplastica – P. Persichetti, R. Polselli, A. Rusciani, Y. Saban
- Chirurgia endoscopica seni paranasali (avanzato) – P. Bossolesi, E. Emanuelli, F.G. Pagella
- Rinoplastica – L. D'Ascanio, G. La Fauci, R. Polselli, A. Scattolin
- Anatomia chirurgica della laringe – M. Lucioni, G. Rizzotto, G. Succo, L. D'Ascanio

Direttore del Corso: Alberto Scattolin. Segreteria Organizzativa: Nord Est Congressi – E-mail: mail@nordestcongressi.it – Website: www.nordestcongressi.it

**I CORSO – RINGIOVANIMENTO NON CHIRURGICO DEL VISO**

**January 30 - February 1, 2014 • Florence – Italy**

Faculty: D. Draganic, A. Rusciani, R. Polselli, Y. Saban. Segreteria Organizzativa: Nord Est Congressi. E-mail: mail@nordestcongressi.it – Website: www.nordestcongressi.it

**TEMPORAL BONE DISSECTION COURSES 2014**

**February and June 2014 (dates to be announced) • Brazil**

Website: www.forl.org.br/courses

**3° CORSO TEORICO PRATICO DI LARINGOLOGIA PEDIATRICA • February 3-4, 2014 • Modena – Italy**

Segreteria Organizzativa: Meet and Work s.r.l., p.zza del Sole e della Pace 5, 35031 Abano Terme (PD), Italy. Tel. +39 049 8601818 – Fax +39 049 8602389 – E-mail: meet@meetandwork.com

**ATLANTIC OTOLARYNGOLOGY HEAD AND NECK SURGERY UPDATE**

**February 15-17, 2014 • San Juan, Puerto Rico**

Website: www.HopkinsCME.edu

**11<sup>th</sup> RHINOCAMP WINTER • March 12-16, 2014 • Saint Moriz – Switzerland**

Website: www.rhinocampwinter.org

**AMERICAN ACADEMY OF AUDIOLOGY AAA ANNUAL CONVENTION**

**March 26-29, 2014 • Orlando, Fla – USA**

Website: www.audiologynow.org

**ASOHNS ASM 2014 MODERN APPROACHES TO ENT**

**March 29-April 1, 2014 • Brisbane Queensland – Australia**

Website: www.asohns.consec.com.au

**10<sup>th</sup> CONGRESS OF THE EUROPEAN LARYNGOLOGICAL SOCIETY – 2<sup>nd</sup> JOINT MEETING OF ABEA & ALA**  
**April 9-12, 2014 Antalya, Turkey**

Website: [www.els2014.org](http://www.els2014.org)

**18<sup>th</sup> INTERNATIONAL VOICE WORKSHOP 2014 • April 11-12, 2014 • Paris – France**

Information to: Jean Abitbol, 1, Rue Largillière – 705016 Paris. E-mail: [voice.abitbol@gmail.com](mailto:voice.abitbol@gmail.com)

**4<sup>th</sup> MIDDLE EAST CONGRESS ON RHINOLOGY AND FACIAL PLASTIC SURGERY (MERC2014)**  
**April 12-14, 2014 • Tehran – Iran**

Website: [www.merc2014.com](http://www.merc2014.com)

**18<sup>th</sup> WCBIP/WCBE**  
**18<sup>th</sup> WORLD CONGRESS FOR BRONCHOLOGY AND INTERVENTIONAL PULMONOLOGY**  
**18<sup>th</sup> WORLD CONGRESS FOR BRONCHESOPHAGOLOGY**  
**April 13-17, 2014 • Kyoto – Japan**

Website: [www2.convention.co.jp/wcbipwcbe2014/](http://www2.convention.co.jp/wcbipwcbe2014/)

**THE FOURTH MIDDLE EAST CONGRESS ON RHINOLOGY & FACIAL PLASTIC SURGERY**  
**April 23-25, 2014 • Tehran – Iran**

Website: [www.merc2014.com](http://www.merc2014.com)

**3<sup>rd</sup> INTERNATIONAL SYMPOSIUM ON OTOSCLEROSIS AND STAPES SURGERY**  
**April 24-26, 2014 • Siófok – Hungary**

President of the Congress: I. Sziklai – Secretary of the Congress: T. Karosi. Website: [www.otosclerosis2014.com](http://www.otosclerosis2014.com)

**2<sup>nd</sup> ANNOUNCEMENT OTOLOGY JUBILEE: 150 YEARS OF THE ARCHIV FÜR OHRENHEILKUNDE**  
**Past-Present-Future in Otology & Neurology • May 7-10, 2014 • Halle/Saale – Germany**

Website: [www.otology-jubilee.com](http://www.otology-jubilee.com)

**3<sup>rd</sup> IRANIAN CONGRESS ON COCHLEAR IMPLANT & RELATED SCIENCE**  
**May 10-12, 2014 • Tehran – Iran**

IRANCI 2014 Secretariat: Tel. - Fax 098-21-8860-0006 – E-mail: [info@irancochlear.com](mailto:info@irancochlear.com) – Website: [www.iran-cochlear.com](http://www.iran-cochlear.com)

**24<sup>th</sup> CONGRESS OF EUROPEAN RHINOLOGIC SOCIETY (ERS) and 32<sup>nd</sup> INTERNATIONAL SYMPOSIUM OF INFECTION AND ALLERGY OF THE NOSE. THE NOSE AS INTERFACE**  
**June 22-26, 2014 • Amsterdam – The Netherlands**

President: W.J. Fokkens. Website: [www.ers-isian2014.com](http://www.ers-isian2014.com) – E-mail: [ers-isian2014@kenes.com](mailto:ers-isian2014@kenes.com)

**5<sup>th</sup> WORLD CONGRESS OF THE INTERNATIONAL FEDERATION OF HEAD AND NECK ONCOLOGIC SOCIETIES (IFHNOS) – ANNUAL MEETING OF THE AMERICAN HEAD AND NECK SOCIETY (AHNS)**  
**July 26-30, 2014 • New York – USA**

Congress Chairman: Jatin Shah. Program Chairman: Bevan Yueh. Websites: [www.ifhnos2014.org](http://www.ifhnos2014.org), [www.ahns2014.org](http://www.ahns2014.org)

**BEST EVIDENCE ENT 2014 • August 2-5, 2014 • Wisconsin – USA**

Course directors: John S. Rhee, David R. Friedland, Charles J. Harkins. Department of Otolaryngology 9200 West Wisconsin Avenue Milwaukee, WI 53226

**40<sup>o</sup> CONGRESSO CONVENTUS SOCIETAS ORL LATINA • September 1-5, 2014 • Baía de Luanda – Angola**

Info: Departamento de ORL da Faculdade de Medicina da Universidade Agostino Neto Hospital Josina Machel-Maria Pia Av. 1<sup>o</sup> Congresso do MPLA. Tel. 00244-923784901/914381304 – E-mail: [mfilipe@snet.co.ao](mailto:mfilipe@snet.co.ao), [drmatuba@gmail.com](mailto:drmatuba@gmail.com)

**7<sup>th</sup> INSTRUCTIONAL WORKSHOP – CONSENSUS IN AUDITORY IMPLANTS “EUROPEAN GUIDELINES IN OTOLOGY AND NEURO-OTOLOGY” • September 13-16, 2014 • Siena – Italy**

Website: [www.eaono2014.org](http://www.eaono2014.org)

**EUROPEAN UNION OF HEARING AID ACOUSTICIANS (EUHA) 59<sup>th</sup> INTERNATIONAL CONGRESS OF HEARING AID ACOUSTICIANS (EUHA) • October 15-17, 2014 • Hanover – Germany**

Website: [www.euha.org](http://www.euha.org)

**5<sup>th</sup> ASIAN FACIAL PLASTIC SURGERY SOCIETY CONGRESS • October 15-19, 2014 • Cappadocia – Turkey**

Website: [www.afpss2014.org](http://www.afpss2014.org)

## **JANUARY-DECEMBER 2015**

**3<sup>rd</sup> CONGRESS OF CE ORL-HNS • June 7-11, 2015 • Prague – Czech Republic**

Website: Congress secretariat: GUARANT International Na Pankraci 17, 14021 Prague4, Czech Republic.  
Website: [www.CEorl-hnsprague2015.com](http://www.CEorl-hnsprague2015.com)

**22<sup>nd</sup> INTERNATIONAL CONGRESS ON THE EDUCATION OF THE DEAF • July 6-9, 2015 • Athens – Greece**

Website: [www.iced2015.com](http://www.iced2015.com)

**WORLD CONGRESS ON LARYNX CANCER 2015 • July 26-30, 2015 • Queensland – Australia**

Website: [www.wclc2015.org](http://www.wclc2015.org)

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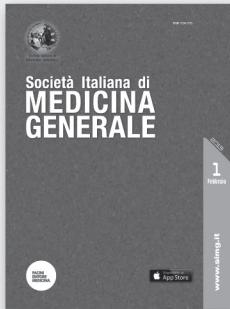
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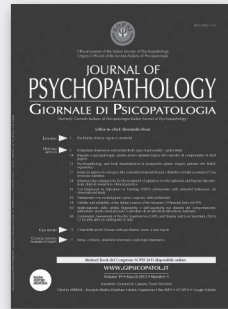
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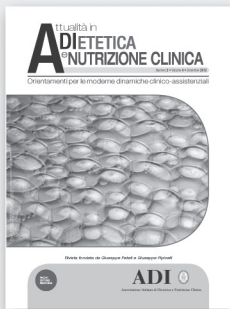


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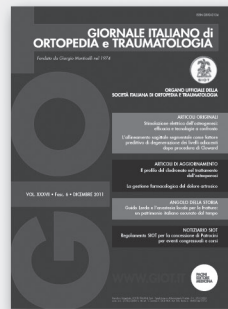
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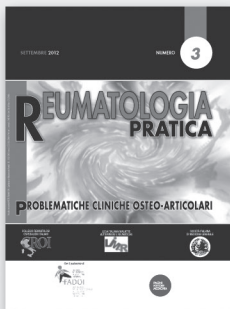
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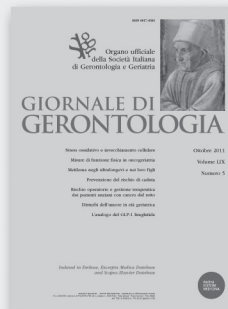
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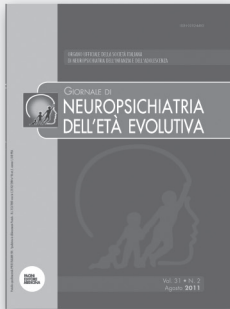
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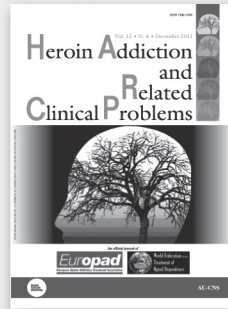
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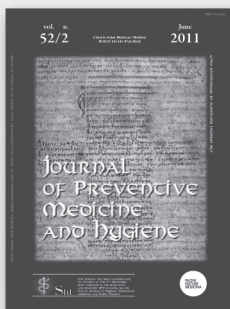
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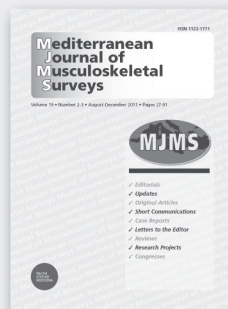
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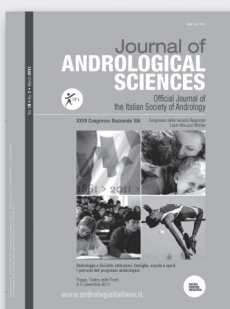
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# 1 UNIDROX 600 mg prulifloxacin

## RIASSUNTO DELLE CARATTERISTICHE DEL PRODOTTO

**1. DENOMINAZIONE DEL MEDICINALE** Unidrox 600 mg compresse rivestite con film. **2. COMPOSIZIONE QUALITATIVA E QUANTITATIVA** Prulifloxacin 600 mg. Eccipienti: ogni compressa rivestita con film contiene lattosio 76 mg. Per l'elenco completo degli eccipienti, vedere paragrafo 6.1. **3. FORMA FARMACEUTICA** compresse rivestite con film. Compresse oblunghe, di color giallo, rivestite con film. **4. INFORMAZIONI CLINICHE** **4.1 Indicazioni terapeutiche** Unidrox è indicato per il trattamento di infezioni sostenute da ceppi sensibili, nelle seguenti patologie: infezioni acute non complicate delle basse vie urinarie (cistite semplice); • infezioni complicate delle basse vie urinarie; • riacutizzazione di bronchite cronica; • rinosinusite batterica acuta. La sinusite batterica acuta deve essere adeguatamente diagnosticata in accordo alle linee guida nazionali o locali sul trattamento delle infezioni respiratorie. Per il trattamento della rinosinusite batterica, Unidrox deve essere usato solo in pazienti nei quali la durata dei sintomi sia inferiore a 4 settimane e quando l'impiego di altri antibatterici comunemente raccomandati per il trattamento iniziale di tale infezione venga considerato inappropriato, o nel caso in cui questi siano risultati inefficaci. Nel trattamento di pazienti con malattie infettive, si deve tener conto delle caratteristiche locali relative alla sensibilità agli antibiotici. **4.2 Posologia e modo di somministrazione** Limitatamente agli adulti, la posologia indicativa è la seguente: • pazienti con infezioni acute non complicate delle basse vie urinarie (cistite semplice): è sufficiente una sola compressa da 600 mg. • pazienti con infezioni complicate delle basse vie urinarie: una compressa da 600 mg una volta al giorno fino ad un massimo di 10 giorni di trattamento. • pazienti con riacutizzazione di bronchite: una compressa da 600 mg una volta al giorno fino ad un massimo di 10 giorni di trattamento. • pazienti con rinosinusite batterica acuta: una compressa da 600 mg una volta al giorno fino ad un massimo di 10 giorni di trattamento. In caso di infezioni complicate delle basse vie urinarie e riacutizzazione di bronchite cronica, la durata del trattamento dipende dalla gravità della malattia e dal decorso clinico del paziente e deve comunque proseguire per almeno 48-72 ore dalla remissione/scarsa dei sintomi. Le compresse di Unidrox devono essere degluite intere con acqua e devono essere assunte tenendo conto dell'assunzione di cibo (vedere Paragrafo 4.5). Per la mancanza di studi specifici non è possibile determinare la posologia in pazienti con insufficienza renale (pazienti con clearance della creatinina < 60 ml/min) ed in pazienti con insufficienza epatica. Pertanto, in questi pazienti il monitoraggio dei livelli plasmatici del farmaco costituisce il metodo più affidabile per l'adattamento del dosaggio. **4.3 Controindicazioni** - Ipersensibilità alla prulifloxacin, ad altri antibatterici chinolonici o ad uno qualsiasi degli eccipienti. - Bambini prima dell'età puberale o ragazzi al di sotto dei 18 anni con incompleto sviluppo scheletrico. - Pazienti con anamnesi di affezioni tendinee correlate alla somministrazione di chinoloni. - Gravidanza e allattamento (vedere paragrafo 4.6). **4.4 Avvertenze speciali e precauzioni di impiego** Come per gli altri chinolonici, Unidrox deve essere usato con cautela in pazienti con disturbi del SNC che possano predisporre alle convulsioni o abbassare la soglia convulsiva. Alcune delle altre sostanze appartenenti alla classe dei fluorochinoloni sono state associate a casi di prolungamento dell'intervallo QT. Prulifloxacin ha un potenziale molto basso per induzione di prolungamento dell'intervallo QT. Come a seguito della somministrazione di altri farmaci della stessa classe terapeutica, la tendinite si manifesta raramente. Più frequentemente interessa il tendine di Achille e può portare fino alla sua rottura. Il rischio di tendinite e di rotture tendinee è aumentato nei pazienti anziani e nei pazienti in trattamento con corticosteroidi. I pazienti devono essere informati, in caso di comparsa di segni di infiammazione tendinea, mialgia, dolore o infiammazione a livello articolare, d'interrumere il trattamento e di mantenere a riposo l'arto o gli arti interessati sino a che la diagnosi di tendinite non sia stata esclusa. Il trattamento con antimicrobici, inclusi i chinoloni, può determinare la comparsa di colite pseudomembranosa. Pertanto, in caso di diarrea successiva alla somministrazione di antimicrobici è importante considerare tale possibilità. L'esposizione al sole o a raggi ultravioletti può causare la comparsa di fototossicità in pazienti in trattamento con prulifloxacin, così come con altri chinoloni. Durante il trattamento con Unidrox l'eccessiva esposizione al sole o a raggi ultravioletti deve essere evitata; in caso di comparsa di fototossicità, il trattamento deve essere interrotto. I pazienti con difetti latenti o accertati per l'attività della glucosio-6-fosfato deidrogenasi, sono predisposti a reazioni emolitiche quando vengono trattati con antibatterici della classe dei chinoloni e per tale ragione Unidrox deve essere usato con cautela. Come riportato per altri chinoloni, possono raramente presentarsi fenomeni di rhabdomiolisi, caratterizzati da mialgia, astenia, incremento dei valori plasmatici di CPK e mioglobina, e rapido deterioramento della funzionalità renale. In questi casi, il paziente deve essere attentamente controllato e devono essere intraprese adeguate misure correttive, compresa l'eventuale interruzione del trattamento. L'uso dei chinoloni è talvolta correlato alla comparsa di cristalluria; i pazienti in trattamento con questa classe di prodotti devono mantenere un adeguato bilancio idrico al fine di evitare la concentrazione delle urine. La tollerabilità e l'efficacia di Unidrox nei pazienti con insufficienza epatica non è stata valutata. Nel prescrivere una terapia antibiotica dovrebbero essere considerate le linee guida locali e/o nazionali sull'uso appropriato degli antibatterici. Il medicinale contiene lattosio; pertanto i pazienti con rari problemi ereditari d'intolleranza al galattosio, con deficit di Lapp lattasi o da malassorbimento di glucosio-galattosio, non devono assumere questo medicinale. Tenere fuori dalla portata e dalla vista dei bambini. **4.5 Interazioni con altri medicinali ed altre forme di interazione** Il trattamento concomitante con cimetidina, antiacidi contenenti Al e Mg o preparazioni contenenti ferro e calcio riduce l'assorbimento di Unidrox; di conseguenza Unidrox dovrebbe essere somministrato 2 ore prima od almeno 4 ore dopo l'assunzione di questi preparati. L'assunzione contemporanea di prulifloxacin e latte determina un decremento dell'area sotto la curva di concentrazione/tempo (AUC) e riduce l'eliminazione urinaria della prulifloxacin, mentre l'ingestione di cibo rallenta e riduce i livelli di picco. L'escrezione urinaria di prulifloxacin diminuisce quando somministrata insieme al probenecid. La somministrazione concomitante di fenbufen con alcuni chinoloni può provocare un aumento del rischio di convulsioni; di conseguenza la somministrazione di Unidrox e fenbufen deve essere attentamente valutata. I chinoloni possono determinare ipoglicemia in pazienti diabetici che assumono farmaci ipoglicemizzanti. La somministrazione concomitante di Unidrox e teofillina può causare una lieve diminuzione della clearance della teofillina che non dovrebbe avere alcuna rilevanza clinica. Tuttavia, come per gli altri chinoloni, è consigliabile il monitoraggio dei livelli plasmatici di teofillina nei pazienti con disturbi metabolici o che presentino fattori di rischio. I chinoloni possono incrementare gli effetti degli anticoagulanti orali come il warfarin ed i suoi derivati; qualora questi prodotti siano somministrati insieme ad Unidrox si raccomanda uno stretto monitoraggio con il test di protrombina o con altri affidabili test della coagulazione. Dati preclinici hanno dimostrato che la nicardipina può potenziare la fototossicità della prulifloxacin. Nessuna interazione clinicamente significativa è stata osservata nel corso dello sviluppo clinico di Unidrox a seguito della somministrazione concomitante con gli altri medicinali comunemente impiegati nel trattamento di pazienti affetti dalle patologie riportate al paragrafo 4.1. **4.6 Gravidanza ed allattamento** Non sono disponibili dati clinici relativi all'impiego di prulifloxacin durante la gravidanza accertata. Studi sugli animali non hanno indicato teratogenicità. Altri effetti tossici sulla riproduzione sono stati rilevati soltanto in caso di tossicità materna (vedere paragrafo 5.3). Tuttavia, nel ratto, si è osservato che la prulifloxacin attraversa la barriera placentare e passa in gran quantità nel latte materno. Come per altri chinoloni, è stato dimostrato che prulifloxacin determina artropatie negli animali giovani, e pertanto il suo uso durante la gravidanza e l'allattamento è controindicato. **4.7 Effetti sulla capacità di guidare veicoli e sull'uso di macchinari** I chinoloni possono causare vertigini e stato di confusione, pertanto, il paziente deve sapere come risponde al trattamento prima di guidare o usare macchinari o iniziare attività che richiedano vigilanza e coordinazione. **4.8 Effetti indesiderati** Gli effetti indesiderati di seguito riportati sono riconducibili agli studi clinici effettuati con Unidrox. La maggior parte degli eventi avversi è stata di intensità lieve o moderata. Sono stati utilizzati i seguenti valori di frequenza: *Molto comuni* (≥ 1/10), *Comuni* (≥ 1/100, < 1/10), *Non comuni* (≥ 1/1.000, < 1/100), *Rari* (≥ 1/10.000, < 1/1.000) e *Molto Rari* (< 1/10.000), non nota (la frequenza non può essere definita sulla base dei dati disponibili). **Disturbi del metabolismo e della nutrizione** - *Non comuni*: anoressia. *Rari*: perdita dell'appetito. **Disturbi psichiatrici** - *Rari*: disturbi del sonno, sonnolenza, confusione. **Patologie del sistema nervoso** - *Non comuni*: cefalea, capogiro. *Rari*: agitazione psicomotoria, perversione del gusto. **Patologie dell'occhio** - *Rari*: iperemia oculare. **Patologie dell'orecchio e del labirinto** - *Rari*: sensazione di orecchio chiuso. **Patologie vascolari** - *Rari*: vampate di calore. **Patologie gastrointestinali** - *Comuni*: epigastralgia. *Non comuni*: dolore addominale, diarrea, nausea, gastrite, vomito. *Rari*: feci anormali, patologie gastrointestinali, eruttazione, ulcera della bocca, stomatite angolare, dispepsia, flatulenza, indigestione, fastidio alla cavità orale, moniliasi orale, glossite, dilatazione gastrica. **Patologie della cute e del tessuto sottocutaneo** - *Non comuni*: prurito, rash cutaneo, eruzione. *Rari*: eczema della faccia, eritema della faccia, orticaria. **Patologie del sistema muscoloscheletrico e del tessuto connettivo** - *Rari*: dolore articolare diffuso, dolore alla caviglia, patologia muscolare, contrazione muscolare. **Patologie sistemiche e condizioni relative alla sede di somministrazione** - *Rari*: febbre. **Esami diagnostici** - *Rari*: albumina aumentata, fosfatasi alcalina aumentata, alanina aminotransferasi aumentata, aspartato aminotransferasi aumentata, calcemia aumentata, monociti ematici aumentati, linfociti aumentati, leucociti aumentati, γ GT aumentate, bilirubina aumentata. Le seguenti reazioni avverse sono state segnalate (frequenza non nota): reazione anafilattica/anafilatoide, sindrome di Steven Johnson, ipoglicemia, ipoestesia, dermatite da farmaci, rhabdomiolisi, fototossicità. Il trattamento con Unidrox può essere associato a cristalluria asintomatica senza variazione dei livelli di creatinina, ad alterazioni dei parametri di funzionalità epatica ed eosinofilia. Nei casi osservati, tali modificazioni sono state asintomatiche e transitorie. Durante il trattamento con Unidrox non può essere esclusa la comparsa di reazioni avverse e alterazioni dei parametri di laboratorio sopra non citate, ma riportate per gli altri chinoloni. Dati di farmacovigilanza relativi a prulifloxacin e successivi all'immissione in commercio, mostrano sporadiche segnalazioni di tendinopatia (vedere 4.4. Avvertenze speciali e precauzioni di impiego). **4.9 Sovradosaggio** La somministrazione orale nel topo, ratto e cane (maschi e femmine) di dosi singole sino a 5000 mg/kg non ha avuto effetti letali. Non sono disponibili informazioni sul sovradosaggio nell'uomo; Unidrox è stato somministrato sino alla dose di 1200 mg/die per 12 giorni in volontari sani mostrando nel complesso una buona tollerabilità. Nel caso di sovradosaggio in acuto, lo stomaco deve essere svuotato provocando vomito o praticando un lavaggio gastrico, il paziente deve essere attentamente seguito e trattato con terapia sintomatica. **5. PROPRIETÀ FARMACOLOGICHE** **5.1 Proprietà farmacodinamiche** Categoria farmacoterapeutica: fluorochinoloni, codice ATC: J01MA17. Prulifloxacin è un antibatterico appartenente alla classe dei fluorochinoloni dotato di ampio spettro di azione e di elevata efficacia. Dopo somministrazione orale, prulifloxacin viene assorbita dal tratto gastrointestinale ed immediatamente trasformata in ulifloxacin, suo metabolita attivo (vedere paragrafo 5.2). **Mecanismo d'azione.** Unidrox si è dimostrato attivo *in vitro*, nei confronti di un'ampia gamma di ceppi Gram-positivi e Gram-negativi. Prulifloxacin esercita la sua azione antibatterica inibendo selettivamente la DNA-girasi, un enzima vitale presente nei batteri, che è coinvolto nella duplicazione, trascrizione e riparazione del DNA. **Mecanismo di resistenza.** L'insorgenza di antibiotico-resistenza alla prulifloxacin (così come agli altri fluorochinoloni) è generalmente dovuta a mutazioni spontanee nell'ambito della girasi del DNA batterico. In vitro, è stata osservata resistenza crociata con altri fluorochinoloni. Per i particolari meccanismi di insorgenza di resistenza ai fluorochinoloni, non vi è resistenza crociata tra prulifloxacin ed antibiotici di classi diverse, quindi Unidrox può risultare efficace anche in presenza di ceppi batterici resistenti ad aminoglicosidi, penicilline, cefalosporine e tetracicline. **Intervalli di inibizione.** Sono stati definiti sulla base dei dati di attività antibatterica NCCLS e dei parametri farmacocinetici del prodotto. Si suggeriscono i seguenti intervalli di inibizione: Sensibili: MIC ≤ 1 µg/ml, Intermedi: MIC > 1 fino a < 4 µg/ml, Resistenti: MIC ≥ 4 µg/ml. **Spettro antibatterico.** Occorre considerare che la prevalenza di resistenza acquisita per le specie selezionate può variare geograficamente e con il tempo, pertanto è auspicabile la disponibilità di informazioni locali sulla resistenza, particolarmente quando si trattano infezioni gravi. Se necessario, e qualora la prevalenza locale di resistenze possa rendere discutibile l'utilità del farmaco, si consiglia di richiedere un parere ad un esperto. I dati riportati nella tabella che segue indicano lo spettro antibatterico della prulifloxacin:

<b>Specie comunemente sensibili</b>	
<u>Aerobi gram-positivi</u>	<i>Staphylococcus aureus</i> (meticillino-sensibile) <i>Staphylococcus epidermidis</i> <i>Streptococcus agalactiae</i> <i>Streptococcus pyogenes</i> <i>Streptococcus pneumoniae</i> *
<u>Aerobi gram-negativi</u>	<i>Campylobacter jejuni</i> <i>Citrobacter freundii</i> <i>Citrobacter koserii</i> <i>Enterobacter aerogenes</i> <i>Enterobacter cloacae</i> <i>Haemophilus influenzae</i> <i>Klebsiella oxytoca</i> <i>Klebsiella pneumoniae</i> <i>Legionella pneumophila</i> <i>Moraxella catarrhalis</i> <i>Morganella morganii</i> <i>Neisseria gonorrhoeae</i> <i>Proteus mirabilis</i> <i>Proteus vulgaris</i> <i>Providencia rettgeri</i> <i>Pseudomonas aeruginosa</i> <i>Salmonella</i> sp. <i>Shigella</i> sp. (comprese <i>S. flexneri</i> e <i>S. sonnei</i> )
<u>Anaerobi</u>	<i>Clostridium perfringens</i> <i>Peptostreptococcus</i> sp. <i>Porphyromonas gingivalis</i> <i>Prevotella intermedia</i>
<b>Specie per le quali la resistenza acquisita può rappresentare un problema</b>	
<u>Aerobi Gram-positivi</u>	<i>Enterococcus avium</i> * <i>Enterococcus faecalis</i> * <i>Enterococcus faecium</i> *
<u>Aerobi Gram-negativi</u>	<i>Acinetobacter calcoaceticus</i> * <i>Escherichia coli</i> (compresi ceppi enteroemorragici ed enterotossici) <i>Serratia marcescens</i> *
<b>Organismi intrinsecamente resistenti</b>	
<u>Aerobi Gram-positivi</u>	<i>Enterococcus vancomicina-resistente</i> <i>Staphylococcus aureus meticillino-resistente</i>
<u>Aerobi Gram-negativi</u>	<i>Providencia stuartii</i>
<u>Anaerobi</u>	<i>Bacteroides</i> sp. <i>Clostridium difficile</i>

\* Specie che mostrano una naturale sensibilità intermedia

**Altre informazioni.** Negli studi in vitro l'azione antibatterica di prulifloxacinina è stata caratterizzata da una penetrazione batterica migliore e da un effetto post-antibiotico più prolungato rispetto ai fluorochinoloni di riferimento. **5.2 Proprietà farmacocinetiche a) Caratteristiche generali** Prulifloxacinina è il profarmaco del metabolita attivo, ulifloxacinina. **Assorbimento** - Nell'uomo prulifloxacinina è rapidamente assorbita (Tmax = circa 1h) e trasformata in ulifloxacinina; dopo somministrazione singola di 600 mg il picco plasmatico medio di ulifloxacinina è di 1,6 µg/ml e la AUC è di 7,3 µg\*h/ml. Allo steady-state, che si raggiunge entro 2 giorni dall'inizio del trattamento con somministrazione unica giornaliera, il Cmax e l'AUC sono di 2,0 µg/ml e di 7,6 µg\*h/ml, rispettivamente. Il cibo ritarda e riduce leggermente la concentrazione al picco plasmatico di ulifloxacinina, ma non modifica la AUC. **Distribuzione** - Nell'uomo, il rapporto polmone/plasma della concentrazione media di Unidrox aumenta nel tempo e, dopo 24 ore, il metabolita attivo ulifloxacinina mantiene concentrazioni tissutali medie di 5 volte superiori a quelle del plasma, confermando i risultati ottenuti nell'animale, dove le concentrazioni di ulifloxacinina nel polmone e nel rene sono risultate più alte di quelle plasmatiche (1,2 – 2,8 volte e 3 – 8 volte, rispettivamente). Analogamente, dati nell'uomo sulla penetrazione tissutale di ulifloxacinina nei seni paranasali hanno mostrato, in termini di AUC, un rapporto tra tessuto e plasma pari a 3,0 nell'etmoide e 2,4 nei turbinati. Il legame proteico nell'uomo, valutato sia *in vitro* che *ex vivo*, è pari a circa il 50%, indipendentemente dalla concentrazione del farmaco. La scarsa concentrazione di ulifloxacinina riscontrata nel liquido cerebro-spinale dopo somministrazione i.v. nel cane e somministrazione ripetuta p.o. nell'uomo, indica che ulifloxacinina difficilmente supera la barriera ematoencefalica. **Biotrasformazione** - Il profilo metabolico di prulifloxacinina nell'animale e nell'uomo è comparabile. Gli studi nell'animale hanno dimostrato che il metabolismo di prulifloxacinina inizia durante l'assorbimento intestinale e si completa con il suo passaggio nel fegato. Oltre alla trasformazione in ulifloxacinina, sono stati identificati altri metaboliti minori, quali la forma diolica ed alcuni derivati come glucuronide, oxo-derivato e etilen-diammino derivati, la cui concentrazione ed attività è trascurabile rispetto al principio attivo. Negli studi in vitro non sono state osservate interazioni significative con gli isoenzimi del citocromo P-450, a parte una lieve inibizione del CYP1A1/2 che corrisponde ad una debole diminuzione della clearance di teofillina. Poiché le metilxantine, ed in particolare teofillina, costituiscono il substrato principale per l'isoenzima CYP1A1/2, il grado d'interazione con altri substrati dell'isoenzima (vedi warfarin) può considerarsi solo inferiore. **Eliminazione** - L'emivita del metabolita attivo, ulifloxacinina, è di circa 10 ore, sia dopo somministrazione singola che ripetuta allo steady-state nell'uomo, mentre negli animali (ratti, cani e scimmie) varia tra le 2 e le 12 ore. Studi con il prodotto marcato nell'uomo hanno dimostrato che l'eliminazione avviene prevalentemente per via fecale. Dopo somministrazione orale di 600 mg, la radioattività ritrovata nelle urine e nelle feci ammonta in totale approssimativamente al 95%. Tali risultati confermano quanto evidenziato in precedenti studi effettuati sugli animali (ratti, cani e scimmie). La quantità di ulifloxacinina escreta con le urine è il 16,7 % della dose somministrata su base molare e la clearance renale di ulifloxacinina è di circa 170 ml/min. L'eliminazione renale di ulifloxacinina avviene per filtrazione glomerulare e per secrezione attiva. **b) Caratteristiche nei pazienti** Il profilo farmacocinetico di prulifloxacinina negli anziani si è dimostrato simile a quello degli adulti, senza variazioni in funzione dell'età, e pertanto non sono ritenute necessarie modifiche del dosaggio nei pazienti anziani. In pazienti con insufficienza renale lieve o moderata, dopo somministrazione orale di Unidrox 600 mg, il picco plasmatico medio di ulifloxacinina raggiunge valori tra 1,30 e 1,62 µg/ml. I valori di AUC variano tra 13,71 e 23,33 µg\*h/ml e l'emivita tra 12,3 e 32,4 ore. La clearance renale di ulifloxacinina diminuisce rispetto ai volontari sani in funzione del grado di insufficienza. **5.3 Dati preliminari di sicurezza Tossicità ripetuta.** In studi di tossicità con somministrazione ripetuta, cartilagini articolari, reni, apparato gastrointestinale e fegato sono risultati i principali organi bersaglio. Con dosi fino a 3 volte più alte rispetto a quelle terapeutiche non sono stati osservati effetti tossici sulle cartilagini articolari (cani giovani); con dosi fino a 6, 10 e 12 volte più alte di quelle terapeutiche non sono stati osservati effetti tossici nel fegato (cani) e rene (cani e ratti). Il farmaco non prolunga l'intervallo QT in vivo e non dimostra effetti inibenti sulla corrente di potassio a rettificazione ritardata (HERG) in vitro. **Tossicità riproduttiva.** Gli studi di tossicità riproduttiva non hanno evidenziato teratogenicità. Effetti sulla fertilità o sullo sviluppo embrionale e fetale sono stati osservati soltanto in caso di tossicità materna. **Mutagenicità.** I saggi standard di genotossicità hanno evidenziato effetti positivi in alcuni test in vitro effettuati con prulifloxacinina su colture di cellule di mammifero, ma sono risultati negativi in vivo e nei batteri. Si ritiene che tali effetti siano associati all'inibizione di topoisomerasi II in presenza di alte concentrazioni di prulifloxacinina. **Potenziale carcinogeno.** La prulifloxacinina non si è dimostrata cancerogena in un modello sperimentale di iniziazione-promozione a medio termine. Non sono state effettuate prove di carcinogenesi a lungo termine. **Antigenicità.** La prulifloxacinina è risultata priva di effetti antigenici. **Fototossicità.** La prulifloxacinina ha indotto reazioni fototossiche, sebbene in studi comparativi nell'animale abbia mostrato di possedere un'attività fototossica minore rispetto a quella degli altri fluorochinoloni impiegati (ofloxacinina, enoxacinina, pefloxacinina, acido nalidixico e lomefloxacinina). Molti chinoloni sono anche fotomutageni/fotocarcinogenici, la possibilità che anche la prulifloxacinina abbia tali effetti non può essere esclusa. **Nefrotossicità.** Dopo somministrazione ripetuta per via orale di 3000 mg/kg/die nel ratto, un dosaggio molto superiore alle dosi terapeutiche nell'uomo, la prulifloxacinina ha causato cristalluria per precipitazione di ulifloxacinina. **Cardiotossicità.** Studi condotti nel cane hanno mostrato che prulifloxacinina non provoca modificazioni di rilievo nell'elettrocardiogramma. In particolare, non è stato osservato alcun cambiamento del QTc né dopo singola somministrazione endovenosa nel cane anestetizzato, né dopo somministrazione orale per 6 mesi nel cane conscio, a tutte le dosi somministrate. Studi in vitro hanno confermato l'assenza di effetti inibenti sulle correnti rettificatrici ritardate del potassio (HERG). **Tossicità articolare.** La prulifloxacinina, similmente agli altri fluorochinoloni, ha causato artropatia solo negli animali giovani. **Tossicità oculare.** Dosi orali di 26,4 o 58,2 mg/kg/die di prulifloxacinina una volta al giorno per 52 settimane nella scimmia non hanno causato effetti avversi correlati al trattamento sulla funzionalità o morfologia oculare. **Effetto rabdomiolitico.** Dosi fino a 10 mg/kg/die di ulifloxacinina somministrate per via intravenosa una volta al giorno per 14 giorni consecutivi non hanno indotto rabdomiolisi nel coniglio. **6. INFORMAZIONI FARMACOTECNICHE 6.1 Elenco degli eccipienti** Nucleo: lattosio monoidrato, cellulosa microcristallina, croscarmellosa sodica, povidone, silice colloidale anidra, magnesio stearato **Rivestimento:** ipromellosa, glicole propileno, titanio diossido (E171), talco, ossido ferrico (E172). **6.2 Incompatibilità** Non pertinente **6.3 Periodo di validità** 3 anni. **6.4 Precauzioni particolari per la conservazione** Non conservare a temperatura superiore ai 30°C. Conservare nella confezione originale. **6.5 Natura e contenuto del contenitore** Astuccio di cartone contenente 1 blister da 1, 2, 5 compresse rivestite con film o 2 blister da 5 compresse rivestite con film. Blister in materiale accoppiato (Poliammide/alluminio/PVC) termosaldato con materiale ricoprente (alluminio/PVC). E' possibile che non tutte le confezioni siano commercializzate. **6.6 Precauzioni particolari per lo smaltimento e la manipolazione** Nessuna istruzione particolare. Il medicinale non utilizzato ed i rifiuti derivati da tale medicinale devono essere smaltiti in conformità alla normativa locale vigente. **7. TITOLARE DELL'AUTORIZZAZIONE ALL'IMMISSIONE IN COMMERCIO** Aziende Chimiche Riunite Angelini Francesco - A.C.R.A.F. S.p.A, Viale Amelia, 70 – 00181 ROMA (Italia). **8. NUMERO DELL'AUTORIZZAZIONE ALL'IMMISSIONE IN COMMERCIO** Scatola 1 compressa rivestita con film da 600 mg A.I.C. 035678034, Scatola 2 compresse rivestite con film da 600 mg A.I.C. 035678010, Scatola 5 compresse rivestite con film da 600 mg A.I.C. 035678022, Scatola 10 compresse rivestite con film da 600 mg A.I.C. 035678046 **9. DATA DELLA PRIMA AUTORIZZAZIONE/RINNOVO DELL'AUTORIZZAZIONE** Data della prima Autorizzazione: 21 Giugno 2004. Data del rinnovo dell'Autorizzazione: 21 Giugno 2009 **10. DATA DI REVISIONE DEL TESTO** Giugno 2011

INFORMAZIONI AGGIUNTIVE  
Classe A-RR, 5 compresse rivestite con film da 600 mg: 20,57€  
Dep. AIFA in data 27/07/12